

## PERIODS OF PERIODIC POINTS FOR TRANSITIVE DEGREE ONE MAPS OF THE CIRCLE WITH A FIXED POINT

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ABSTRACT. A map of a circle is a continuous function from the circle to itself. Such a map is transitive if there is a point with a dense orbit. For degree one transitive maps of the circle with a fixed point, we give all possible sets of periods and the best lower bounds for topological entropy in terms of the set of periods.

### 0. INTRODUCTION

A map of a space  $X$  is a continuous function  $f: X \rightarrow X$ . We say  $f$  is transitive if there is a point with a dense orbit. We denote by  $P(f)$  the set of periods of the periodic points under  $f$ , and by  $\text{ent}(f)$  its topological entropy.

Consider the following ordering of the set  $\mathbb{N}$  of natural numbers:  $3, 5, 7, \dots, 2 \cdot 3, 2 \cdot 5, \dots, \dots, 2^k \cdot 3, 2^k \cdot 5, \dots, \dots, 2^3, 2^2, 2, 1$ . Let  $S(n)$  be the set consisting of  $n$  and all integers standing to the right of  $n$  in the above order, and  $S(2^\infty)$  the set of all powers of 2. In [9], Sarkovskii showed that for maps of the real line, the sets of periods of periodic points are of the form  $S(n)$  for some  $n \in \mathbb{N} \cup \{2^\infty\}$ .

Block [2] proved the following result for degree one maps of the circle.

**Theorem 0.1.** [2]. *Let  $f$  be a continuous degree one map of the circle with a fixed point. Then  $P(f) = S(n) \cup \{j \in \mathbb{N} : j \geq k\}$  for some positive integer  $k$  and some  $n \in \mathbb{N} \cup \{2^\infty\}$ . (Note: One of the sets may be empty).*

In this paper, we consider transitive maps of the circle and show how the above result changes when we impose this dynamical restriction. Our main result is:

**Theorem 3.1.** *Let  $f$  be a transitive degree one map of the circle with a fixed point. Then  $P(f) = \{1\} \cup \{j \in \mathbb{N} : j \geq k\}$  for some positive integer  $k$  and  $\text{ent}(f) \geq \log(\text{largest zero of } x^{k+1} - x^k - x - 1)$ .*

*Moreover, if  $k = 2$  and there is a periodic point of period two with rotation number zero, then  $\text{ent}(f) > \log 2$ .*

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Note that there is strictly inequality in the  $\log 2$  entropy bound, and that 2 is greater than the largest zero of  $x^3 - x^2 - x - 1$ . The converse to Theorem 3.1 is also true, i.e., every possible  $P(f)$  is realizable, and the entropy bounds are sharp. In Section 4, we discuss some examples.

## 1. BACKGROUND

For a map  $f$  of a space  $X$ , and  $n \geq 0$ ,  $f^n$  is defined by:  $f^0(x) = x$ ,  $f^{n+1}(x) = f(f^n(x))$ . A point  $x \in X$  is periodic of period  $n$  if  $f^n(x) = x$  and  $n$  is the least integer for which this happens.  $\text{Orb}_f(x)$  (or  $\text{Orb}(x)$ ) denotes the orbit  $\{f^n(x) : n \geq 0\}$  of the point  $x$ . A subset  $E$  is  $(f)$ -**invariant** if  $f(E) \subseteq E$ .  $\text{Int}(E)$  and  $\text{cl}(E)$  denote the interior and closure, respectively, of a set  $E$ . We denote by  $S^1$  the circle  $\mathbb{R}/\mathbb{Z}$ , where  $\mathbb{R}$  and  $\mathbb{Z}$  denote the real and integer numbers, respectively.

The ambient space is  $S^1$ . An interval  $[a, b]$ ,  $(a, b)$ ,  $[a, b)$  or  $(a, b]$  in  $S^1$  is the closed, open or half-open arc, resp., from  $a$  counterclockwise to  $b$ .  $e$  is the natural projection from  $\mathbb{R}$  onto  $S^1$  ( $e(x) = \exp(2\pi ix)$ ). A **lift**  $F$  of  $f$  is map of the real line for which  $f(e(x)) = e(F(x))$  for all  $x \in \mathbb{R}$ . There are countably many lifts of  $f$  and any two differ by an integer. The **degree** of  $f$ , denoted  $\deg f$ , is the integer  $n$  such that  $F(x+1) = F(x) + n$  for all  $x \in \mathbb{R}$  and for every lift  $F$  of  $f$ . Note that  $\deg f^k = (\deg f)^k$ .

