

**DESCH-SCHAPPACHER PERTURBATION THEOREM FOR
 C_0 -SEMIGROUPS ON THE DUAL OF A BANACH SPACE**

LUDOVIC DAN LEMLE

ABSTRACT. Let $(\mathcal{X}, \|\cdot\|)$ be a Banach space. Consider on \mathcal{X}^* the topology of uniform convergence on compact subsets of $(\mathcal{X}, \|\cdot\|)$ denoted by $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$, for which the usual semigroups in literature becomes C_0 -semigroups. The main purpose of this paper is to prove a Desch-Schappacher perturbation theorem for C_0 -semigroups on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$.

2000 *Mathematics Subject Classification*: Primary: 47D03, 47F05.

1. PRELIMINARY

Perturbation theory has long been a very useful tool in the hand of the analyst and physicist. A very elegant brief introduction to one-parameter semigroups is given in the treatise of KATO [5] where one can find all results on perturbation theory. A complete information on the general theory of semigroups of linear operators can be obtained by consulting the books of YOSIDA [11], DAVIES [2], PAZY [7] or GOLDSTEIN [4]. The perturbation by bounded operators is due to PHILLIPS [8] who also investigate permanence of smoothness properties by this kind of perturbation. The perturbation by continuous operators on the graph norm of the generator is due to DESCH and SCHAPPACHER [3].

In general, for a C_0 -semigroup $\{T(t)_{t \geq 0}\}$ on a Banach space $(\mathcal{X}, \|\cdot\|)$, it is well known that its adjoint semigroup $\{T^*(t)_{t \geq 0}\}$ is no longer strongly continuous on the dual space \mathcal{X}^* with respect to the strong topology of \mathcal{X}^* . In [10] WU and ZHANG introduce on \mathcal{X}^* a topology for which the usual semigroups in literature becomes C_0 -semigroups. That is *the topology of uniform convergence on compact subsets of $(\mathcal{X}, \|\cdot\|)$* , denoted by $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$. If $\{T(t)_{t \geq 0}\}$ is a C_0 -semigroup on $(\mathcal{X}, \|\cdot\|)$ with generator \mathcal{L} , by [10, Theorem 1.4, p.564] it follows that $\{T^*(t)_{t \geq 0}\}$ is a C_0 -semigroup on $\mathcal{X}_\mathcal{C}^* := (\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$ with generator \mathcal{L}^* .

2. THE MAIN RESULTS

The main result of this paper is a Desch-Schappacher perturbation theorem for C_0 -semigroups on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$. We begin with a perturbation by bounded operators for C_0 -semigroups on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$.

Theorem 1. *Let $(\mathcal{X}, \|\cdot\|)$ be a Banach space, \mathcal{L} the generator of a C_0 -semigroup $\{T(t)_{t \geq 0}\}$ on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$ and C a linear operator on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$ with domain $\mathcal{D}(C) \supset \mathcal{D}(\mathcal{L})$. If C is $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$ -continuous, then $\mathcal{L} + C$ with domain $\mathcal{D}(\mathcal{L} + C) = \mathcal{D}(\mathcal{L})$ is the generator of some C_0 -semigroup on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$.*

Proof. By the [10, Theorem 1.4, p.564] and using [10, Lemma 1.10, p.567], \mathcal{L}^* is the generator of the C_0 -semigroup $\{T^*(t)_{t \geq 0}\}$ on $(\mathcal{X}, \mathcal{C}(\mathcal{X}, \mathcal{X}_c^*)) = (\mathcal{X}, \|\cdot\|)$. Under the condition on C , by [10, Lemma 1.12, p.568] it follows that the operator C^* is bounded on $(\mathcal{X}, \|\cdot\|)$. By a well known perturbation result (see [10, Theorem 1, p.68]), we find that $\mathcal{L}^* + C^* = (\mathcal{L} + C)^*$ is the generator of some C_0 -semigroup on $(\mathcal{X}, \|\cdot\|)$. By using again [10, Theorem 1.4, p.564], we obtain that $(\mathcal{L} + C)^{**}$ is the generator of some C_0 -semigroup on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$. Moreover, $\mathcal{D}((\mathcal{L} + C)^*)$ is dense in $(\mathcal{X}, \|\cdot\|)$. Hence $\mathcal{D}((\mathcal{L} + C)^{**})$ is dense in $(\mathcal{X}, \sigma(\mathcal{X}, \mathcal{X}^*))$. Then by [9, Theorem 7.1, p.155] it follows that

$$(\mathcal{L} + C)^{**} = \overline{(\mathcal{L} + C)^{\sigma(\mathcal{X}^*, \mathcal{X})}} \quad (1)$$

Since C is $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$ -continuous, by [10, Lemma 1.5, p.564] it follows that C is $\sigma(\mathcal{X}^*, \mathcal{X})$ -continuous hence $\sigma(\mathcal{X}^*, \mathcal{X})$ -closed. Consequently

$$\mathcal{L} + C = \overline{(\mathcal{L} + C)^{\sigma(\mathcal{X}^*, \mathcal{X})}} \quad (2)$$

from where it follows that $(\mathcal{L} + C)^{**} = \mathcal{L} + C$. Hence $\mathcal{L} + C$ is the generator of some C_0 -semigroup on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$.

