

# Solutions of diophantine equations as periodic points of $p$ -adic algebraic functions, II: The Rogers-Ramanujan continued fraction

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ABSTRACT. In this part we show that the diophantine equation  $X^5 + Y^5 = \varepsilon^5(1 - X^5Y^5)$ , where  $\varepsilon = \frac{-1+\sqrt{5}}{2}$ , has solutions in specific abelian extensions of quadratic fields  $K = \mathbb{Q}(\sqrt{-d})$  in which  $-d \equiv \pm 1 \pmod{5}$ . The coordinates of these solutions are values of the Rogers-Ramanujan continued fraction  $r(\tau)$ , and are shown to be periodic points of an algebraic function.

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## 1. Introduction.

In a previous paper [17] integral solutions of the diophantine equation

$$Fer_4 : X^4 + Y^4 = 1,$$

were constructed in ring class fields  $\Omega_f$  of odd conductor  $f$  over imaginary quadratic fields of the form  $K = \mathbb{Q}(\sqrt{-d})$ , with  $d_K f^2 = -d \equiv 1 \pmod{8}$ , where  $d_K$  is the discriminant of  $K$ . The coordinates of these solutions were studied in Part I of this paper [20], and shown to be the periodic points

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of a fixed 2-adic algebraic function on the maximal unramified algebraic extension  $K_2$  of the 2-adic field  $\mathbb{Q}_2$ . In particular, every ring class field of odd conductor over  $K = \mathbb{Q}(\sqrt{-d})$  with  $-d \equiv 1 \pmod{8}$  is generated over  $\mathbb{Q}$  by some periodic point of this algebraic function. This was simplified and extended in [21] to show that all ring class fields over any field  $K$  in this family of quadratic fields are generated by individual periodic or pre-periodic points of the 2-adic multivalued algebraic function

$$\hat{F}(z) = \frac{-1 \pm \sqrt{1 - z^4}}{z^2}.$$

A similar situation holds for the solutions of

$$Fer_3 : 27X^3 + 27Y^3 = X^3Y^3,$$

studied in [19], in that they are, up to a finite set, the exact set of periodic points of a fixed 3-adic algebraic function, and all ring class fields of quadratic fields  $K = \mathbb{Q}(\sqrt{-d})$  in the family for which  $-d \equiv 1 \pmod{3}$  are generated by periodic or pre-periodic points of this same 3-adic algebraic function. (See [19] and [21] for a more precise description.)

In this paper I will study the analogous quintic equation

$$\mathcal{C}_5 : v^5X^5 + v^5Y^5 = 1 - X^5Y^5, \quad v = \frac{1 + \sqrt{5}}{2},$$

which can be written in the equivalent form

$$\mathcal{C}_5 : X^5 + Y^5 = \varepsilon^5(1 - X^5Y^5), \quad \varepsilon = \frac{-1 + \sqrt{5}}{2}, \quad (1)$$

in certain abelian extensions of imaginary quadratic fields  $K = \mathbb{Q}(\sqrt{-d})$  with  $d_K f^2 = -d \equiv \pm 1 \pmod{5}$ . In Part I [20] these were called *admissible quadratic fields* for the prime  $p = 5$ : these are the imaginary quadratic fields in which the ideal  $(5) = \wp_5 \wp_5'$  of the ring of integers  $R_K$  of  $K$  splits into two distinct prime ideals. In this part I will show that (1) has unit solutions in the abelian extensions  $\Sigma_5 \Omega_f$  or  $\Sigma_5 \Omega_{5f}$  of  $K$  (according as  $d \neq 4f^2$  or  $d = 4f^2 > 4$ ), where  $\Sigma_5$  is the *ray class field* of conductor  $\mathfrak{f} = (5)$  over  $K$  and  $\Omega_f, \Omega_{5f}$  are the *ring class fields* of conductors  $f$  and  $5f$ , respectively, over  $K$ , for any positive integer  $f$  which is relatively prime to  $p = 5$ . (See [6].)

As is the case for the families of quadratic fields mentioned above, the coordinates of these solutions will be shown in Part III to be the exact set of periodic points (minus a finite set) of a specific 5-adic algebraic function in a suitable extension of the 5-adic field  $\mathbb{Q}_5$ . This will be used to verify the conjectures of Part I for the prime  $p = 5$ . In Theorem 5.4 of this paper we establish a preliminary result in this direction, by showing that any ring class field  $\Omega_f$  over  $K = \mathbb{Q}(\sqrt{-d})$  with  $(-d/5) = +1$  and  $(5, f) = 1$  is generated by a periodic point of a fixed algebraic function, which is independent of  $d$ . The 5-adic representation of this function will be explored in Part III.

Let  $H_{-d}(x)$  be the class equation for a discriminant  $-d \equiv \pm 1 \pmod{5}$ , and let

$$F_d(x) = x^{5h(-d)}(1 - 11x - x^2)^{h(-d)}H_{-d}(j_5(x)), \tag{2}$$

where

$$j_5(b) = \frac{(1 - 12b + 14b^2 + 12b^3 + b^4)^3}{b^5(1 - 11b - b^2)}. \tag{3}$$

This rational function represents the  $j$ -invariant of the Tate normal form

$$E_5(b) : Y^2 + (1 + b)XY + bY = X^3 + bX^2, \tag{4}$$

on which the point  $P = (0, 0)$  has order 5. Note that

$$j_5(b) = -\frac{(z^2 + 12z + 16)^3}{z + 11}, \quad z = b - \frac{1}{b}. \tag{5}$$

The roots of  $F_d(x)$  are the values of  $b$  for which the curve  $E_5(b)$  has complex multiplication by the order  $R_{-d}$  of discriminant  $-d = d_K f^2$  in  $K$ . If  $h(-d)$  is the class number of  $R_{-d}$ , it turns out that  $F_d(x^5)$  has an irreducible factor  $p_d(x)$  of degree  $4h(-d)$  whose roots give solutions of  $\mathcal{C}_5$  in abelian extensions of  $K = \mathbb{Q}(\sqrt{-d})$ . Furthermore, the roots of  $p_d(x)$  are conjugate values over  $\mathbb{Q}$  of the Rogers-Ramanujan continued fraction  $r(\tau)$  defined by

$$\begin{aligned} r(\tau) &= \frac{q^{1/5}}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \dots}}}} = \frac{q^{1/5}}{1 + \frac{q}{1 + \frac{q^2}{1 + \frac{q^3}{1 + \dots}}}} \cdots, \\ &= q^{1/5} \prod_{n \geq 1} (1 - q^n)^{(n/5)}, \quad q = e^{2\pi i \tau}, \quad \tau \in \mathbb{H}. \end{aligned}$$

See [1], [2], [4], [10]. (We follow the notation in [10].) In the latter formula  $(n/5)$  is the Legendre symbol and  $\mathbb{H}$  denotes the upper half-plane. The function  $r(\tau)$  is a modular function for the congruence group  $\Gamma(5)$  [10, p. 149], and  $(X, Y) = (r(\tau/5), r(-1/\tau))$  is a modular parametrization of the curve  $\mathcal{C}_5$  (see [10, eq. (7.3)]). In Section 4 we prove the following result.

**Theorem 1.1.** *Let  $d \equiv \pm 1 \pmod{5}$ ,  $K = \mathbb{Q}(\sqrt{-d})$ , and*

$$w = \frac{v + \sqrt{-d}}{2} \in R_K, \text{ with } \wp_5^2 \mid w \text{ and } (N(w), f) = 1.$$

*Then the values  $X = r(w/5), Y = r(-1/w)$  of the Rogers-Ramanujan continued fraction give a solution of  $\mathcal{C}_5$  in  $\Sigma_5 \Omega_f$  or  $\Sigma_5 \Omega_{5f}$ , according as  $d \neq 4f^2$  or  $d = 4f^2$ . For a unique primitive 5-th root of unity  $\zeta^j = e^{2\pi i j/5}$ , depending on  $w$ , we have*

$$\mathbb{Q}(r(w/5)) = \Sigma_{\wp_5'} \Omega_f, \quad \mathbb{Q}(\zeta^j r(-1/w)) = \Sigma_{\wp_5} \Omega_f, \quad \text{if } d \neq 4f^2;$$

and

$$\mathbb{Q}(r(w/5)) = \Sigma_{2\wp_5'} \Omega_f, \quad \mathbb{Q}(\zeta^j r(-1/w)) = \Sigma_{2\wp_5} \Omega_f, \quad \text{if } d = 4f^2, \quad 2 \mid f;$$

where  $\wp_5$  is the prime ideal  $\wp_5 = (5, w)$ ,  $\wp'_5$  is its conjugate ideal in  $K$ , and  $\Sigma_{\mathfrak{f}}$  denotes the ray class field of conductor  $\mathfrak{f}$  over  $K$ . Furthermore,

$$\mathbb{Q}(r(-1/w)) = \mathbb{Q}(r(w)) = \Sigma_5\Omega_{\mathfrak{f}} \text{ or } \Sigma_5\Omega_{5\mathfrak{f}},$$

according as  $d \neq 4f^2$  or  $d = 4f^2$ .

The numbers  $\eta = r(w/5)$ ,  $\xi = \zeta^j r(-1/w)$  in this theorem are both roots of the irreducible polynomial  $p_d(x)$ , and so are conjugate algebraic integers (and units) over  $\mathbb{Q}$ . Furthermore, they satisfy the relation

$$\xi = \zeta^j r(-1/w) = \frac{-(1 + \sqrt{5})\eta^{\tau_5} + 2}{2\eta^{\tau_5} + 1 + \sqrt{5}},$$

(for all  $-d = d_K f^2 < -4$ ) where  $\tau_5 = \left(\frac{\mathbb{Q}(\eta)/K}{\wp_5}\right)$  is the Frobenius automorphism (Artin symbol) for  $\wp_5$  (which is defined since  $\mathbb{Q}(r(w/5))$  is abelian over  $K$  and unramified at  $\wp_5$ ). See Tables 1 and 2 for a list of the polynomials  $p_d(x)$  for small values of  $d$ . As is clear from the tables, these polynomials have relatively small coefficients and discriminants. Moreover, as we show in Section 5, these values of  $r(\tau)$  are periodic points of an algebraic function, and can be computed for small values of  $d$  and small periods using nested resultants. (See [20, Section 3] and [21].) We prove the following.

**Theorem 1.2.** *If*

$$g(X, Y) = (Y^4 + 2Y^3 + 4Y^2 + 3Y + 1)X^5 - Y(Y^4 - 3Y^3 + 4Y^2 - 2Y + 1),$$

the roots of  $p_d(x)$  are periodic points of the multi-valued algebraic function  $\mathfrak{g}(z)$  defined by  $g(z, \mathfrak{g}(z)) = 0$ . With  $w$  chosen as in Theorem 1.1, the period of  $\eta = r(w/5)$  with respect to the action of  $\mathfrak{g}$  is the order of the Frobenius automorphism  $\tau_5 = \left(\frac{\mathbb{Q}(\eta)/K}{\wp_5}\right)$  in  $\text{Gal}(\mathbb{Q}(\eta)/K)$ .

As part of our discussion we also prove the following. To state the result, let

$$\mathfrak{s}(z) = \frac{(\zeta + \zeta^2)z + 1}{z + 1 + \zeta + \zeta^2}, \quad \zeta = \zeta_5 = e^{2\pi i/5},$$

a linear fractional map of order 5. The group  $\langle \mathfrak{s}(z) \rangle$  generated by  $\mathfrak{s}(z)$  under composition is the Galois group of the extension of function fields  $\mathbb{Q}(\zeta, z)/\mathbb{Q}(\zeta, \mathfrak{r}(z))$ , where

$$\mathfrak{r}(z) = \frac{z(z^4 - 3z^3 + 4z^2 - 2z + 1)}{z^4 + 2z^3 + 4z^2 + 3z + 1}.$$

**Theorem 1.3.** *With  $w$  as in Theorem 1.1 and  $\tau_5$  as above, we have the formula*

$$r(w/5)^{\tau_5} = \mathfrak{s}^j(r(w)) = r\left(\frac{w}{1 - jw}\right),$$

where  $j \not\equiv 0 \pmod{5}$  has the same value as in Theorem 1.1 and  $j$  is the unique integer  $\pmod{5}$  for which  $\mathfrak{s}^j(r(w))$  is an algebraic conjugate of  $\eta = r(w/5)$ .

This fact is significant, because in the ideal-theoretic formulations of Shimura’s Reciprocity Law, such as in [23, p. 123], one has to restrict to ideals that are relatively prime to the level of the modular function being considered. Here  $r(\tau) \in \Gamma(5)$ , so the level is  $N = 5$ , but Theorem 1.3 gives information about the automorphism  $\tau_5$  corresponding to the prime ideal  $\wp_5$  of  $K$ .