In our proofs, we adopt the notion of  $f$ -covers from [5]. Let  $J$  and  $K$  be nondegenerate proper closed intervals. We say  $J$   $f$ -**covers**  $K$  ( $n$  times) if there exist subintervals  $\{L_i : i \leq i \leq n\}$  of  $J$ , with pairwise disjoint interiors, such that, for each  $i$ ,  $f(L_i) = K$ . Note that if  $F$  is a lift of  $f$ , and  $J'$  and  $K'$  are interval lifts to  $R$  of  $J$  and  $K$ , resp., then  $J$   $F$ -covers  $K$  if and only if  $F(J')$  contains some integer translate  $K' + m$  of  $K'$ .

**Lemma 1.1.** [2]. *Let  $I = [a, b]$  be a proper closed interval of  $S^1$ . If  $f(a) = c$  and  $F(b) = d$  and  $c \neq d$ , then  $I$   $f$ -covers either  $[c, d]$  or  $[d, c]$ .*

$f$ -covers can be used to infer the existence of certain periods and obtain estimates on topological entropy, a topological conjugacy invariant of continuous maps. More specifically, if  $P$  is a finite (but not necessarily invariant) subset of  $S^1$ , label the points in  $P$   $x_1, x_2, \dots, x_n$  so that the intervals  $I_1 = [x_1, x_2], \dots, I_n = [x_n, x_1]$ , we call  $P$ -**intervals**, have pairwise disjoint interiors.

The  $P$ -**graph** of  $f$  is the directed graph having as vertices the  $P$ -intervals, and with  $k$  arrows from  $I_i$  to  $I_j$  if and only if  $I_i$   $f$ -covers  $I_j$  exactly  $k$  times. The following lemma states that closed walks in the  $P$ -**graph** force the existence of periodic orbits that move in the same order.

**Lemma 1.2.** [5]. *Let  $f$  be a map of the interval or the circle. If  $J_0 \rightarrow J_1 \rightarrow \dots \rightarrow J_{n-1} \rightarrow J_0$  is a loop in the  $P$ -graph of  $f$ , then there exists a fixed point  $x$  of  $f^n$  such that  $f^i(x) \in J_i$  for  $i = 0, 1, \dots, n-1$ .*

The  $P$ -matrix of  $f$  is defined by  $(A)_{ij} = (\text{no. of arrows from } I_i \text{ to } I_j)$ . The Perron-Frobenius Theorem [8] guarantees the existence of a non-negative eigenvalue, denoted  $r(A)$ , of maximum modulus. If  $A'$  is any proper submatrix of  $A$ , then  $r(A) \geq r(A')$ , with strict inequality if  $A > 0$ . In the remainder of this paper, we shall abuse notation and set  $\log 0 = 0$ .

**Lemma 1.3.** [5, 6]. *Let  $P$  be a finite subset of  $S^1$ , and  $A$  the  $P$ -matrix of  $f$ . Then  $\text{ent}(f) \geq \log r(A)$ , with equality if  $P$  is invariant, and  $f$  is monotone between adjacent points of  $P$ .*

In the proof of Theorem 3.1 we show the existence of a subset called an  $n$ -horseshoe (for  $f$ ), i.e., a collection  $J_1, \dots, J_n$  of closed subintervals with pairwise disjoint interiors, such that for  $1 \leq i \leq n$ ,  $J_i$   $f$ -covers  $J_1, \dots, J_n$ . When such a collection exists, the set of endpoints of the  $J_i$ 's yields a  $P$ -matrix with a proper sub-matrix whose entries are all  $\geq 1$ . Lemma 1.3 and standard Perron-Frobenius arguments imply  $\text{ent}(f) \geq \log n$ .

**Lemma 1.4.** [3, Lemma 2]. *Let  $f: S^1 \rightarrow S^1$  have an  $n$ -horseshoe ( $n \geq 2$ ). Then  $f$  has periodic points of all periods, and  $\text{ent}(f) \geq \log n$ .*

## 2. TRANSITIVITY

In this section, we prove the following analogue of a result of Barge and Martin [1, Theorem 13] on transitive maps of the real line.