Finally we present the Desch-Schappacher perturbation theorem for C_0 -semigroups on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$.

Theorem 2. *Let $(\mathcal{X}, \|\cdot\|)$ be a Banach space, \mathcal{L} the generator of a C_0 -semigroup $\{T(t)_{t \geq 0}\}$ on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$ and C a linear operator on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$ with domain $\mathcal{D}(C) \supset \mathcal{D}(\mathcal{L})$. If $C : \mathcal{D}(\mathcal{L}) \rightarrow \mathcal{D}(\mathcal{L})$ is continuous with respect to the graph topology of \mathcal{L} induced by the topology $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$,*

then $\mathcal{L} + C$ with domain $\mathcal{D}(\mathcal{L} + C) = \mathcal{D}(\mathcal{L})$ is the generator of some C_0 -semigroup on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$.

Proof. We will follow closely the proof of ARENDT [1, Theorem 1.31, p.45]. Remark that $C : \mathcal{D}(\mathcal{L}) \rightarrow \mathcal{D}(\mathcal{L})$ is continuous with respect to the graph topology of \mathcal{L} induced by the topology $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$ if and only if for all $\lambda > \lambda_0$ the operator

$$\tilde{C} := (\lambda I - \mathcal{L})CR(\lambda; \mathcal{L}) \quad (3)$$

is continuous on \mathcal{X}^* with respect to the topology $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$. Consequently, by Theorem 1 we find that $\mathcal{L} + \tilde{C}$ is the generator of some C_0 -semigroup on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$. We shall prove that $\mathcal{L} + \tilde{C}$ is similar to $\mathcal{L} + C$. Remark that C is continuous with respect to the graph norm $\| \cdot \|_* + \| \mathcal{L} \cdot \|_*$. By the prove of [1, Theorem 1.31, p.45], there exists some $\lambda > \lambda_0$ such that the operators

$$U := I - CR(\lambda; \mathcal{L}) \quad , \quad U^{-1} \quad (4)$$

are bounded on $(\mathcal{X}^*, \| \cdot \|_*)$. Moreover

$$\begin{aligned} U(\mathcal{L} + \tilde{C})U^{-1} &= U(\mathcal{L} - \lambda I + \tilde{C})U^{-1} + \lambda I = \\ &= U[\mathcal{L} - \lambda I + (\lambda I - \mathcal{L})CR(\lambda; \mathcal{L})]U^{-1} + \lambda I = \\ &= U(\mathcal{L} - \lambda I)[I - CR(\lambda; \mathcal{L})]U^{-1} + \lambda I = \\ &= U(\mathcal{L} - \lambda I) + \lambda I = [I - CR(\lambda; \mathcal{L})](\mathcal{L} - \lambda I) + \lambda I = \\ &= \mathcal{L} - \lambda I + C + \lambda I = \mathcal{L} + C \end{aligned}$$

Now we have only to prove that U and U^{-1} are continuous with respect to the topology $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$. Since $CR(\lambda; \mathcal{L}) = R(\lambda; \mathcal{L})\tilde{C}$ is continuous with respect to the topology $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$, hence $U = I - CR(\lambda; \mathcal{L})$ is continuous with respect to the topology $\mathcal{C}(\mathcal{X}^*, \mathcal{X})$. On the other hand, by [10, Lemma 1.5, p.564], U^* and $[CR(\lambda; \mathcal{L})]^*$ are continuous on $(\mathcal{X}, \| \cdot \|)$. By Phillips theorem [6, Proposition 5.9, p.246], $1 \in \rho([CR(\lambda; \mathcal{L})]^*)$ if and only if $1 \in [CR(\lambda; \mathcal{L})]^{**}$ and

$$[I - ([CR(\lambda; \mathcal{L})]^*)^{-1}]^* = (I - [CR(\lambda; \mathcal{L})]^{**})^{-1} \quad (5)$$

But by [9, Theorem 1.1, p.155] we have $[CR(\lambda; \mathcal{L})]^{**} = CR(\lambda; \mathcal{L})$ and the right hand side above becomes U^{-1} . Hence U^{-1} , being the dual of some bounded operator on $(\mathcal{X}, \| \cdot \|)$, is continuous on $(\mathcal{X}^*, \mathcal{C}(\mathcal{X}^*, \mathcal{X}))$ by [10, Lemma 1.5, p.564] and the proof is completed.

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Author:

Ludovic Dan Lemle
UFR de Mathématiques
Université Claude Bernard Lyon1
Address: Bâtiment Jean Braconnier, 21 avenue Claude Bernard, 69622 Villeurbanne cedex, France
and
University Politehnica of Timișoara
Engineering Faculty of Hunedoara
Adress: 5 Revoluției street, 331128, Hunedoara, Romania
e-mail: *lemle_dan@yahoo.com*