Theorem 1.3 has the following application. A formula for the real continued fraction

$$r(3i) = \frac{e^{-6\pi/5}}{1+} \frac{e^{-6\pi}}{1+} \frac{e^{-12\pi}}{1+} \frac{e^{-18\pi}}{1+} \dots$$

was stated by Ramanujan in his notebooks and proved in [3] and [4]. In Section 5 we prove the alternative formula

$$r(3i) = \frac{(1 + \zeta^3)\eta^{\tau_5} + \zeta}{\eta^{\tau_5} - \zeta - \zeta^3}, \quad \zeta = e^{2\pi i/5}, \tag{6}$$

where

$$\eta^{\tau_5} = r\left(\frac{4 + 3i}{5}\right)^{\tau_5} = \frac{-i\omega}{2} - \frac{i\sqrt{3}}{2} + i\frac{\omega^2}{4}\sqrt[4]{3}\left(\sqrt{4 + 2\sqrt{5}} + i\sqrt{-4 + 2\sqrt{5}}\right)$$

and  $\omega = (-1 + i\sqrt{3})/2$ . This formula expresses Ramanujan’s value in terms of roots of unity and simpler square-roots than appear in his original formula. (See Example 1 in Section 5.) Similar expressions can be worked out for certain other values of the Rogers-Ramanujan function  $r(\tau)$  using Theorem 1.3.

## 2. Defining the Heegner points.

Throughout the paper we will have occasion to make use of the linear fractional map

$$\tau(b) = \frac{-b + \varepsilon^5}{\varepsilon^5 b + 1} = \frac{-b + \varepsilon_1}{\varepsilon_1 b + 1}, \quad \varepsilon_1 = \varepsilon^5 = \frac{-11 + 5\sqrt{5}}{2}. \tag{7}$$

Whenever the symbol  $\tau$  appears as a function of  $b$ , it denotes the function in (7). We will also have occasion to use  $\tau$  to denote a complex number in the upper half-plane  $\mathbb{H}$  or an automorphism in a suitable Galois group, and which use of  $\tau$  we mean will be clear from the context. We note that

$$\begin{aligned} j_5(\tau(b)) &= j_{5,5}(b) = \frac{(1 + 228b + 494b^2 - 228b^3 + b^4)^3}{b(1 - 11b - b^2)^5}, \\ &= -\frac{(z^2 - 228z + 496)^3}{(z + 11)^5}, \quad z = b - \frac{1}{b}, \end{aligned} \tag{8}$$

where  $j_{5,5}(b)$  is the  $j$ -invariant of the elliptic curve

$$\begin{aligned} E_{5,5}(b) : Y^2 + (1 + b)XY + 5bY &= X^3 + 7bX^2 + (6b^3 + 6b^2 - 6b)X \\ &\quad + b^5 + b^4 - 10b^3 - 29b^2 - b. \end{aligned}$$

The curve  $E_{5,5}(b)$  is isogenous to  $E_5(b)$  [18, p. 259], and because of (8),  $E_5(\tau(b))$  represents the Tate normal form for  $E_{5,5}(b)$ .

Let  $K = \mathbb{Q}(\sqrt{-d})$ , where  $-d = d_K f^2 \equiv \pm 1 \pmod{5}$  and  $d_K$  is the discriminant of  $K$ . As usual, let  $\eta(\tau)$  be the Dedekind  $\eta$ -function. From Weber [26, p.256] the function

$$x_1 = x_1(w) = \left( \frac{\eta(w/5)}{\eta(w)} \right)^2$$

satisfies the equation

$$x_1^6 + 10x_1^3 - \gamma_2(w)x_1 + 5 = 0, \quad \gamma_2(w) = j(w)^{1/3}.$$

Thus

$$j(w) = \frac{(x_1^6 + 10x_1^3 + 5)^3}{x_1^3}. \tag{9}$$

On the other hand,

$x_1^3 = y^5 + 5y^4 + 15y^3 + 25y^2 + 25y = (y + 1)^5 + 5(y + 1)^3 + 5(y + 1) - 11$ , with  $y = y(w) = \frac{\eta(w/25)}{\eta(w)}$ . By Theorem 6.6.4 of Schertz [23, p. 159], both  $x_1^3$  and  $y$  are elements of the ring class field  $\Omega_f = K(j(w))$  if

$$w = \begin{cases} \frac{v+\sqrt{-d}}{2}, & 2 \nmid d, \ v^2 \equiv -d \pmod{5^2}, \ (v, 2f) = 1, \\ v + \frac{\sqrt{-d}}{2}, & 2 \mid d, \ 2 \nmid f, \ v^2 \equiv -d/4 \pmod{5^2}, \ (v, f) = 1, \\ v + \frac{\sqrt{-d}}{2}, & 2 \mid d, \ 2 \mid f, \ v^2 \equiv -d/4 \pmod{5^2}, \ (v, f_{odd}) = 1; \end{cases} \tag{10}$$

in the last case  $f_{odd}$  is the largest odd divisor of  $f$  and  $v \not\equiv d/4 \pmod{2}$  is chosen to guarantee that  $(N(w), f) = 1$ . (The latter condition is needed to insure that  $(w)$  is a proper ideal of  $R_{-d}$  in Section 4.) These conditions on  $w$  are equivalent to the conditions imposed on  $w$  in Theorem 1.1.

Now we set

$$z = z(w) = b - \frac{1}{b} = -11 - x_1^3 = -11 - \left( \frac{\eta(w/5)}{\eta(w)} \right)^6, \tag{11}$$

so that  $b$  is one of the two roots of the equation

$$b^2 - zb - 1 = 0, \quad z = -11 - x_1^3.$$

From the identity

$$\frac{1}{r^5(\tau)} - 11 - r^5(\tau) = \left( \frac{\eta(\tau)}{\eta(5\tau)} \right)^6, \quad \tau \in \mathbb{H},$$

for the Rogers-Ramanujan function  $r(\tau)$  (see [10]), we see that

$$\frac{1}{b} - b - 11 = \frac{1}{r^5(w/5)} - r^5(w/5) - 11,$$

from which it follows that

$$b = r^5(w/5) \quad \text{or} \quad \frac{-1}{r^5(w/5)} \tag{12}$$

and

$$z = r^5(w/5) - \frac{1}{r^5(w/5)}. \tag{13}$$

We find from (5), (11), and (9) that

$$\begin{aligned} j_5(b) &= \frac{((-11 - x_1^3)^2 + 12(-11 - x_1^3) + 16)^3}{x_1^3} \\ &= \frac{(x_1^6 + 10x_1^3 + 5)^3}{x_1^3} = j(w). \end{aligned} \tag{14}$$

When  $z$  is given by (11),  $j(w)$  is the  $j$ -invariant of  $E_5(b)$ . Weber [26, p.256] also gives the equation

$$j(w/5) = \frac{(x_1^6 + 250x_1^3 + 3125)^3}{x_1^{15}} = j_{5,5}(b), \tag{15}$$

for the same substitution (11), by (8). Thus,  $j(w/5)$  is the  $j$ -invariant of the isogenous curve  $E_{5,5}(b)$ .

The functions  $z(w)$  and  $y(w)$  are modular functions for the group  $\Gamma_0(5)$ , by Schertz [23, p. 51]. Moreover,  $w$  and  $w/5$  are basis quotients for proper ideals in the order  $R_{-d}$  of discriminant  $-d$  in  $K$ . Hence, we have the following.

**Theorem 2.1.** *If  $z = b - 1/b$  satisfies (11), where  $w$  is given by (10), then  $j_5(b) = j(w)$  and  $j_{5,5}(b) = j(w/5)$  are roots of the class equation  $H_{-d}(x) = 0$ , and the isogeny  $E_5(b) \rightarrow E_{5,5}(b)$  represents a Heegner point on  $\Gamma_0(5)$ . Furthermore,  $z$  lies in the ring class field of conductor  $f$  over  $K = \mathbb{Q}(\sqrt{-d})$ , where  $-d = f^2 d_K$  and  $d_K$  is the discriminant of  $K$ .*

Exactly the same arguments apply if  $w$  is replaced in (9)-(15) by  $w/a$ , where  $(a, f) = 1$  and  $5a \mid N(w)$ . (To guarantee  $y(w/a) \in \Omega_f$  we would also need  $5^2 a \mid N(w)$ .) Then  $w/a$  and  $w/(5a)$  are basis quotients for proper ideals in  $R_{-d}$  and  $j(w/a)$  and  $j(w/(5a))$  are roots of  $H_{-d}(x)$ . Thus,  $j(w), j(w/a) \in \Omega_f$  are conjugate to each other over  $K$ . Theorem 6.6.4 of Schertz [23] implies that the corresponding values  $z(w), z(w/a)$  in (11) are also conjugate to each other over  $K$  if  $5 \nmid a$ , but in Section 4 we will need to relax this restriction on  $a$ . To do this, we prove the following lemma. Let  $J(z)$  denote the rational function

$$J(z) = -\frac{(z^2 + 12z + 16)^3}{z + 11}.$$

Recall that an ideal  $\mathfrak{a}$  of the order  $R_{-d}$  corresponds to the ideal  $\mathfrak{a}R_K$  of the maximal order  $R_K = R_{d_K}$  of  $K$ , and conversely, an ideal  $\mathfrak{b}$  in  $R_K$  corresponds to the ideal  $\mathfrak{b}_d = \mathfrak{b} \cap R_{-d}$  in  $R_{-d}$  (see [6, p. 130]).

**Lemma 2.2.** *For a given ideal  $\mathfrak{a} = (a, w) \subseteq R_{-d}$  with ideal basis quotient  $w/a$ , where  $(a, f) = 1$  and  $5a \mid N(w)$ , there is a unique value of  $z_1 \in \Omega_f$  for which  $J(z_1) = j(w/a)$  and  $z_1 + 11 \cong \wp_5^3$ , and this value is  $z_1 = z^{\sigma^{-1}}$ , where  $\sigma = \left(\frac{\Omega_f/K}{\mathfrak{a}R_K}\right)$ . ( $\alpha \cong \beta$  denotes equality of the divisors  $(\alpha)$  and  $(\beta)$ .)*

**Proof.** If  $\sigma$  is the Frobenius automorphism given in the statement of the lemma,  $j(w/a)^\sigma = j(\mathfrak{a})^\sigma = j(\mathbb{R}_{-d}) = j(w) = J(z)$ , it follows that  $J(z^{\sigma^{-1}}) = j(w/a)$ . Suppose there is a  $z_2 \in \Omega_f$ , different from  $z_1 = z^{\sigma^{-1}}$ , for which  $J(z_2) = J(z_1)$  and  $z_2 + 11 \cong z_1 + 11$ . Then  $(z_1, z_2)$  is a point on the curve  $F(u, v) = 0$ , where

$$\begin{aligned} F(u, v) &= -(u + 11)(v + 11) \frac{J(u) - J(v)}{u - v} \\ &= (v + 11)u^5 + (v^2 + 47v + 396)u^4 + (v^3 + 47v^2 + 876v + 5280)u^3 \\ &\quad + (v^4 + 47v^3 + 876v^2 + 8160v + 31680)u^2 \\ &\quad + (v^5 + 47v^4 + 876v^3 + 8160v^2 + 39360v + 84480)u \\ &\quad + 11v^5 + 396v^4 + 5280v^3 + 31680v^2 + 84480v + 97280. \end{aligned}$$

A calculation on Maple shows that this is a curve of genus 0, parametrized by the rational functions

$$\begin{aligned} u &= -\frac{11t^5 + 55t^4 + 165t^3 + 275t^2 + 275t + 125}{t(t^4 + 5t^3 + 15t^2 + 25t + 25)} \\ v &= -\frac{t^5 + 11t^4 + 55t^3 + 165t^2 + 275t + 275}{t^4 + 5t^3 + 15t^2 + 25t + 25}. \end{aligned}$$

Hence,  $F(z_1, z_2) = 0$  gives that

$$z_1 + 11 = \frac{-125}{t(t^4 + 5t^3 + 15t^2 + 25t + 25)},$$

or

$$t^5 + 5t^4 + 15t^3 + 25t^2 + 25t + \frac{125}{z_1 + 11} = 0,$$

for some algebraic number  $t$ . Since  $z_1 + 11 \cong z + 11 \cong \wp_5^{\prime 3}$  (see eq. (28) below), we have  $(z_1 + 11) \mid 5^3$  and  $t$  is an algebraic integer which is not divisible by any prime divisor of  $\wp_5'$  in  $\Omega_f(t)$ . Then

$$z_2 + 11 = \frac{-t^5}{t^4 + 5t^3 + 15t^2 + 25t + 25} = \frac{t^5}{\frac{125}{t(z_1+11)}} = t^6 \frac{(z_1 + 11)}{125}.$$

But the equality of the ideals  $(z_2 + 11) = (z_1 + 11)$  implies that  $t^6 \cong 5^3$ , so  $t$  is divisible by some prime divisor of  $\wp_5'$  in  $\Omega_f(t)$ . This contradiction establishes the claim.  $\square$

### 3. Points of order 5 on $E_5(b)$ .

From [22] we take the following. The  $X$ -coordinates of points of order 5 on  $E_5(b)$  which are not in the group

$$\langle (0, 0) \rangle = \{O, (0, 0), (0, -b), (-b, 0), (-b, b^2)\}$$



can be given in the form

$$\begin{aligned} X &= \frac{(5 - \alpha)}{100} \{(-18 - 12b + 6b\alpha + 8\alpha - 2b^2)u^4 \\ &\quad + (-4b\alpha + 2b^2 + 3\alpha - 7 + 12b)u^3 \\ &\quad + (7b\alpha + \alpha - 3 - 2b^2 - 7b)u^2 \\ &\quad + (22b - 2 + 2b^2)u - 3 - 7b + 3b\alpha - 2b^2 - \alpha\} \\ &= \frac{(5 - \alpha)}{100} (A_4u^4 + A_3u^3 + A_2u^2 + A_1u + A_0), \end{aligned}$$

where  $\alpha = \pm\sqrt{5}$ ,

$$u^5 = \phi_1(b) = \frac{2b + 11 + 5\alpha}{-2b - 11 + 5\alpha} = \frac{b - \bar{\varepsilon}^5}{-b + \varepsilon^5} \tag{16}$$

and

$$\varepsilon = \frac{-1 + \alpha}{2}, \quad \bar{\varepsilon} = \frac{-1 - \alpha}{2}.$$