**Theorem 2.1.** *Let  $f$  be a transitive map of the circle with a fixed point. If  $f^2$  is transitive, then  $f$  has a periodic point of odd period  $k > 1$ .*

**Remark.** This result is new only for  $|\deg f| \leq 1$  [5].

The proof of the theorem is based on the following results of Coven and Mulvey [7]:

**Theorem 2.2.** [7, Corollary 3.4]. *For a transitive map of the circle with periodic points, the set of periodic points is dense.*

**Lemma 2.3.** [7, Lemma 5.2]. *If  $f$  has periodic points and  $f^n$  is transitive for every  $n > 0$ , then for every non-degenerate interval  $E$  in  $S^1$ ,  $\bigcup_{n \geq 0} f^n(E)$  misses at most one point, which must then be a fixed point.*

**Theorem 2.4.** [7, Theorem C]. *Let  $f$  be a continuous map of the circle. Then the following statements are equivalent:*

- 1) *There is an  $m$  such that  $f^{2m}$  is transitive, and  $f^m$  has a fixed point.*
- 2)  *$f^n$  is transitive for every  $n > 0$ , and  $f$  has periodic points.*
- 3)  *$f$  is topologically mixed (i.e., for every pair  $U, V$  of non-empty open sets, there is an  $N$  such that  $f^n(U) \cap V \neq \emptyset$  for every  $n \geq N$ ).*

We also use the following equivalent definitions of transitivity:

- 1) There is a point with a dense orbit.
- 2) The only closed invariant set  $K$  with  $\text{int}(K) \neq \emptyset$  is the whole space
- 3) If  $\text{int}(E) \neq \emptyset$ , then  $\text{cl}(\bigcup_{n \geq 0} f^n(E))$  is the whole space.

*Proof of Theorem 2.1. Notation:* If  $U = [a, b]$ ,  $V = [c, d]$  are non-overlapping closed intervals, we denote by  $\langle U, V \rangle$  the open interval  $(b, c)$ .

Since  $f$  is transitive, there is a point with a dense orbit. For this  $x$ , we have  $x$ ,  $f(x)$  and  $f^2(x)$  distinct. Then by continuity of  $f$ , there is an interval  $J$  about  $x$  with  $J$ ,  $f(J)$  and  $f^2(J)$  pairwise disjoint. By shrinking  $J$ , if necessary, we may assume that  $f^2(J)$  contains no fixed point. Start at  $J$  and label the other two intervals as  $J'$  and  $J''$  in the counterclockwise direction.

By Theorem 2.4,  $f^n$  is transitive for every  $n > 0$ . We then choose a periodic orbit  $\text{Orb}(c)$  in the following way. By Lemma 2.3, either

- (1)  $\bigcup_{n \geq 0} f^{mn}(J) = S^1$  for every  $m \geq 1$ , or
- (2)  $\bigcup_{n \geq 0} f^{mn}(J) = S^1 - \{p\}$  for some  $m \geq 1$  and some  $p$  fixed by  $f^m$ .

If (1) holds, use Theorem 2.2 to choose a periodic point  $c$  with period  $t \geq 2$ , such that  $\text{Orb}(c)$  meets both  $\langle J, J' \rangle$  and  $\langle J'', J \rangle$ . By shrinking  $J$ , we may assume that  $\text{Orb}(c)$  does not meet  $J$ . Call  $c_1$  and  $c_2$  the points in  $\text{Orb}(c)$  such that  $J$  lies in  $(c_1, c_2)$  and  $f(J) \cup f^2(J) \cup \text{Orb}(c)$  lies in  $[c_2, c_1]$ .

If (2) holds, we may assume that  $p$  is not in  $J \cup f(J) \cup f^2(J)$ . Since  $g = f^m$  is transitive, use Theorem 2.2 to choose  $c$  with  $g$ -period  $t \geq 2$  so that for some points  $c_1, c_2$  in  $\text{Orb}_f(c)$ ,  $p \in (c_2, c_1)$ , and  $J \cup f(J) \cup f^2(J) \cup \text{Orb}_f(c)$  lies in  $[c_1, c_2]$ . In either case, there exists  $M > 0$  such that for all  $n \geq M$ ,  $\text{Orb}(c) \subseteq f^n(J)$ . (For example, in (1)  $\text{Orb}(c) \subseteq \bigcup_{n \geq 0} f^{tn}(J)$  and  $f^t$  fixed every point in  $\text{Orb}(c)$ . Let  $M = t(k_1 + \dots + k_t)$ , where  $c_i \in f^{tk_i}(J)$  for  $c_i \in \text{Orb}(c)$ . Make a similar determination in (2), since  $\text{Orb}(c) \subset \bigcup_{n \geq 0} g^{tn}(J) = \bigcup_{n \geq 0} f^{mnt}(J)$  and  $f^{mt}$  fixes every point in  $\text{Orb}(c)$ .)