Equation (16) shows that  $u^5 = 1/(\varepsilon^5\tau(b))$ , i.e.,  $\tau(b) = (\varepsilon u)^{-5}$ . Solving for  $b$  in (16) gives

$$b = \frac{\varepsilon^5 u^5 + \bar{\varepsilon}^5}{u^5 + 1}. \tag{17}$$

Now the Weierstrass normal form of  $E_5(b)$  is given by

$$\begin{aligned} Y^2 &= 4X^3 - g_2X - g_3, \quad g_2 = \frac{1}{12}(b^4 + 12b^3 + 14b^2 - 12b + 1), \\ g_3 &= \frac{-1}{216}(b^2 + 1)(b^4 + 18b^3 + 74b^2 - 18b + 1), \end{aligned}$$

with

$$\Delta = g_2^3 - 27g_3^2 = b^5(1 - 11b - b^2).$$

By Theorem 2.1,  $E_5(b)$  has complex multiplication by the order  $R_{-d}$ , so the theory of complex multiplication implies that if  $K \neq \mathbb{Q}(i)$ , i.e.  $d \neq 4f^2$ , the  $X$ -coordinates  $X(P)$  of points of order 5 on  $E_5(b)$  have the property that the quantities

$$\frac{g_2g_3}{\Delta} \left( X(P) + \frac{1}{12}(b^2 + 6b + 1) \right)$$

generate the field  $\Sigma_5\Omega_f$  over  $\Omega_f$ , where  $\Sigma_5$  is the ray class field of conductor 5 over  $K = \mathbb{Q}(\sqrt{-d})$ . (See [11]; or [25] for  $f = 1$ .)

In the case that  $d = 4f^2 > 4$ , the argument leading to Theorem 2 of [11] shows that these quantities generate a class field  $\Sigma'_{5f}$  over  $K = \mathbb{Q}(i)$  whose corresponding ideal group  $\mathbf{H}$  consists of the principal ideals generated by elements of  $K$ , prime to  $5f$ , which are congruent to rational numbers (mod  $f$ ) and congruent to  $\pm 1$  (mod 5).  $\mathbf{H}$  is an ideal group because it contains the ray mod  $5f$ . Thus  $\mathbf{H} \subset \mathbf{S}_5 \cap \mathbf{P}_f$  is contained in the intersection of the principal ring class mod  $f$ ,  $\mathbf{P}_f$ , and the ray mod 5,  $\mathbf{S}_5$ . If  $(\alpha) \in \mathbf{S}_5 \cap \mathbf{P}_f$ , then we may take  $\alpha \equiv r \pmod{f}$  and  $r \in \mathbb{Q}$ ; and then  $i^a\alpha \equiv 1 \pmod{5}$

for some power of  $i$ . If  $2 \mid a$ , then  $(\alpha) \in \mathbf{H}$ ; while if  $2 \nmid a$ , then  $\alpha^2 \equiv -1 \pmod{5}$ , so  $(\alpha)^2 \in \mathbf{H}$ , and the product of any two such ideals lies in  $\mathbf{H}$ . This implies that  $[\mathbf{S}_5 \cap \mathbf{P}_f : \mathbf{H}] = 2$  and  $\Sigma'_{5f}$  is a quadratic extension of  $\Sigma_5\Omega_f$  (when  $K = \mathbb{Q}(i)$ ). Moreover,  $\mathbf{H}$  is a subgroup of the principal ring class  $\mathbf{P}_{5f}$  and  $[\mathbf{P}_{5f} : \mathbf{H}] = 2$ , so that  $[\Sigma'_{5f} : \Omega_{5f}] = 2$ . Since  $\mathbf{P}_{5f} \neq \mathbf{S}_5 \cap \mathbf{P}_f$ , it follows that  $\Sigma'_{5f} = \Omega_{5f}(\Sigma_5\Omega_f) = \Sigma_5\Omega_{5f}$ . Noting that  $\mathbf{P}_f/\mathbf{P}_{5f}$  is cyclic of order 4, generated by  $(\alpha)\mathbf{P}_{5f}$  with  $\alpha \equiv 2 \pmod{\wp_5}$  and  $\alpha \equiv 1 \pmod{\wp'_5}$ , it follows from Artin Reciprocity that  $\Omega_{5f}/\Omega_f$  is a cyclic quartic extension.

Let  $F$  denote the field  $\Sigma_5\Omega_f$ , for  $d \neq 4f^2$ ; and  $\Sigma'_{5f} = \Sigma_5\Omega_{5f}$ , for  $d = 4f^2 > 4$ . Also, let  $\phi(\mathfrak{a})$  denote the Euler  $\phi$ -function for ideals  $\mathfrak{a}$  of  $R_K$ . Since  $p = 5 = \wp_5\wp'_5$  splits in  $K$ , the degree of  $\Sigma_5/\Sigma_1$  is given by

$$[\Sigma_5 : \Sigma_1] = \frac{1}{2}\phi(\wp_5)\phi(\wp'_5) = 8, \quad \text{if } d \neq 4f^2;$$

and since every intermediate field of  $\Sigma_5/\Sigma_1$  is ramified over  $p = 5$  we have that

$$[F : \Omega_f] = [\Sigma_5\Omega_f : \Omega_f] = 8, \quad d \neq 4f^2.$$

On the other hand,

$$[F : \Omega_f] = [\Sigma'_{5f} : \Omega_f] = 2 \cdot [\Sigma_5\Omega_f : \Omega_f] = 8, \quad d = 4f^2 > 4,$$

since in this case

$$[\Sigma_5 : K] = \frac{1}{4}\phi(\wp_5)\phi(\wp'_5) = 4, \quad d = 4f^2;$$

so that  $\Sigma_5 = K(\zeta_5)$  when  $K = \mathbb{Q}(i)$ . Thus,  $[F : \Omega_f] = 8$  in all cases (with  $d \neq 4$ ).

In Cho's notation [5], the ideal group  $\mathbf{H}$  coincides with the ideal group declared modulo  $5f$  given by

$$P_{(5),\mathcal{O}} = \{(\alpha) \mid \alpha \in \mathcal{O}_K, \alpha \equiv a \pmod{5f}, a \in \mathbb{Z}, (a, f) = 1, a \equiv 1 \pmod{5}\};$$

and  $F$  equals the corresponding field  $K_{(5),\mathcal{O}}$ , with  $\mathcal{O} = \mathbf{R}_{-d}$ . Since  $(5, f) = 1$ , this holds whether  $d \neq 4f^2$  or  $d = 4f^2$ . Cox [6, p. 313] denotes this field as  $F = L_{\mathcal{O},5}$  and calls it an *extended ring class field*.

We henceforth take  $\alpha = \sqrt{5}$  in the above formulas, and we prove the following.

**Theorem 3.1.** *If  $z = b - 1/b$  is given by (13), where  $w$  is given by (10), with  $d \neq 4$ , then the roots  $u$  of the equation (16) lie in the field  $F = \Sigma_5\Omega_f$ , if  $d \neq 4f^2$ , and in  $F = \Sigma_5\Omega_{5f}$ , if  $d = 4f^2 > 4$ . Thus, the value  $b$  is given by*

$$b = \frac{\varepsilon^5 u^5 + \bar{\varepsilon}^5}{u^5 + 1}, \quad \varepsilon = \frac{-1 + \sqrt{5}}{2}, \quad \bar{\varepsilon} = \frac{-1 - \sqrt{5}}{2},$$

where

$$u = -\frac{r(w) - \bar{\varepsilon}}{r(w) - \varepsilon} \quad \text{or} \quad -\frac{\bar{\varepsilon}r(w) + 1}{\varepsilon r(w) + 1},$$

according as  $b = r^5(w/5)$  or  $b = \frac{-1}{r^5(w/5)}$ . Moreover,  $r(w), r(w/5)$  and  $r(-1/w)$  lie in the field  $F$ .

**Proof.** Note first that

$$\begin{aligned} \frac{g_2g_3}{\Delta} &= \frac{-1}{2^53^4} \frac{(b^4 + 12b^3 + 14b^2 - 12b + 1)(b^2 + 1)(b^4 + 18b^3 + 74b^2 - 18b + 1)}{b^5(1 - 11b - b^2)} \\ &= \frac{1}{2^53^4} \frac{(z^2 + 12z + 16)(z^2 + 18z + 76)}{z + 11} \frac{b^2 + 1}{b^2}, \end{aligned}$$

where  $z = b - \frac{1}{b} = -11 - x_1^3$  lies in  $\Omega_f$ . It follows that

$$\frac{b^2 + 1}{b^2} \left( X(P) + \frac{1}{12}(b^2 + 6b + 1) \right) \in F$$

for any point  $P \in E_5[5]$ . In particular, with  $P = (-b, 0)$  we have that

$$\frac{b^2 + 1}{12b^2} (b^2 - 6b + 1) = \frac{1}{12} \left( b + \frac{1}{b} \right) \left( b + \frac{1}{b} - 6 \right) \in F.$$

Since  $b - \frac{1}{b}$  lies in  $\Omega_f$ , the field  $F$  contains the quantity

$$\left( b - \frac{1}{b} \right)^2 + 4 = b^2 + \frac{1}{b^2} + 2 = \left( b + \frac{1}{b} \right)^2,$$

and therefore also  $(b + \frac{1}{b})$  and  $(b + \frac{1}{b}) + (b - \frac{1}{b}) = 2b$ . Therefore,  $b \in F$  and we have that

$$X(P) \in F, \text{ for } P \in E_5[5].$$

Since  $\mathbb{Q}(\sqrt{5}) \subset \mathbb{Q}(\zeta_5) \subseteq \Sigma_5$ , we deduce from the formula for  $X$  above that

$$A_4u^4 + A_3u^3 + A_2u^2 + A_1u + A_0 \in F$$

for any root of (16). Hence, for any fixed root  $u$  of (16) we have that

$$A_4\zeta^{4i}u^4 + A_3\zeta^{3i}u^3 + A_2\zeta^{2i}u^2 + A_1\zeta^i u + A_0 = B_i \in F, \quad 0 \leq i \leq 4. \quad (18)$$

This gives a system of 5 equations in the 5 ‘‘unknowns’’  $u^i$ , with coefficients in  $F$ . The determinant of this system is

$$\begin{aligned} D &= -\frac{5^2}{8}(\zeta - \zeta^2 - \zeta^3 + \zeta^4)(-3 - 7b + 3b\alpha - 2b^2 - \alpha)(-2b - 1 + \alpha) \\ &\quad \times (2b + \alpha + 1)(2b + 11 + 5\alpha)(-b + 2 + \alpha)(-2b - 11 + 5\alpha)^4, \quad (19) \end{aligned}$$

which I claim is not zero.

Ignoring the constant term  $\frac{\pm 5^2\sqrt{5}}{8}$  in front, multiply the rest by the polynomial in (19) obtained by replacing  $\alpha$  with  $-\alpha$ . This gives the polynomial

$$2^{16}(b^2 - 4b - 1)(b^4 + 7b^3 + 4b^2 + 18b + 1)(b^2 + 11b - 1)^5(b^2 + b - 1)^2.$$

If  $b$  is a root of any of the quadratic factors, then  $z = b - \frac{1}{b}$  is rational:  $z = 4, -11$ , or  $-1$ , respectively. In these cases  $j(w) = -102400/3, \infty$ , or  $-25/2$ , all of which are impossible, since  $j(w)$  is an algebraic integer.

Now  $E_5(b)$  has complex multiplication by an order in the field  $K = \mathbb{Q}(\sqrt{-d})$  whose discriminant is not divisible by 5. Therefore,  $j(w) = j(E_5(b))$

generates an extension of  $\mathbb{Q}$  which is not ramified at  $p = 5$ . If  $b$  is a root of  $h(x) = x^4 + 7x^3 + 4x^2 + 18x + 1$ , then  $\text{disc}(h(x)) = -5^8 19$  and  $\text{Gal}(h(x)/\mathbb{Q}) \cong D_4$  imply that  $K(b)$  can only be abelian over the quadratic field  $K = \mathbb{Q}(\sqrt{-19})$  and  $f = 1$ . Then  $j_5(b)$  is a root of the irreducible polynomial

$$H(x) = x^4 + 5584305x^3 - 32305549025x^2 + 63531273863125x - 5^6 31^3 449^3,$$

which is impossible, since  $K = \mathbb{Q}(\sqrt{-19})$  has class number 1. This shows that the determinant  $D$  in (19) is nonzero, and therefore, since the coefficients  $A_i$  and  $D$  lie in the field  $F$ , we get that the solution  $(u^4, u^3, u^2, u, 1)$  of the system (18) lies in  $F$  also. This proves that  $u \in F$ . In particular,  $\tau(b) = (\varepsilon u)^{-5}$  is a 5-th power in  $F$ .