By Lemma 1.1, for each  $n \geq M$ ,  $J$   $f^n$ -covers either  $[c_1, c_2]$  or  $[c_2, c_1]$ .

If (1) holds, choose an odd  $n > M$ . If  $J$   $f^n$ -covers  $[c_1, c_2]$ , then  $J$   $f^n$ -covers itself. By Lemma 1.2,  $J$  contains a periodic point of period a divisor of  $n$ . Since  $J$  does not contain a fixed point, this point is of some odd period  $> 1$ . If  $J$   $f^n$ -covers  $[c_2, c_1]$ , then  $J$   $f^n$ -covers  $f^2(J)$ . Therefore,  $f^2(J)$   $f^{n-2}$ -covers itself, and again, since  $f^2(J)$  has no fixed point, it has a periodic point of odd period  $> 1$ .

If (2) holds, choose  $n \geq M$  such that  $n$  is also a multiple of  $m$ . Then since  $p \in [c_2, c_1]$ ,  $J$   $f^n$ -covers  $[c_1, c_2]$ , hence  $f^n$ -covers  $J$ ,  $f(J)$  and  $f^2(J)$ . If  $n$  is odd, then  $J$  has a point of odd period  $> 1$ . If  $n$  is even, then since  $f(J)$   $f^{n-1}$  covers itself,  $f(J)$  has a point of odd period  $> 1$ .  $\square$

3. DEGREE ONE MAPS

Let  $\deg f = 1$ . If  $F$  is a lift of  $f$  and  $x$  is  $f$ -periodic of period  $n$  with  $e(y) = x$ , then  $F^n(y) = y + k$  for some integer  $k$ . The number  $k/n$ , denoted  $\rho_F(x)$ , is the **rotation number** of  $X$ . This is independent of the choice of  $y$ , and if  $F' = F + m$ , then  $\rho_{F'}(x) = \rho_F(x) + m$ .

In this section, we prove our main result.

**Theorem 3.1.** *Let  $f$  be a transitive degree one map of the circle with a fixed point. Then  $P(f) = \{1\} \cup \{j \in \mathbb{N} : j \geq k\}$  for some positive integer  $k$  and  $\text{ent}(f) \geq \log(\text{largest zero of } x^{k+1} - x^k - x - 1)$ .*

*Moreover, if  $k = 2$  and there is a periodic point of period two with rotation number zero, then  $\text{ent}(f) > \log 2$ .*

**Lemma 3.2** [5]. *Let  $f$  be a continuous degree one map of the circle with a fixed point. Then if  $f$  has a fixed point and a periodic point of period  $n > 1$  having different rotation numbers, then  $f$  has periodic points of all periods larger than  $n$ , and  $\text{ent}(f) \geq \log(\text{largest zero of } x^{n+1} - x^n - x - 1)$ .*

**Lemma 3.3.** *If  $f$  is a transitive, degree one map of the circle with a fixed point, then  $f^n$  is transitive for every  $n > 0$  (hence is topologically mixing).*

*Proof.* By Theorem 2.4, it is enough to show that  $f^2$  is transitive. Suppose  $f^2$  is not transitive.

Let  $p$  be a fixed point of  $f$ .

**Claim:** There is a nondegenerate proper closed interval  $K$  such that:

- (i)  $f^2(K) = K$
- (ii)  $K \cup f(K) = S^1$
- (iii)  $\text{int}[K \cap f(K)] = \emptyset$ .

By [7, Lemma 2.1], (i)–(iii) hold for some closed proper subset  $K$  with nonempty interior. To see that  $K$  is an interval, let  $L$  be a nondegenerate component of  $K$ , and  $L^* = \text{cl}\left[\bigcup_{n \geq 0} f^{2n}(L)\right]$ . [7] shows that  $L^* \subseteq K$  has finitely many components, each with non-empty interior, and they are permuted by  $f^2$ . Since  $f^2|_K$  is transitive and  $L^*$  is nondegenerate, closed and  $f^2$ -invariant,  $L^* = K$ . So  $K$  and  $f(K)$  each has finitely many components, alternating on  $S^1$ .