We can find formulas for  $u$  from the identities

$$r^5 \left( \frac{-1}{5\tau} \right) = \frac{-r^5(\tau) + \varepsilon^5}{\varepsilon^5 r^5(\tau) + 1} \quad \text{and} \quad r \left( \frac{-1}{w} \right) = \frac{\bar{\varepsilon} r(w) + 1}{r(w) - \bar{\varepsilon}}. \tag{20}$$

See [10, pp. 150, 142]. If  $\tau = w/5$  and  $b = r^5(w/5)$ , we have

$$r^5 \left( \frac{-1}{w} \right) = \frac{-b + \varepsilon^5}{\varepsilon^5(b - \bar{\varepsilon}^5)} = \frac{1}{\varepsilon^5 u^5},$$

and we can take

$$u = \frac{1}{\varepsilon r \left( \frac{-1}{w} \right)} = \frac{r(w) - \bar{\varepsilon}}{\varepsilon(\bar{\varepsilon} r(w) + 1)} = -\frac{r(w) - \bar{\varepsilon}}{r(w) - \varepsilon}, \quad b = r^5(w/5). \tag{21}$$

On the other hand, if  $b = \frac{-1}{r^5(w/5)}$ , then we can choose

$$u = -\frac{\bar{\varepsilon} r(w) + 1}{\varepsilon r(w) + 1}.$$

In either case it is clear that  $r(w), r(-1/w) \in F$ .

We can apply the same analysis with  $b$  replaced by  $\tau(b)$ , since  $E_{5,5}(b) \cong E_5(\tau(b))$ , so that the latter curve also has complex multiplication by  $\mathbb{R}_{-d}$ . Furthermore,

$$b = r^5(w/5) \implies \tau(b) = r^5 \left( \frac{-1}{w} \right),$$

while

$$b = \frac{-1}{r^5(w/5)} \implies \tau(b) = \frac{-1}{r^5(-1/w)}.$$

Note also that when  $b$  is replaced by  $\tau(b)$  in the determinant  $D$ , its factors in  $b$  are

$$\frac{(2b + 1)(b - 2)(b + 3)(-3 - 7b + 3b\alpha - 2b^2 - \alpha)b^4}{(2b + 11 + 5\alpha)^{10}},$$

and so are nonzero by the same reason as before. Using (16) again, we get a solution  $u_1 \in F$  of the equation

$$u_1^5 = \phi_1(\tau(b)) = -\frac{\bar{\varepsilon}^5}{b} = \frac{1}{\varepsilon^5 b}.$$

Therefore,  $b = 1/(\varepsilon u_1)^5$  is also a 5-th power in  $F$ , i.e.  $r(w/5) \in F$ . □

- Remarks.** (1) The fact that  $r(w), r(w/5) \in F$  also follows from [6, Theorem 15.16], since  $F = L_{\mathcal{O},5}$ . The above proof does not make use of Shimura’s reciprocity law.
- (2) The result  $r(w), r(w/5) \in F$  is sharper than what is obtained from [23, Thm. 5.1.2, p. 123]. That theorem only yields that  $r(w), r(w/5)$  lie in  $\Sigma_{5f}$ , the ray class field of conductor  $5f$ . Also, the coefficients of the  $q$ -expansion of  $r(-1/\tau)$  are in  $\mathbb{Q}(\sqrt{5})$  but not all in  $\mathbb{Q}$ , so [23, Theorem 5.2.1] does not apply.
- (3) The results of [22] show that the coordinates of all the points in  $E_5(b)[5] - \langle(0, 0)\rangle$  are rational functions of the quantity  $u$ , and therefore of the quantity  $r(w)$ , with coefficients in  $\mathbb{Q}(\zeta_5)$ , by (21). It follows from the theory of complex multiplication that  $L_{\mathcal{O},5} = F = K(\zeta_5, r(w))$ . In Corollary 4.7 and Theorem 4.8 below we will prove that  $L_{\mathcal{O},5} = F = \mathbb{Q}(r(w))$  when  $d > 4$ . See the discussion in [6, pp. 315-316] for the case  $d = 4$ .

Now  $b$  satisfies the equation  $b - \frac{1}{b} = z = -11 - x_1^3 \in \Omega_f$ , so  $b$  is at most quadratic over  $\Omega_f$ . Hence, its degree over  $\mathbb{Q}$  is at most  $4h(-d)$ . This degree is also at least  $h(-d)$  since  $j(w) \in \mathbb{Q}(b)$ .

**Proposition 3.2.** *If  $d > 4$ , the degree of  $z = b - 1/b$  over  $\mathbb{Q}$  is  $2h(-d)$ . Thus,  $\Omega_f = \mathbb{Q}(z)$ , and the minimal polynomial  $\mathcal{R}_d(X)$  of  $z$  over  $\mathbb{Q}$  is normal.*

**Remark.** Our use of  $\mathcal{R}_d(X)$  in this paper is unrelated to the polynomial  $R_n(x)$  discussed in Part I.

**Proof.** Recall from above that

$$j(w) = j_5(b) = -\frac{(z^2 + 12z + 16)^3}{z + 11},$$

and

$$j(w/5) = j_{5,5}(b) = -\frac{(z^2 - 228z + 496)^3}{(z + 11)^5}.$$

Since  $z = -11 - x_1^3 \in \Omega_f$  and the real number  $j(w)$  has degree  $h(-d)$  over  $\mathbb{Q}$ , it is clear that the degree of  $z$  is either  $h(-d)$  or  $2h(-d)$ . Suppose the degree is  $h(-d)$ . Then  $\mathbb{Q}(z) = \mathbb{Q}(j(w))$ , which implies that  $z$  is real, and therefore  $j(w/5)$  is also real. We also know  $j(w/5) = j(\wp_{5,d})$ , where  $\wp_{5,d} = \wp_5 \cap \mathbb{R}_{-d}$ , so that  $j(\wp_{5,d}) = \overline{j(\wp_{5,d})} = j(\wp_{5,d}^{-1})$  implies that  $\wp_5$  must have order 1 or 2 in the ring class group of  $K \pmod{f}$ .

If  $\wp_5 \sim 1 \pmod{f}$ , then  $4 \cdot 5 = x_2^2 + dy_2^2$  for some integers  $x_2, y_2$ , which implies that  $d = 4, 11, 16, 19$ , the first of which is excluded. In the last three cases we have, respectively

$$H_{-11}(x) = x + 32^3, \quad H_{-16}(x) = x - 66^3, \quad H_{-19}(x) = x + 96^3.$$

(See [6].) In these cases there is only one irreducible polynomial  $Q_d(x)$  of degree  $4h(-d) = 4$  or less which divides  $F_d(x)$  in (2), which must therefore be the minimal polynomial of  $b$ . We have

$$Q_{11}(x) = x^4 + 4x^3 + 46x^2 - 4x + 1, \quad Q_{16}(x) = x^4 + 18x^3 + 200x^2 - 18x + 1, \\ Q_{19}(x) = x^4 + 36x^3 + 398x^2 - 36x + 1.$$

To each of these polynomials with root  $b$  corresponds the minimal polynomial  $\mathcal{R}_d(x)$  with root  $z = b - \frac{1}{b}$ . These are:

$$\mathcal{R}_{11}(x) = x^2 + 4x + 48, \quad \mathcal{R}_{16}(x) = x^2 + 18x + 202, \quad \mathcal{R}_{19}(x) = x^2 + 36x + 400,$$

each of which has the correct degree  $2h(-d) = 2$ .

Now suppose that the order of  $\wp_5$  is 2. Then  $\wp_5^2 \sim 1 \pmod{f}$  implies that  $4 \cdot 5^2 = x_2^2 + dy_2^2$  for  $x_2, y_2 \in \mathbb{Z}$  with  $x_2 \equiv y_2 \pmod{2}$ , if  $d$  is odd, giving the possibilities:

$$d = 51, 91, 99, \quad \text{with } h(-51) = h(-91) = h(-99) = 2;$$

and  $5^2 = x_2^2 + \frac{d}{4}y_2^2$ , if  $d$  is even, in which case we have the following possibilities:  $d = 24, 36, 64, 84, 96$ , with

$$h(-24) = h(-36) = h(-64) = 2, \quad h(-84) = h(-96) = 4.$$

We use the following class equations (see Fricke [12, III, pp. 401, 405, 420] for  $D = -24, -36, -64, -91$ ; and Fricke [13, III, p. 201] for  $D = -51$ ):

$$H_{-24}(x) = x^2 - 4834944x + 14670139392, \\ H_{-36}(x) = x^2 - 153542016x - 1790957481984, \\ H_{-51}(x) = x^2 + 5541101568x + 6262062317568, \\ H_{-64}(x) = x^2 - 82226316240x - 7367066619912, \\ H_{-91}(x) = x^2 + 10359073013760x - 3845689020776448, \\ H_{-99}(x) = x^2 + 37616060956672x - 56171326053810176.$$

These polynomials yield the following minimal polynomials for  $z$ :

$$\mathcal{R}_{24}(x) = x^4 - 12x^3 + 20x^2 + 3120x + 16912, \\ \mathcal{R}_{36}(x) = x^4 + 60x^3 + 3020x^2 + 51984x + 287248, \\ \mathcal{R}_{51}(x) = x^4 - 24x^3 + 6800x^2 + 155136x + 852736, \\ \mathcal{R}_{64}(x) = x^4 - 216x^3 + 17234x^2 + 430380x + 2362354, \\ \mathcal{R}_{91}(x) = x^4 - 216x^3 + 154448x^2 + 3449088x + 18965248, \\ \mathcal{R}_{99}(x) = x^4 + 872x^3 + 292624x^2 + 6230016x + 34284288.$$

We computed  $H_{-99}(x)$  and  $\mathcal{R}_{99}(x)$  directly from (11). In the same way we find

$$\mathcal{R}_{84}(x) = x^8 - 468x^7 + 81124x^6 + 3053232x^5 + 65642496x^4 + 1156633920x^3 \\ + 13586087488x^2 + 88268813568x + 244368064768,$$

$$\begin{aligned} \mathcal{R}_{96}(x) = & x^8 + 324x^7 + 230848x^6 + 5080248x^5 + 32351604x^4 + 88662672x^3 \\ & + 675333328x^2 + 2681910144x + 7697193232. \end{aligned}$$

Each of these polynomials is irreducible, so the quantity  $z$  always has degree  $2h(-d)$  over  $\mathbb{Q}$ . Since  $z \in \Omega_f$ , it follows that  $\Omega_f = \mathbb{Q}(z)$ . This proves the claim.  $\square$

**Remark.** The class equations appearing in the above proof are all the irreducible factors of the discriminant  $\text{disc}_y(\Phi_5(x, y))$  of the classical modular equation  $\Phi_5(x, y)$  for  $N = 5$ .

**Theorem 3.3.** *With  $z$  as in (13) and  $d > 4$ , the quantities  $b$  and  $\tau(b) = \frac{-b + \varepsilon^5}{\varepsilon^5 b + 1}$  are 5-th powers in the field  $F$ , and if*

$$\xi^5 = \tau(b) \quad \text{and} \quad \eta^5 = b, \tag{22}$$

then  $(X, Y) = (\xi, \eta)$  is a solution in  $F$  of the equation

$$X^5 + Y^5 = \varepsilon^5(1 - X^5 Y^5). \tag{23}$$

Such numbers  $\xi$  and  $\eta$  exist for which  $\xi \in \mathbb{Q}(\tau(b))$  and  $\eta \in \mathbb{Q}(b)$ .

**Proof.** From (22) and the last part of the proof of Theorem 3.1, we have

$$b = \frac{1}{\varepsilon^5 u_1^5} = \eta^5, \quad \tau(b) = \frac{1}{\varepsilon^5 u^5} = \xi^5;$$

with

$$\eta = \delta \zeta^i r^\delta \left(\frac{w}{5}\right), \quad \xi = \delta \zeta^{\delta j} r^\delta \left(\frac{-1}{w}\right), \quad \delta = \pm 1. \tag{24}$$

The relation  $\xi^5 = \tau(\eta^5)$  implies that  $(X, Y) = (\xi, \eta)$  lies on (23). It only remains to prove that  $\eta = \frac{1}{\varepsilon u_1} = b^{1/5}$  can be chosen to lie in  $\mathbb{Q}(b)$ . The polynomial  $q(X) = X^5 - b$  has the root  $\eta$  and splits completely in  $F$ . Since the degree  $[F : \Omega_f] = 8$  is not divisible by 5 or by 3, and the degree  $[\mathbb{Q}(b) : \Omega_f] = [\mathbb{Q}(b) : \mathbb{Q}(z)]$  divides 2,  $q(X)$  has to factor into a product of a linear and a quartic polynomial, or a linear times a product of two quadratics over  $\mathbb{Q}(b)$ . Hence, at least one root of  $q(X)$  has to lie in  $\mathbb{Q}(b)$ , and we can assume this root is  $\eta$ . In the same way, we can assume  $\xi \in \mathbb{Q}(\tau(b))$ .  $\square$

**Remark.** When  $d = 4$ ,  $(X, Y) = (\xi, \eta) = (-i, i)$  is a solution of the equation (23), corresponding to the values  $b = i, z = 2i$ .

Using (22), we see that

$$j(w/5) = j(E_5(\tau(b))) = j(E_5(\xi^5)) = \frac{(1 - 12\xi^5 + 14\xi^{10} + 12\xi^{15} + \xi^{20})^3}{\xi^{25}(1 - 11\xi^5 - \xi^{10})},$$

while  $\xi^5 = \tau(\eta^5)$  and (8) imply that

$$j(w/5) = \frac{(1 + 228\eta^5 + 494\eta^{10} - 228\eta^{15} + \eta^{20})^3}{\eta^5(1 - 11\eta^5 - \eta^{10})^5}. \tag{25}$$

In the same way we have

$$\begin{aligned}
 j(w) &= \frac{(1 - 12\eta^5 + 14\eta^{10} + 12\eta^{15} + \eta^{20})^3}{\eta^{25}(1 - 11\eta^5 - \eta^{10})} \\
 &= \frac{(1 + 228\xi^5 + 494\xi^{10} - 228\xi^{15} + \xi^{20})^3}{\xi^5(1 - 11\xi^5 - \xi^{10})^5}.
 \end{aligned}$$

It follows that the minimal polynomials of  $\xi$  and  $\eta$  divide the polynomial  $F_d(x^5)$ , where  $F_d(x)$  is given by (2), as well as the polynomial  $G_d(x^5)$ , where

$$G_d(x^5) = x^{5h(-d)}(1 - 11x^5 - x^{10})^{5h(-d)}H_{-d}(j_{5,5}(x^5)). \tag{26}$$

#### 4. Fields generated by values of $r(\tau)$ .

If  $\mathcal{R}_d(X)$  is the minimal polynomial of  $z = b - 1/b$  over  $\mathbb{Q}$ , as in Proposition 3.2, define the polynomial  $Q_d(X)$  by

$$Q_d(X) = X^{2h(-d)}\mathcal{R}_d\left(X - \frac{1}{X}\right). \tag{27}$$

The case  $d = 4$  is unusual, in that

$$F_4(x) = (x^2 + 1)^2(x^4 + 18x^3 + 74x^2 - 18x + 1)^2$$

is divisible by a square factor, so that  $Q_4(x) = x^2 + 1$ . In all other cases we have the following result. We will need the well-known fact that

$$-z - 11 = x_1(w)^3 \cong \wp_5^3. \tag{28}$$

(See [9, p.32].)

**Proposition 4.1.** *If  $d > 4$ , the polynomial  $Q_d(x)$  defined by (27) is an irreducible factor of  $F_d(x)$  of degree  $4h(-d)$ . Both  $b$  and  $\tau(b)$  are roots of  $Q_d(x)$ . Furthermore,  $Q_d(x^5)$  is divisible by an irreducible factor  $p_d(x)$  of degree  $4h(-d)$  having  $\eta$  as a root.*

**Proof.** Certainly,  $b$  is a root of  $Q_d(x)$ . If  $Q_d(x)$  were reducible, it would have to factor into a product of two polynomials of degree  $2h(-d)$  over  $\mathbb{Q}$ . Neither of these polynomials would be invariant under  $z \rightarrow U(z) = \frac{-1}{z}$ , since this would imply that  $\mathcal{R}_d(x)$  factors. Hence,  $b$  would have to lie in  $\Omega_f$ , and

$$Q_d(x) = f(x) \cdot x^{2h(-d)}f(-1/x)$$

for some irreducible  $f(x)$  having  $b$  as a root. Next, note that

$$\tau(b) - \frac{1}{\tau(b)} = \frac{\varepsilon^5 b - \varepsilon^5}{b - \varepsilon^5} + \frac{\varepsilon^5 b - \bar{\varepsilon}^5}{b - \varepsilon^5} = \frac{-11b^2 + 4b + 11}{b^2 + 11b - 1} = \frac{-11z + 4}{z + 11}.$$

Putting  $z_1 = \tau(b) - \frac{1}{\tau(b)}$ , the last equation gives

$$-z_1 - 11 = \frac{125}{-z - 11} = \frac{125}{x_1(w)^3} = x_1(-5/w)^3,$$



by the transformation formula  $\eta(-1/\tau) = \sqrt{\frac{\tau}{i}}\eta(\tau)$  for the Dedekind  $\eta$ -function. Furthermore,

$$\frac{-5}{w} = \frac{-5w'}{N(w)} = \frac{-w'}{a} = \frac{-v + \sqrt{-d}}{2a}$$

is an ideal basis quotient for the ideal  $\mathfrak{a}' = (a, -w')$ , where  $\wp_5\mathfrak{a} = (w)$  and therefore  $\wp_5'\mathfrak{a}' = (-w')$ . It follows that

$$x_1(-5/w)^3 = \left( \frac{\eta\left(\frac{-w'}{5a}\right)}{\eta\left(\frac{-w'}{a}\right)} \right)^6 = \overline{x_1(w/a)^3}.$$

From [9, p.32] we have with  $z_2 = \bar{z}_1$  that

$$-z_2 - 11 = x_1(w/a)^3 \cong \wp_5'^3 \cong -z - 11$$

and  $J(z_2) = j(w/a)$ , in the notation of Lemma 2.2. That lemma implies that  $z_2 = z^{\sigma^{-1}}$  is a conjugate of  $z$  over  $K$ . Hence  $z_1$  is a conjugate of  $z$  over  $\mathbb{Q}$ , and therefore also a root of  $\mathcal{R}_d(X) = 0$ . This shows that  $\tau(b)$  is also a root of  $Q_d(x) = 0$ . But then either  $\tau(b)$  or  $\frac{-1}{\tau(b)}$  is a conjugate of  $b$  over  $\mathbb{Q}$ . From the formula (7) for  $\tau(b)$ , which is linear fractional in  $\varepsilon^5$  with determinant  $b^2 + 1 \neq 0$  (for  $d > 4$ ), this would imply that  $\sqrt{5} \in \Omega_f$ , which is not the case, since  $p = 5$  is not ramified in  $\Omega_f$ . Therefore  $Q_d(x)$  is irreducible over  $\mathbb{Q}$ .

The last assertion of this proposition follows from the equation  $\eta^5 = b$  and the above arguments. We have chosen  $\eta$  so that  $\eta \in \mathbb{Q}(b)$ , so the minimal polynomial of  $\eta$ , namely  $p_d(x)$ , has degree  $4h(-d)$ . □

As a corollary of this argument we have:

**Corollary 4.2.** *The roots of  $\mathcal{R}_d(x) = 0$  are invariant under the map  $x \rightarrow \frac{-11x+4}{x+11}$ .*

$$(x + 11)^{2h(-d)}\mathcal{R}_d\left(\frac{-11x + 4}{x + 11}\right) = 5^{3h(-d)}\mathcal{R}_d(x).$$

Note that the substitution  $z \rightarrow V(z) = \frac{-11z+4}{z+11}$  has the effect of interchanging  $j(w)$  and  $j(w/5)$ , as functions of  $z = b - \frac{1}{b}$ .

**Proposition 4.3.** *If  $d > 4$ , the minimal polynomial  $p_d(x)$  of  $\eta = b^{1/5}$  over  $\mathbb{Q}$  is irreducible and normal over  $L = \mathbb{Q}(\zeta_5)$ . Furthermore,*

$$F = (\Sigma_5\Omega_f \text{ or } \Sigma_5\Omega_{5f}) = \mathbb{Q}(b, \zeta_5) = \mathbb{Q}(\eta, \zeta_5)$$

*is the disjoint compositum of  $\mathbb{Q}(b) = \mathbb{Q}(\eta)$  and  $\mathbb{Q}(\zeta_5)$  over  $\mathbb{Q}$ . The same facts hold with  $b$  replaced by  $\tau(b)$  and  $\eta$  replaced by  $\xi$ .*

**Proof.** We know that a root of  $p_d(x)$  generates a quadratic extension of  $\Omega_f$  over  $\mathbb{Q}$ . Hence, the field  $L(\eta)$  contains  $L\Omega_f$ . On the other hand, the roots  $u$  of (16) are contained in  $L(\eta)$ , since  $\xi = (\varepsilon u)^{-1}$  lies in  $\mathbb{Q}(\tau(b)) \subseteq \mathbb{Q}(b, \sqrt{5}) \subseteq$

$L(\eta)$ , by Theorem 3.3. Since the  $X$ -coordinates of points in  $E_5[5]$  generate  $F$  over  $\Omega_f$ , and these  $X$ -coordinates are rational functions in  $u$  with coefficients in  $L$ , by the formulas in [22], it follows that  $F = L(\eta) = \mathbb{Q}(b, \zeta_5)$ , and therefore  $[L(\eta) : L] = \frac{16h(-d)}{4} = 4h(-d)$ . This shows that  $p_d(x)$  is irreducible over  $L = \mathbb{Q}(\zeta_5)$  and implies that  $\mathbb{Q}(b) \cap \mathbb{Q}(\zeta_5) = \mathbb{Q}$ .  $\square$

This proposition also shows that the polynomial  $Q_d(x)$  is not normal over  $\mathbb{Q}$ , since it has both  $b$  and  $\tau(b)$  as roots, and  $\sqrt{5} \notin \mathbb{Q}(b)$ . Hence,  $p_d(x)$  is also not normal over  $\mathbb{Q}$ . But  $\mathbb{Q}(b) \subset F$  is abelian over  $K$  and  $\mathbb{Q}(b)$  and  $\Omega_f(\zeta_5)$  are linearly disjoint over  $\Omega_f$ .

**Corollary 4.4.** *If  $Q_d(x^5) = p_d(x)q_d(x)$ , then  $q_d(x)$  is irreducible over  $\mathbb{Q}$ , of degree  $16h(-d)$ , and  $p_d(\xi) = 0$ . Moreover,  $x^{4h(-d)}p_d(-1/x) = p_d(x)$  and  $x^{16h(-d)}q_d(-1/x) = q_d(x)$ .*

**Proof.** To show that the polynomial  $q_d(x)$  in  $Q_d(x^5) = p_d(x)q_d(x)$  is irreducible, note that  $b \in \mathbb{Q}(\zeta\eta)$  implies  $\eta$  and therefore also  $\zeta$  lies in this field. Thus,  $\mathbb{Q}(\zeta\eta) = \mathbb{Q}(\zeta, \eta) = F$  has degree 8 over  $\Omega_f$  and degree  $16h(-d)$  over  $\mathbb{Q}$ . This implies that  $\zeta\eta$ , which is a root of  $Q_d(x^5)$ , must be a root of  $q_d(x)$ , hence  $q_d(x)$  is irreducible. Since the set of roots of  $Q_d(x^5)$  is stable under the mapping  $x \rightarrow -1/x$  and  $p_d(x)$  and  $q_d(x)$  have different degrees, the respective sets of roots of the latter polynomials must also be stable under this map. The fact that  $x^{4h(-d)}p_d(-1/x) = p_d(x)$  now follows from the norm formula

$$N_{\mathbb{Q}(\eta)/\mathbb{Q}}(\eta) = N_{\Omega_f/\mathbb{Q}}(N_{\mathbb{Q}(\eta)/\Omega_f}(\eta)) = 1.$$

This holds because (11) implies  $\eta$  is a unit ( $z$  is an algebraic integer) and  $\Omega_f$  is complex. Finally,  $\xi$  must also be a root of  $p_d(x)$ , by Proposition 4.1, since  $\xi$  and  $\tau(b)$  have degree  $4h(-d)$  over  $\mathbb{Q}$ .  $\square$

This corollary allows us to prove the following.