Thus,  $p$  must be a common endpoint of components  $K_1$  of  $K$  and  $K_2$  of  $f(K)$ , and  $f$  must permute  $K_1$  and  $K_2$ . Since  $K_1 \cup K_2$  is closed,  $f$ -invariant and has non-empty interior, it must be the whole circle,  $f$ -invariant and has non-empty interior, it must be the whole circle, and  $K = K_1$ .

Now let  $p'$  be the lift of  $p$  to  $[0, 1]$ ,  $F$  the lift of  $f$  that fixed  $p'$  (hence, also  $p' + 1$ ). Then a left of  $K$  to  $[p', p' + 1]$  has either  $p'$  or  $p' + 1$  as an endpoint. A consideration of cases shows that no such lift can exist.  $\square$

**Proposition 3.4.** *Let  $f$  be a topologically mixing map of the circle, with a lift  $F$  such that for some  $0 < x < y < 1$ ,  $F(0) = F(y) = 0$  and  $F(x) = y$ . Then  $\text{ent}(f) > \log 2$ .*

*(The same conclusion holds if instead  $F(1) = F(x) = 1$  and  $F(y) = x$ .)*

*Proof.* We will prove the proposition for when  $F(x) = y$  and  $F(y) = 0$ . (The second case can be handled in a similar way.) To simplify notation, we will also call  $0$ ,  $x$  and  $y$  their respective projections in  $S^1$ .

By Theorem 2.4,  $f^n$  is transitive for every  $n > 0$ . Since  $[0, y] \subseteq f([0, y])$ , but  $[0, y]$  cannot be  $f$ -invariant, there exists a non-degenerate interval  $J$  in  $[y, 0]$  that is adjacent to  $[0, y]$  (i.e., has  $y$  or  $0$  as an endpoint) such that  $J \subseteq f[0, y]$ .

Suppose for the moment that  $J = [y, w]$ . Let  $P = \{0, x, y, w\}$ . Since  $f$  is mixing,  $J$  meets  $f^n(J)$  for all large enough  $n$ . Since  $x$  is not a fixed point,  $x \in f^n(J)$  for infinitely many  $n$ . (If  $x \notin f^n(J)$  for  $n \geq N$ , let  $K = f^N(J)$ . Then  $x \notin \bigcup_{n \geq 0} f^n(K)$ , hence by Lemma 2.3 must be fixed.)

Therefore,  $\{x, 0\} \subseteq f^n(J)$  for some large enough  $n$ . Thus, by Lemma 1.1,  $J$  has to  $f^n$ -cover either  $[0, x]$  or  $[x, y]$ .

With  $F$  as given, it is easy to see that in the  $P$ -graph of  $f^n$  there are at least  $2^{n-1}$  arrows each from  $[0, x]$  to itself and to  $[x, y]$ , and from  $[x, y]$  to itself and to  $[0, x]$ ; there is at least one arrow from either  $[0, x]$  or  $[x, y]$  to  $J$  (depending on which one covers  $J$ ), and at least one arrow from  $J$  to either  $[0, x]$  or  $[x, y]$ . In any case, the submatrix  $B$  corresponding to this subgraph is irreducible (i.e.,  $B^m > 0$  for some  $m > 0$ ) and so by Perron-Frobenius arguments,  $r(B^m) > 2^{mn}$ . Since  $k \cdot \text{ent}(f) = \text{ent}(f^k)$  for any  $k \geq 0$ , and the corresponding entries in the  $P$ -matrix of  $f^{mn}$  are greater than or equal to that in  $B^m$ , by Lemma 1.3,  $mn \cdot \text{ent}(f) = \text{ent}(f^{mn}) \geq \log r(B^m) > \log 2^{mn}$ , i.e.,  $\text{ent}(f) > \log 2$ .