**Theorem 4.5.** *The quantities  $\eta$  and  $\xi$  satisfy*

$$\eta = \delta r^\delta \left(\frac{w}{5}\right), \quad \xi = \delta \zeta^{\delta j} r^\delta \left(\frac{-1}{w}\right), \quad \delta = \pm 1, \quad \zeta^j \neq 1, \quad (29)$$

*and are roots of  $p_d(x)$ . Thus, the roots of  $p_d(x)$  are conjugates over  $\mathbb{Q}$  of the values  $r(w/5)$  and  $\zeta^j r(-1/w)$  of the Rogers-Ramanujan function  $r(\tau)$ .*

**Remark.** This and Theorem 3.3 prove the first assertion of Theorem 1.1.

**Proof.** First note that the map  $\sigma : b \rightarrow -1/b$  is an automorphism of  $\mathbb{Q}(b)$  which fixes  $\Omega_f = \mathbb{Q}(z)$ . Since  $\eta$  is the only fifth root of  $b$  contained in  $\mathbb{Q}(b)$ , this automorphism takes  $\eta$  to  $\eta^\sigma = -1/\eta$  and therefore  $\eta - 1/\eta \in \Omega_f$ . Furthermore,  $\eta' = \zeta\eta$  is a root of the polynomial  $q_d(x)$  in Corollary 4.4, and  $\eta' \rightarrow -1/\eta'$  is likewise an automorphism of order 2 of the field  $F$ . But then  $\eta' - 1/\eta'$  has degree  $8h(-d)$  over  $\mathbb{Q}$ , since  $\eta'$  is a primitive element for  $F$  over

$\mathbb{Q}$ , so that  $\eta' - 1/\eta' \notin \Omega_f$ . On the other hand, the function  $r(\tau)$  satisfies the identity

$$r^{-1}(\tau) - 1 - r(\tau) = \frac{\eta(\tau/5)}{\eta(5\tau)},$$

by [10, p. 149]. Putting  $\tau = w/5$  therefore gives that

$$r(w/5) - r^{-1}(w/5) = -1 - \frac{\eta(w/25)}{\eta(w)} = -1 - y(w) \in \Omega_f.$$

Now the first formula in (24) implies that  $i = 0$ , i.e., that the first formula in (29) holds. On the other hand, putting  $\tau = -1/w$  gives

$$\begin{aligned} r(-1/w) - r^{-1}(-1/w) &= \frac{\bar{\epsilon}r(w) + 1}{r(w) - \bar{\epsilon}} - \frac{r(w) - \bar{\epsilon}}{\bar{\epsilon}r(w) + 1} \\ &= -\frac{r^2(w) - 4r(w) - 1}{r^2(w) + r(w) - 1}, \end{aligned} \tag{30}$$

and the last expression is linear fractional (with determinant  $-5$ ) in the expression

$$r(w) - r^{-1}(w) = -1 - \frac{\eta(w/5)}{\eta(5w)} = -1 - y(5w). \tag{31}$$

In this case,  $y(5w) \in \Omega_{5f}$  [23, p. 159], but  $y(5w) \notin \Omega_f$ , since

$$y(5w)^{24} = \left(\frac{\eta(w/5)}{\eta(w)}\right)^{24} \left(\frac{\eta(w)}{\eta(5w)}\right)^{24} = x_1(w)^{12} \frac{\Delta(w, 1)}{\Delta(5w, 1)} = x_1(w)^{12} \frac{5^{12}}{\varphi_P(w)},$$

where  $P$  is the  $2 \times 2$  diagonal matrix with entries 5 and 1, in the notation of Hasse [14] and Deuring [9]. By [9, p.43],  $\varphi_P(w)$  is a unit, so this gives that  $y(5w)^{24} \cong \varphi_5^{12} 5^{12} = \varphi_5^{24} \varphi_5^{12}$ , i.e.  $y(5w)^2 \cong \varphi_5^{12} \varphi_5$ . This equation implies that  $\varphi_5$  is the square of an ideal in  $\Omega_f(y(5w))$ , which shows that  $y(5w) \notin \Omega_f$ . Since  $\xi - \xi^{-1} \in \Omega_f$ , this proves that  $\zeta^j \neq 1$  in (24), i.e. that (29) holds.  $\square$

**Theorem 4.6.** *If  $d \neq 4f^2$  and  $z = b - \frac{1}{b}$  is given by (11), then  $\mathbb{Q}(b) = \Sigma_{\varphi_5'} \Omega_f$  is the compositum of  $\Omega_f$  with the ray class field of conductor  $\varphi_5'$  over  $K$ ; and  $\mathbb{Q}(\tau(b)) = \Sigma_{\varphi_5} \Omega_f$ . Furthermore, the normal closure of  $\mathbb{Q}(b)$  over  $\mathbb{Q}$  is  $\mathbb{Q}(b, \sqrt{5}) = \Sigma_{\varphi_5} \Sigma_{\varphi_5'} \Omega_f$ .*

**Proof.** First note that  $[\Sigma_{\varphi_5'} : \Sigma] = \phi(\varphi_5')/2 = 2$ , so that  $[\Sigma_{\varphi_5'} \Omega_f : \Omega_f] = 2$ . Moreover, the quadratic extensions  $\Sigma_{\varphi_5'} \Omega_f$  and  $\Sigma_{\varphi_5} \Omega_f$  are contained in  $F = \Sigma_5 \Omega_f$ , because  $\Sigma_{\varphi_5'}, \Sigma_{\varphi_5} \subset \Sigma_5$ . On the other hand,  $\text{Gal}(F/\Omega_f) \cong \mathbb{Z}_2 \times \mathbb{Z}_4$ , so that  $F$  has three quadratic subfields over  $\Omega_f$ . These subfields are  $F_1 = \Omega_f(b), F_2 = \Omega_f(\tau(b)), F_3 = \Omega_f(\sqrt{5})$ . The field  $F_3$  is normal over  $\mathbb{Q}$ , while  $F_1$  and  $F_2$  must coincide with the fields  $\Sigma_{\varphi_5'} \Omega_f$  and  $\Sigma_{\varphi_5} \Omega_f$ . The quantity  $b$  satisfies the equation  $b^2 - bz - 1 = 0$ , whose discriminant  $z^2 + 4 =$

$(z + 1)(z - 1) + 5$  is divisible by  $\wp'_5$  (by (28)). Now note the congruence (from (5))

$$j(w) \equiv -\frac{(z^2 + 2z + 1)^3}{z + 1} \equiv -(z + 1)^5 \pmod{\wp_5}.$$

This implies that  $j(w)$  is conjugate to  $-(z + 1) \pmod{\mathfrak{p}}$  for every prime divisor  $\mathfrak{p}$  of  $\wp_5$  in  $\Omega_f$ . Further, the discriminant of  $H_{-d}(x)$  is not divisible by  $p = 5$ , since the Legendre symbol  $(\frac{-d}{5}) = +1$  (see [8]). Hence, the minimal polynomial  $m_d(x)$  of  $z$  over  $K$  satisfies

$$m_d(x) \equiv (-1)^{h(-d)} H_{-d}(-x - 1) \pmod{\wp_5},$$

and factors into irreducibles of degree  $f_1 = \text{ord}(\wp_5)$ , where  $f_1$  is the order of  $\wp_5$  in the ring class group  $(\text{mod } f)$  of  $K$ . If  $f_1 \geq 2$ , then certainly  $x = 1$  is not a root of  $m_d(z) \pmod{\wp_5}$ , so no prime divisor of  $\wp_5$  divides  $z - 1$ . If  $f_1 = 1$ , then by the calculations of Proposition 3.2,  $d$  is 11 or 19 (since  $d \neq 16$  by assumption); and it can be checked that

$$\mathcal{R}_{11}(x) \equiv (x + 1)(x + 3), \quad \mathcal{R}_{19}(x) \equiv x(x + 1) \pmod{5}.$$

It follows that no prime divisor of  $\wp_5$  divides  $z - 1$ , for any  $d$ . Hence, only the prime divisors of  $\wp'_5$  in  $\Omega_f$  can be ramified in  $\Omega_f(b)/\Omega_f$ . It follows that  $\wp'_5$  must divide the conductor of  $F_1$ , which proves the first assertion. Then the field  $\Sigma_{\wp_5} \Sigma_{\wp'_5} \Omega_f = F_1 F_2$  is obviously the smallest normal extension of  $\mathbb{Q}$  containing  $\mathbb{Q}(b)$ . □

**Corollary 4.7.** *If  $d \neq 4f^2$ ,  $w$  is defined by (10), and  $\zeta^j$  is as in (29), then*

$$\mathbb{Q}(r(w/5)) = \mathbb{Q}(b) = \Sigma_{\wp'_5} \Omega_f, \quad \mathbb{Q}(\zeta^j r(-1/w)) = \mathbb{Q}(\tau(b)) = \Sigma_{\wp_5} \Omega_f,$$

and  $\mathbb{Q}(r(-1/w)) = \mathbb{Q}(r(w)) = F = \Sigma_5 \Omega_f$ . The field  $F_1 = \mathbb{Q}(\eta) = \mathbb{Q}(r(w/5))$  is the inertia field for  $\wp_5$  in the abelian extension  $F/K$ .

**Remark.** This and Theorem 4.8 prove the remaining assertions in Theorem 1.1. In Cho's notation [5], the field  $\Sigma_{\wp'_5} \Omega_f = K_{\wp'_5, \mathcal{O}}$ , where  $\mathcal{O} = R_{-d}$ .

**Proof.** The first assertion follows directly from Theorems 4.5 and 4.6, since  $\mathbb{Q}(r(w/5)) = \mathbb{Q}(\eta) = \mathbb{Q}(b)$ . The fact that  $\mathbb{Q}(r(-1/w)) = F$  follows from  $r^\delta(-1/w) = \delta \zeta^{-\delta j} \xi$  and the proof of Corollary 4.4, which shows that  $\zeta^{-\delta j} \xi$  is a root of the irreducible polynomial  $q_d(x)$ . By (30),  $r(w)$  generates a field over  $\mathbb{Q}$  containing  $\Omega_f$  whose degree is at least  $8h(-d)$ , since  $r(-1/w) - r^{-1}(-1/w)$  generates the fixed field of the automorphism

$$r(-1/w) \rightarrow -r^{-1}(-1/w),$$

which also contains  $\xi^5 - 1/\xi^5 = \tau(b) - 1/\tau(b)$ , i.e., a root of  $\mathcal{R}_d(X) = 0$ . Hence,  $r(w)$  must have degree at least 4 over  $\Omega_f$ . If this degree equals 4, so that  $[\mathbb{Q}(r(w)) : \mathbb{Q}] = 8h(-d)$ , then  $\mathbb{Q}(r(w))/\Omega_f \subseteq F/\Omega_f$  is a quartic extension which contains  $\sqrt{5}$ . (This is easiest to see using the correspondence between abelian extensions of  $\Omega_f$  and characters of  $\text{Gal}(F/\Omega_f) \cong \mathbb{Z}_2 \times \mathbb{Z}_4$ , as in [16, p. 5].) Therefore  $r(-1/w) \in \mathbb{Q}(r(w))$  by (20) and would not

generate  $F$ . This contradiction proves that  $r(w)$  has degree  $16h(-d)$  over  $\mathbb{Q}$  and  $\mathbb{Q}(r(w)) = F$ . The last assertion follows from the fact that the ramification index of the prime divisors of  $\wp_5$  in  $F/K$  is  $e = 4 = [F : F_1]$ , so that  $F_1$  is the maximal subextension of  $F$  which is unramified at  $\wp_5$ .  $\square$

In the case  $K = \mathbb{Q}(i)$ , we have  $\Sigma_{\wp_5} = \Sigma_{\wp'_5} = K$ , so the conclusion of Theorem 4.6 cannot hold. However, the fact that  $\wp'_5$  ramifies and  $\wp_5$  does not ramify in the quadratic extension  $\Omega_f(b)/\Omega_f$  follows in exactly the same way, since  $\mathcal{R}_{16}(x) \equiv (x + 1)(x + 2) \pmod{5}$ . This gives the following result.

**Theorem 4.8.** *If  $K = \mathbb{Q}(i)$ ,  $d = 4f^2 > 4$  and  $2 \mid f$ , then with the value of  $j$  in (29),*

$$\mathbb{Q}(r(w/5)) = \mathbb{Q}(b) = \Sigma_{2\wp'_5}\Omega_f \quad \text{and} \quad \mathbb{Q}(\zeta^j r(-1/w)) = \mathbb{Q}(\tau(b)) = \Sigma_{2\wp_5}\Omega_f.$$

*In general, if  $d = 4f^2 > 4$ , then  $\mathbb{Q}(r(-1/w)) = \mathbb{Q}(r(w)) = F = \Sigma_5\Omega_{5f}$ ; and  $F_1 = \mathbb{Q}(\eta)$  is the inertia field for  $\wp_5$  in the abelian extension  $F/K$ .*

**Remark.** The result  $F = \mathbb{Q}(r(w)) = L_{O,5}$  in Corollary 4.7 and Theorem 4.8 generalizes the example in [6, p. 316], which deals with the case  $d = 4$ .

**Proof.** In this case we have  $f = 2f'$  and  $\Omega_{5f} = \Omega_{10}\Omega_f$ , by Hasse’s Zusatz in [15, p. 326]. Therefore  $F = \Sigma_5\Omega_{10}\Omega_f$ . On the other hand,  $S_5 \cap P_{10} \subset S_{2\wp'_5}$  in  $K = \mathbb{Q}(i)$ , when these ideal groups are declared modulo 10, so we have that  $\Sigma_{2\wp'_5} \subset \Sigma_5\Omega_{10}$  and  $\Sigma_{2\wp'_5}\Omega_f \subset F$ . Since  $[\Sigma_{2\wp'_5} : K] = 2$  and  $\wp'_5$  ramifies in  $\Sigma_{2\wp'_5}$ , it is clear that  $[\Sigma_{2\wp'_5}\Omega_f : \Omega_f] = 2$ . Now the proof of Theorem 4.6 shows that  $\mathbb{Q}(b) = \Sigma_{2\wp'_5}\Omega_f$  and  $\mathbb{Q}(\tau(b)) = \Sigma_{2\wp_5}\Omega_f$  and the rest is a consequence of Theorem 4.5 and the same arguments as in the last corollary.  $\square$

**Remark.** When  $K = \mathbb{Q}(i)$  and  $f$  is odd, the conductor  $\mathfrak{f}(F_1/K)$  of  $F_1/K$  divides  $\wp'_5(f)$ , and is divisible by the conductor  $\mathfrak{f}(\Omega_f/K)$ . Since  $f$  is odd,  $\mathfrak{f}(\Omega_f/K) = (f)$ , so that  $\mathfrak{f}(F_1/K) = \wp'_5(f)$ . (See [6, Ex. 9.20, pp. 195–196].) In the general case  $d > 4$  it is not hard to see that the equality  $\mathfrak{f}(F_1/K) = \wp'_5(f)$  still holds, unless  $-d = d_K f^2 \neq -4f^2$ ,  $d_K \equiv 1 \pmod{8}$ , and  $f = 2f'$  with odd  $f'$ ; in which case  $\mathfrak{f}(F_1/K) = \wp'_5(f')$ . As an example of the latter phenomenon, see the polynomial  $p_{124}(x)$  in Table 2 below, for which  $f = 2$ , but whose discriminant is not divisible by 2.

In Tables 1 and 2 are listed the minimal polynomials  $p_d(x)$  of the values  $r(w/5)$  for all  $d < 150$ . For most values of  $d$ ,  $p_d(x)$  was computed from  $H_{-d}(x)$  using the fact that  $p_d(x) \mid F_d(x^5)$  with  $F_d(x)$  in (2). For  $d \neq 4f^2$  for which  $H_{-d}(x)$  was not available,  $p_d(x)$  was computed by approximating to high accuracy the values of  $r(\tau) = r(w/(5a))$  at ideal basis quotients of representatives  $\wp_5\mathfrak{a} = (5a, w)$  of the classes in the ray class group modulo  $\mathfrak{f} = \wp'_5$  of  $\mathbb{R}_{-d}$ , for which  $\wp_5^2 \mid (w)$ , in line with (10). (See [23, p.88].) This gives  $2h(-d)$  values  $r(w/(5a))$ , which are class invariants for the ideal class group  $\mathbb{A}/\mathbb{H}_{\wp'_5 f}$ , where  $\mathbb{A}$  is the group of fractional ideals of  $K$  prime

to  $\wp'_5(f)$  and  $H = H_{\wp'_5 f}$  is the ideal group of conductor  $\wp'_5(f)$  (or  $\wp'_5(f')$ ) corresponding to the class field  $\mathbb{Q}(r(w/5))/K$ . Then

$$p_d(x) = \prod_{a \bmod H} \left(x - r\left(\frac{w}{5a}\right)\right)\left(x - \bar{r}\left(\frac{w}{5a}\right)\right).$$

A similar computation was carried out for  $d = 4f^2$ . In Section 5 below we will give an algebraic method for verifying these calculations. The discriminants of these polynomials seem to satisfy the following.

- Conjecture.**
- (1) *If  $q > 5$  is a prime which divides  $d_K$  but does not divide  $f$ , then  $q^{2h(-d)}$  exactly divides  $\text{disc}(p_d(x))$ .*
  - (2) *If  $h = h(-d)$ ,  $5^{h(2h-1)}$  exactly divides  $\text{disc}(p_d(x))$ .*
  - (3)  *$\text{disc}(p_d(x))$  is only divisible by primes  $q \leq d$ .*
  - (4) *If  $q \neq 5$  is a prime dividing  $\text{disc}(p_d(x))$ , then the Kronecker symbol  $\left(\frac{-d}{q}\right) \neq 1$ .*

## 5. Periodic points of an algebraic function.

**5.1. Preliminary facts on the group  $G_{60}$ .** In this section we shall make use of the fact that the rational function

$$f_5(z) = \frac{(1 + 228z^5 + 494z^{10} - 228z^{15} + z^{20})^3}{z^5(1 - 11z^5 - z^{10})^5}$$

is invariant under a group  $G_{60}$  of linear fractional substitutions:

$$G_{60} = \langle S, T \rangle, \quad S(z) = \zeta z, \quad T(z) = \frac{-(1 + \sqrt{5})z + 2}{2z + 1 + \sqrt{5}},$$

which is isomorphic to the icosahedral group  $A_5$ . (In this subsection,  $z$  is taken to be an indeterminate.) The coefficients of the maps in  $G_{60}$  are in the field  $\mathbb{Q}(\zeta_5)$ . The transformations  $S$  and  $T$  have orders 5 and 2, respectively, while the transformation

$$U(z) = \frac{-1}{z}$$

is given in terms of  $S$  and  $T$  by  $U = T \cdot S^2 \cdot T \cdot S^3 \cdot T \cdot S^2$ . (See [12, II, pp. 42-43].) Furthermore,

$$H = \{1, T, U, TU\}$$

is a Klein-4 subgroup of  $G_{60}$ , where  $TU(z) = UT(z) = -1/T(z) = T_2(z)$ , and

$$T_2(z) = \frac{-(1 - \sqrt{5})z + 2}{2z + 1 - \sqrt{5}}.$$

Thus,  $U = TT_2 = T_2T$ . The normalizer of  $H$  in  $G_{60}$  is  $N = \langle A, H \rangle \cong A_4$ , where  $A = STS^{-2}$  is the map

$$A(z) = \zeta^3 \frac{(1 + \zeta)z + 1}{z - 1 - \zeta^4}$$

TABLE 1. The minimal polynomial  $p_d(x)$  of  $r(w/5)$ ,  $w = \frac{v+\sqrt{-d}}{2}$ ,  $5^2 \mid N(w)$ ,  $11 \leq d \leq 99$ .

$d$	$p_d(x)$	$\text{disc}(p_d(x))$
11	$x^4 - x^3 + x^2 + x + 1$	$5 \cdot 11^2$
16	$x^4 - 2x^3 + 2x + 1$	$2^6 5$
19	$x^4 + x^3 + 3x^2 - x + 1$	$5 \cdot 19^2$
24	$x^8 - 2x^7 + x^6 - 4x^5 + 3x^4 + 4x^3 + x^2 + 2x + 1$	$2^{12} 3^4 5^6$
31	$x^{12} - x^{11} + 5x^{10} - 4x^9 + 8x^8 - 2x^7 + 19x^6 + 2x^5 + 8x^4 + 4x^3 + 5x^2 + x + 1$	$3^8 5^{15} 31^6$
36	$x^8 + x^6 - 6x^5 + 9x^4 + 6x^3 + x^2 + 1$	$2^8 3^6 5^6 11^4$
39	$x^{16} - 3x^{15} + 7x^{14} - 9x^{13} + 21x^{12} - 15x^{11} + 17x^{10} + 3x^9 + 11x^8 - 3x^7 + 17x^6 + 15x^5 + 21x^4 + 9x^3 + 7x^2 + 3x + 1$	$3^8 5^{28} 7^8 13^8$
44	$x^{12} - x^{11} + 6x^{10} + 15x^8 + 9x^6 + 15x^4 + 6x^2 + x + 1$	$2^8 5^{15} 11^6 19^4$
51	$x^8 + x^7 + x^6 - 7x^5 + 12x^4 + 7x^3 + x^2 - x + 1$	$2^{12} 3^4 5^6 17^4$
56	$x^{16} + 8x^{14} - 4x^{13} + 15x^{12} - 12x^{11} + 50x^{10} + 4x^9 + 91x^8 - 4x^7 + 50x^6 + 12x^5 + 15x^4 + 4x^3 + 8x^2 + 1$	$2^{40} 5^{28} 7^8 31^4$
59	$x^{12} - 4x^{11} + 5x^{10} - 2x^9 + 14x^8 - 2x^7 - 24x^6 + 2x^5 + 14x^4 + 2x^3 + 5x^2 + 4x + 1$	$2^{20} 5^{15} 59^6$
64	$x^8 + 4x^7 + 10x^6 + 8x^5 + 12x^4 - 8x^3 + 10x^2 - 4x + 1$	$2^{18} 3^8 5^6$
71	$x^{28} - 6x^{27} + 17x^{26} - 45x^{25} + 104x^{24} - 164x^{23} + 277x^{22} - 357x^{21} + 388x^{20} - 319x^{19} + 316x^{18} + 135x^{17} - 144x^{16} + 83x^{15} - 551x^{14} - 83x^{13} - 144x^{12} - 135x^{11} + 316x^{10} + 319x^9 + 388x^8 + 357x^7 + 277x^6 + 164x^5 + 104x^4 + 45x^3 + 17x^2 + 6x + 1$	$5^{91} 7^{16} 23^8 71^{14}$
76	$x^{12} - 5x^{11} + 12x^{10} - 2x^9 - 21x^8 + 12x^7 + 35x^6 - 12x^5 - 21x^4 + 2x^3 + 12x^2 + 5x + 1$	$2^8 3^{12} 5^{15} 19^6$
79	$x^{20} + 9x^{18} - 12x^{17} + 18x^{16} - 9x^{15} + 117x^{14} - 33x^{13} + 99x^{12} - 207x^{11} + 353x^{10} + 207x^9 + 99x^8 + 33x^7 + 117x^6 + 9x^5 + 18x^4 + 12x^3 + 9x^2 + 1$	$3^{28} 5^{45} 29^8 79^{10}$
84	$x^{16} + 2x^{15} - 4x^{14} - 12x^{13} + 25x^{12} - 18x^{11} + 68x^{10} - 112x^9 + 13x^8 + 112x^7 + 68x^6 + 18x^5 + 25x^4 + 12x^3 - 4x^2 - 2x + 1$	$2^{32} 3^{20} 5^{28} 7^8 59^4$
91	$x^8 + 4x^7 - x^6 - 14x^5 + 23x^4 + 14x^3 - x^2 - 4x + 1$	$2^8 3^4 5^6 7^4 13^4$
96	$x^{16} + 4x^{15} + 29x^{12} - 24x^{11} + 86x^{10} - 32x^9 + 105x^8 + 32x^7 + 86x^6 + 24x^5 + 29x^4 - 4x + 1$	$2^{32} 3^{24} 5^{28} 71^4$
99	$x^8 + 7x^7 + 15x^6 + 15x^5 + 16x^4 - 15x^3 + 15x^2 - 7x + 1$	$2^{12} 3^4 5^6 11^4$

TABLE 2. The minimal polynomial  $p_d(x)$  of  $r(w/5)$ ,  $w = \frac{v+\sqrt{-d}}{2}$ ,  $5^2 \mid N(w)$ ,  $104 \leq d \leq 144$ .

$d$	$p_d(x)$	$\text{disc}(p_d(x))$
104	$x^{24} - 4x^{23} + 20x^{22} - 40x^{21} + 53x^{20} - 28x^{19} + 94x^{18} - 92x^{17} + 42x^6 - 76x^{15} + 782x^{14} - 328x^{13} - 272x^{12} + 328x^{11} + 782x^{10} + 76x^9 + 42x^8 + 92x^7 + 94x^6 + 28x^5 + 53x^4 + 40x^3 + 20x^2 + 4x + 1$	$2^{84}5^{66}13^{12} \times 29^8 79^4$
111	$x^{32} - 4x^{31} + 21x^{30} - 31x^{29} + 144x^{28} - 180x^{27} + 563x^{26} - 435x^{25} + 1398x^{24} - 653x^{23} + 2108x^{22} + 380x^{21} + 4093x^{20} + 1273x^{19} + 4560x^{18} - 990x^{17} + 7975x^{16} + 990x^{15} + 4560x^{14} - 1273x^{13} + 4093x^{12} - 380x^{11} + 2108x^{10} + 653x^9 + 1398x^8 + 435x^7 + 563x^6 + 180x^5 + 144x^4 + 31x^3 + 21x^2 + 4x + 1$	$3^{52}5^{120}11^{12} \times 37^{16}43^8 61^8$
116	$x^{24} - 6x^{23} + 12x^{22} - 24x^{21} + 99x^{20} - 58x^{19} + 136x^{18} - 256x^{17} + 144x^{16} + 410x^{15} + 436x^{14} + 274x^{13} - 1192x^{12} - 274x^{11} + 436x^{10} - 410x^9 + 144x^8 + 256x^7 + 136x^6 + 58x^5 + 99x^4 + 24x^3 + 12x^2 + 6x + 1$	$2^{80}5^{66}7^8 \times 29^{12}41^8$
119	$x^{40} - x^{39} + 12x^{38} - 51x^{37} + 146x^{36} - 248x^{35} + 569x^{34} - 951x^{33} + 2005x^{32} - 3810x^{31} + 8702x^{30} - 14440x^{29} + 26580x^{28} - 35295x^{27} + 47491x^{26} - 45351x^{25} + 53426x^{24} - 29809x^{23} + 41387x^{22} - 6812x^{21} + 31769x^{20} + 6812x^{19} + 41387x^{18} + 29809x^{17} + 53426x^{16} + 45351x^{15} + 47491x^{14} + 35295x^{13} + 26580x^{12} + 14440x^{11} + 8702x^{10} + 3810x^9 + 2005x^8 + 951x^7 + 569x^6 + 248x^5 + 146x^4 + 51x^3 + 12x^2 + x + 1$	$5^{190}7^{20}11^{24} \times 17^{20}19^{12} \times 23^{16}47^8$
124	$x^{12} - 7x^{11} + 9x^{10} + 8x^9 + 24x^8 + 6x^7 - 67x^6 - 6x^5 + 24x^4 - 8x^3 + 9x^2 + 7x + 1$	$3^{12}5^{15}11^4 31^6$
131	$x^{20} + 20x^{18} + 8x^{17} + 48x^{16} + 4x^{15} + 72x^{14} + 88x^{13} + 348x^{12} + 168x^{11} + 446x^{10} - 168x^9 + 348x^8 - 88x^7 + 72x^6 - 4x^5 + 48x^4 - 8x^3 + 20x^2 + 1$	$2^{76}5^{45}31^4 \times 131^{10}$
136	$x^{16} + 6x^{15} + 25x^{14} + 24x^{13} - 3x^{12} + 119x^{10} + 174x^9 + 404x^8 - 174x^7 + 119x^6 - 3x^4 - 24x^3 + 25x^2 - 6x + 1$	$2^{56}3^{16}5^{28}11^8 \times 17^8$
139	$x^{12} - 5x^{11} + 12x^{10} + 16x^9 + 33x^8 + 12x^7 - 55x^6 - 12x^5 + 33x^4 - 16x^3 + 12x^2 + 5x + 1$	$2^{24}3^{12}5^{15}139^6$
144	$x^{16} - 2x^{15} + 18x^{14} + 24x^{13} + 83x^{12} + 78x^{11} + 74x^{10} + 40x^9 + 9x^8 - 40x^7 + 74x^6 - 78x^5 + 83x^4 - 24x^3 + 18x^2 + 2x + 1$	$2^{24}3^{12}5^{28}7^8 \times 11^4 19^8$



of order 3, and  $ATA^{-1} = U, AUA^{-1} = T_2$ . Also,  $A^\sigma = A^{-1}U$  is the conjugate map

$$A^\sigma(z) = \zeta \frac{(1 + \zeta^2)z + 1}{z - 1 - \zeta^3},$$

obtained by applying the automorphism  $\sigma : \zeta \rightarrow \zeta^2$  to the coefficients. In particular,  $\text{Gal}(\mathbb{Q}(\zeta)/\mathbb{Q})$  is a subgroup of the automorphism group  $\text{Aut}(N)$ .