The same argument is valid if  $J = [w, 0]$ . (Here  $\min F|_{[0, y]} < 0$ , and we look at the lift to  $[-1, 0]$  of  $J$ .)  $\square$

*Proof of Theorem 3.1.* If  $f$  has no point of period 2, then by Theorem 0.1,

$$P(f) = \{1\} \cup \{j \in \mathbb{N} : j \geq k\}$$

and  $\{j \in \mathbb{N} : j \geq k\} \neq \emptyset$  by Lemma 3.3 and Theorem 2.1. Let  $k$  be the smallest period greater than 1 in  $P(f)$ . Suppose that  $x$  has  $f$ -period  $k$ . Then  $\rho(x) \neq 0$ ; otherwise, for some lift  $F$  of  $f$ , the lifts  $e^{-1}\{x\}$  of  $x$  are all  $F$ -periodic of period  $k$ . By [9],  $2 \in P(F)$ . But a point  $z \in \mathbb{R}$  of  $F$ -period two either projects to a fixed point of  $f$  or to a point of  $f$ -period two. Since  $f$  has no point of period two,  $z$  project to an  $f$ -fixed point, and so  $F(z) = z + j$ ,  $j \in \mathbb{Z} - \{0\}$ . Since  $\deg f = 1$ ,  $z = F^2(z) = F(z + j) = z + 2j$ , a contradiction. Thus  $\rho(x) \neq 0$ , and by Lemma 3.2,  $\text{ent}(f) \geq \log$  (largest zero of  $x^{k+1} - x^k - x - 1$ ).

If there is a point of period two with nonzero rotation number, then Lemma 3.2 again implies that  $f$  has points of all periods and  $\text{ent}(f) \geq \log$  (largest zero of  $x^3 - x^2 - x - 1$ ).

We will show that if there is a point of period two with rotation number zero, then  $f$  has a 2-horseshoe. By Lemma 1.4  $f$  has points of all periods, and  $\text{ent}(f) \geq \log 2$ . Proposition 3.4 will be used to show strict inequality.

Let  $a < b$  in  $(0, 1)$  be a lift of a period two orbit having rotation number zero. Then  $F(a) = b + n$ ,  $F(b) = a - n$  for some integer  $n$ . If  $n > 0$ , or if  $n < -1$ , then the intervals  $[0, a]$ ,  $[a, b]$ ,  $[b, 1]$  indicate a 3-horseshoe for  $f$  and we are done.

So assume that either:

- (i)  $F(a) = b - 1$ ,  $F(b) = a + 1$ ; or
- (ii)  $F(a) = b$ ,  $F(b) = a$ .

In either case, there is a fixed point  $q$  of  $F$  in  $[a, b]$ . We need look only at case (ii) since if (i) holds then  $b < a + 1$  and both lie in  $[q, q + 1]$ . Since  $F(b) = a + 1$ ,  $F(a + 1) = b$ , using  $q$  in place of 0,  $b$  in place of  $a$ , and  $a + 1$  in place of  $b$  puts us in (ii).

It is clear that  $f$  has a 2-horseshoe if for some  $s, t, u$ ,  $0 \leq s < t < u \leq 1$ , either

$$\begin{array}{ll} (*) & F(s), F(u) \leq s \text{ and } F(t) \geq u; \\ \text{or} & (**) \quad F(s), F(u) \geq u \text{ and } F(t) \leq s. \end{array}$$

Let  $a_0 = a$ ,  $b_0 = b$ . Assume that  $F$  has no 2-horseshoe in  $[a_0, b_0]$ . Since  $[a, b]$  cannot be  $F$ -invariant and  $[a, b] \subseteq F[a, b] \subseteq \dots$ , we have  $F[a, b] = [a_1, b_1]$ , where  $a_1 \leq a_0$  and  $b_0 \leq b_1$ . Notice that if  $a_1$  is attained in  $[a_0, q]$  then  $(**)$  holds for  $\{a_0, z, q\}$  where  $a_0 < z < q$  and  $F(z) = a_1$ . Similarly,  $(*)$  holds if  $b_1$  is attained in  $[q, b_0]$ . So  $[a_0, q]$  must  $F$ -cover  $[b_0, b_1]$  and  $[q, b_0]$  must  $F$ -cover  $[a_1, a_0]$ , and at least one of these intervals is nondegenerate.

Now suppose  $a_1 \leq 0$  (resp.,  $b_1 \geq 1$ ). Then  $(*)$  (resp.,  $(**)$ ) holds for  $\{0, a_0, x\}$  (resp.,  $\{y, b_0, 1\}$ ) where  $q < x < b_0$  and  $F(x) = 0$  (resp.,  $a_0 < y < q$  and  $F(y) = 1$ ). So we may suppose  $a_1 > 0$ ,  $b_1 < 1$ .

Suppose there exist  $a_1, \dots, a_n; b_1, \dots, b_n$  such that:

$$(1) \quad F[a_{k-1}, b_{k-1}] = [a_k, b_k] = [a_k, a_{k-1}] \cup [a_{k-1}, b_{k-1}] \cup [b_{k-1}, b_k] \quad (1 \leq k \leq n)$$

where at least one outside interval is nondegenerate;

- (2) Neither  $(*)$  nor  $(**)$  holds in  $[a_k, b_k]$ ,  $0 \leq k \leq n - 1$ .
- (3)  $0 < a_n \leq \dots \leq a_1 \leq a_0$ ;  $b_0 \leq b_1 \leq \dots \leq b_n < 1$ .

Then  $b_k$  is only attained in  $[a_{k-1}, a_{k-2}]$ , and  $a_k$  is only attained in  $[b_{k-2}, b_{k-1}]$  for all  $k > 1$ , (resp., in  $[a_0, q]$  and  $[q, b + 0]$  if  $k = 1$ ). If this process can go on forever then by transitivity of  $f$ ,  $\text{cl}(\bigcup_{n \geq 0} [a_n, b_n]) = [0, 1]$ . Evidently, there are  $\{c_n\}_{n \geq 0}$  and  $\{d_n\}_{n \geq 0}$  in  $[0, 1]$  with  $\lim c_n = 0$ ,  $\lim d_n = 1$ ,  $\lim F(c_n) = 1$  and  $\lim F(d_n) = 0$ . Since 0 and 1 are  $F$ -fixed, this is impossible. Thus for some  $n \geq 0$ ,  $(*)$  or  $(**)$  must hold in one of  $[0, b_n]$ ,  $[a_n, b_n]$ , or  $[a_n, 1]$ .

To see that  $\text{ent}(f) > \log 2$ , note that if (\*) or (\*\*) holds then we may assume  $F$  satisfies the conditions of Proposition 3.4 by looking, if necessary, at another interval  $[z, z + 1]$  in place of  $[0, 1]$ . (For example, if  $a_1$  is attained in  $(a_0, q)$ , use  $[q - 1, q]$ .)  $\square$

#### 4. EXAMPLES

Block's examples in [2] of degree one maps  $f_k$  ( $k \geq 2$ ) have  $P(f_k) = \{1\} \cup \{j \in \mathbb{N} : j \geq k\}$ , and  $\text{ent}(f_k)$  equal to the bound of Theorem 3.1. By [4, Theorem 3.1] the irreducibility of each  $P_k$ -matrix implies transitivity of  $f_k$ . Notice that  $f_1$  has no point of period two with rotation number zero.

We show that the  $\log 2$  bound is also sharp by the following sequence of degree one transitive maps each one having a fixed point and a period-two point with rotation number zero.

Define the lift  $F_0$  by  $F_0(0) = 0$ ,  $F_0(1/6) = -1/3$ ,  $F_0(1/3) = 0$ ,  $F_0(1/2) = 2/3$ ,  $F_0(2/3) = 1/3$ ,  $F_0(1) = 1$ , and linear between these points, and let  $P_0$  be (the projection of)  $\{0, 1/3, 2/3\}$ .

For  $n \geq 1$ , define  $F_n$  by  $F_n(0) = 0$ ,  $F_n(1/(2^{n+1} \cdot 3)) = -1/3$ ,  $F_n(1/(2^n \cdot 3)) = 0$ ,  $F_n(1/(2^{n-1} \cdot 3)) = 1/(2^n \cdot 3)$ ,  $\dots$ ,  $F_n(1/3) = 1/(2 \cdot 3)$ ,  $F_n(1/2) = 2/3$ ,  $F_n(2/3) = 1/3$ ,  $F_n(1) = 1$ , and linear between these points, and let  $P_n$  be (the projection of)  $\{0, 1/(2^n \cdot 3), 1/(2^{n-1} \cdot 3), \dots, 1/3, 2/3\}$ .

Application of [4, Theorem 3.1] again implies that all the  $f_n$ 's are transitive. The induced  $P_n$ -graphs indicate a point of period two with rotation number zero for  $f_n$ . By Lemma 1.3,  $\text{ent}(f_n) = \log r_n$ , where  $r_n$  is the largest zero of the characteristic polynomial  $p_n(x) = x^{n+1} \cdot (x - 1) \cdot (x - 2) - 2$ , ( $n \geq 0$ ) of the  $P_n$ -matrix. It is an elementary argument to show that  $r_1 > r_2 > \dots > 2$ , and  $\lim_{n \rightarrow \infty} r_n = 2$ .

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