It is clear from (8) and (26) that  $\deg(G_d(x^5)) = 60h(-d)$ . The group  $G_{60}$  acts on the irreducible factors  $p(x)$  of  $G_d(x^5)$  over  $L = \mathbb{Q}(\zeta_5)$ , one of which is  $p_d(x)$  (Proposition 4.3), by

$$p^\sigma(x) = (cx + d)^{\deg(p)} p(\sigma(x)) = (cx + d)^{\deg(p)} p\left(\frac{ax + b}{cx + d}\right), \quad \sigma \in G_{60},$$

ignoring constant factors. Moreover,  $G_{60}$  acts transitively on these irreducible factors over the field  $L$  (see the analogous argument in [17, p. 1982]), so  $G_d(x^5)$  splits into 15 irreducible factors of degree  $4h(-d)$  over  $L$ , by Proposition 4.3. In particular, these considerations show that every root of  $G_d(x^5)$  has the form  $\sigma(\alpha)$  for some root  $\alpha$  of  $p_d(x)$  and some  $\sigma \in G_{60}$ .

The group  $G_{60} \cong A_5$  has no elements of order 4, so the stabilizer of  $p_d(x)$  is one of the five conjugate subgroups in  $G_{60}$  of the subgroup  $H$ . We have that

$$S^{-1}US(z) = \frac{-\zeta^3}{z}, \quad S^{-1}TS(z) = \frac{-(1 + \sqrt{5})z + 2\zeta^4}{2\zeta z + (1 + \sqrt{5})}.$$

Hence, only one these conjugate subgroups, namely  $H$ , contains the map  $U$ , and since  $U$  fixes  $p_d(x)$  by Corollary 4.4, we have

$$\text{Stab}_{G_{60}}(p_d(x)) = H = \{1, T, U, TU\}.$$

As a consequence, we have that

$$\left(z + \frac{1 + \sqrt{5}}{2}\right)^{4h(-d)} p_d(T(z)) = \left(\frac{5 + \sqrt{5}}{2}\right)^{2h(-d)} p_d(z).$$

It can be checked that the factor on the right side of this equation is correct by putting  $z$  equal to

$$z_1 = \frac{-1 - \sqrt{5} + \sqrt{10 + 2\sqrt{5}}}{2},$$

which is a fixed point of  $T(z)$ , and noting that  $p_d(z_1) \neq 0$ , since  $\mathbb{Q}(z_1)$  is a cyclic quartic extension of  $\mathbb{Q}$  in which  $p = 5$  is totally ramified.

We also note that all of the roots of  $p_d(x)$  are values of the Rogers-Ramanujan function  $r(\tau)$ . This follows from the identity (see [10, p. 138]):

$$j(\tau) = \frac{(r^{20} - 228r^{15} + 494r^{10} + 228r^5 + 1)^3}{r^5(1 - 11r^5 - r^{10})^5} = f_5(r), \quad r = r(\tau).$$

Any root  $\alpha$  of  $p_d(x)$  satisfies  $f_5(\alpha) = j(w/a)$  for some  $w$  of the form (10) and some positive integer  $a$ , by (26). However, the above identity implies that  $f_5(r(w/a)) = j(w/a)$ . It follows that  $\alpha$  and  $r(w/a)$  are related by an

element  $M$  of the group  $G_{60}$ . Now we use Proposition 2 of [10], according to which

$$r(\tau + 1) = S(r(\tau)), \quad r\left(\frac{-1}{\tau}\right) = T(r(\tau)) \quad \tau \in \mathbb{H}.$$

It follows that the action of any mapping  $M \in G_{60}$  on a value  $r(\tau)$  can be represented by a suitable element  $\mu \in \Gamma = SL_2(\mathbb{Z})$ , such that  $M(r(\tau)) = r(\mu(\tau))$ ; hence,

$$\alpha = M(r(w/a)) = r(\mu(w/a))$$

is a value of the function  $r(\tau)$  with  $\tau \in K$ . This argument applies to all the roots of  $G_d(x^5)$ . (Since  $r(\tau)$  is a Hauptmodul for  $\Gamma(5)$ , the above formulas imply that  $G_{60} \cong \Gamma(5)$ ; see [24, p. 76].)

**5.2. Automorphisms of  $F_1/K$ .** Now let  $\psi$  be an automorphism of the extension  $F = \Omega_f(\xi, \zeta_5)$  which fixes  $\Omega_f(\xi) = \Omega_f(\tau(b))$  and sends  $\zeta$  to  $\zeta^2$ . Then  $\psi$  takes  $\sqrt{5}$  to  $-\sqrt{5}$ , so that

$$(\eta^5)^\psi = b^\psi = \tau(\xi^5)^\psi = \frac{-\xi^5 + \varepsilon^5}{\varepsilon^5 \xi^5 + 1} = -\frac{\varepsilon^5 \xi^5 + 1}{-\xi^5 + \varepsilon^5} = \frac{-1}{\eta^5}.$$

It follows that  $\eta^\psi = \frac{-\zeta^i}{\eta}$ , for some  $i$ . Thus,  $\zeta^i \in \Omega_f(\eta)$  and  $i \equiv 0 \pmod{5}$ , giving  $\eta^\psi = \frac{-1}{\eta}$ .

Next, let  $\phi$  be an automorphism of  $F$  which takes  $\eta$  to  $\xi$  and fixes  $\zeta$  (this exists by Proposition 4.3 and Corollary 4.4). Then

$$\tau(b)^\phi = (\xi^5)^\phi = \tau(\eta^5)^\phi = \tau(\xi^5) = \eta^5 = b,$$

so that  $\xi^\phi = \eta$  by Theorem 3.3, since  $\zeta \notin \mathbb{Q}(b)$ . Hence  $\phi$  has order 2 in  $\text{Gal}(F/\mathbb{Q})$ . Furthermore, since

$$-z^\phi - 11 = -\left(b - \frac{1}{b}\right)^\phi - 11 = -\left(\tau(b) - \frac{1}{\tau(b)}\right) - 11 = -z_1 - 11,$$

we see from (28) and  $-z_1 - 11 \cong \wp_5^3$  (see the proof of Proposition 4.1) that  $\phi$  interchanges the ideals  $\wp_5'$  and  $\wp_5$ . Thus,  $\phi$  does not fix the field  $K$ .

Since  $T \in H$ , the map  $\sigma_1 = (\eta \rightarrow T(\eta))$  also represents an automorphism of order 2 of  $F/L$ . Setting  $v = \eta - \frac{1}{\eta} \in \Omega_f$ , and noting that  $v$  is an algebraic integer, we have

$$T(\eta) - \frac{1}{T(\eta)} = -\frac{\eta^2 - 4\eta - 1}{\eta^2 + \eta - 1} = -\frac{v - 4}{v + 1} = -1 + \frac{5}{v + 1},$$

so that

$$(v + 1)^{\sigma_1} = \frac{5}{v + 1}. \tag{32}$$

The identity

$$x^5 - \frac{1}{x^5} = \left(x - \frac{1}{x}\right)^5 + 5\left(x - \frac{1}{x}\right)^3 + 5\left(x - \frac{1}{x}\right)$$

gives that

$$z = b - \frac{1}{b} = v^5 + 5v^3 + 5v,$$

and implies

$$z \equiv v^5 \pmod{5}.$$

It follows that

$$z + 11 \equiv z + 1 \equiv (v + 1)^5 \pmod{5},$$

so  $v + 1$  is divisible by  $\wp'_5$  but not by any prime divisors of  $\wp_5$ . Equation (32) implies that  $(v + 1) = \left(\frac{\eta^2 + \eta - 1}{\eta}\right) = \wp'_5$ , and that  $\sigma_1$  interchanges the ideals  $\wp_5$  and  $\wp'_5$ . This also shows that

$$\wp_5 = \left(\frac{5\eta}{\eta^2 + \eta - 1}\right) = \left(\frac{\xi^2 + \xi - 1}{\xi}\right) \text{ in } \Omega_f.$$

**5.3. Periodic points.** Thus, the automorphism  $\sigma_1\phi$  fixes the field  $K$ , and it follows from (25) and the fact that  $\sigma_1$  fixes the rational function  $f_5(\eta)$  that

$$j(w/5)^{\sigma_1\phi} = \frac{(1 + 228\xi^5 + 494\xi^{10} - 228\xi^{15} + \xi^{20})^3}{\xi^5(1 - 11\xi^5 - \xi^{10})^5} = j(w).$$

Since  $\sigma_1\phi$  fixes the quadratic field  $K$  and  $K(j(w)) = \Omega_f$ , we deduce that

$$(\sigma_1\phi)|_{\Omega_f} = \left(\frac{\Omega_f/K}{\wp_5}\right).$$

We would like to extend this automorphism to the abelian extension  $F_1 = \mathbb{Q}(\eta) = \Omega_f(\eta)$  of  $K$ , in which  $\wp_5$  is still unramified. This can be done in two ways. On the one hand, the restriction of

$$\tau_5 = \left(\frac{F_1/K}{\wp_5}\right) = \left(\frac{\mathbb{Q}(b)/K}{\wp_5}\right)$$

to  $\Omega_f$  is certainly the same as  $(\sigma_1\phi)|_{\Omega_f}$ . But the automorphism  $\rho = \psi|_{F_1} = (\eta \rightarrow \frac{-1}{\eta})$  of  $F_1$  fixes  $\Omega_f$ , so that  $\rho\tau_5 = \tau_5\rho \in \text{Gal}(F_1/K)$  also restricts to  $(\sigma_1\phi)|_{\Omega_f}$ . Hence we have that

$$\tau_5 = \sigma_1\phi \text{ or } \tau_5\rho = \sigma_1\phi \text{ on } F_1.$$

This gives

$$\eta^{\tau_5} = \eta^{\sigma_1\phi} = T(\eta)^\phi = T(\xi), \text{ or } \eta^{\tau_5\rho} = \eta^{\sigma_1\phi} = T(\xi).$$

Hence,

$$\xi = T(\eta^{\tau_5}) = \frac{-(1 + \sqrt{5})\eta^{\tau_5} + 2}{2\eta^{\tau_5} + 1 + \sqrt{5}} \text{ or } \xi = T_2(\eta^{\tau_5}) = \frac{-(1 - \sqrt{5})\eta^{\tau_5} + 2}{2\eta^{\tau_5} + 1 - \sqrt{5}}.$$

In the following theorem we eliminate the second of these possibilities.

**Theorem 5.1.** *If  $\tau_5 = \left(\frac{\Omega_f(\eta)/K}{\wp_5}\right)$ , the coordinates of the solution  $(\xi, \eta)$  of  $\mathcal{C}_5$  satisfy*

$$\xi = T(\eta^{\tau_5}) = \frac{-(1 + \sqrt{5})\eta^{\tau_5} + 2}{2\eta^{\tau_5} + 1 + \sqrt{5}}. \tag{33}$$

**Proof.** Assume that  $d > 4$ . It suffices to show that  $T(\xi) = \eta^{\tau_5}$ , and to do this we show that  $T(\xi) \equiv \eta^5 \pmod{\wp_5}$  in  $F_1 = \mathbb{Q}(\eta)$ . We have

$$\begin{aligned} T(\xi) - \eta^5 &= T(\xi) - \tau(\xi^5) = \frac{\bar{\varepsilon}\xi + 1}{\xi - \bar{\varepsilon}} - \frac{-\xi^5 + \varepsilon^5}{\varepsilon^5\xi^5 + 1} \\ &= \frac{-\xi + \varepsilon}{\varepsilon\xi + 1} + \frac{\xi^5 - \varepsilon^5}{\varepsilon^5\xi^5 + 1} \\ &= \frac{(5 + 2\sqrt{5})(\xi^2 + 1)(\xi - \varepsilon)^2}{(\xi^2 + \xi + \frac{3+\sqrt{5}}{2})(\xi^2 - \frac{3+\sqrt{5}}{2}\xi + \frac{3+\sqrt{5}}{2})}, \end{aligned}$$

by factoring this rational function in  $\xi$  and  $\sqrt{5}$  on Maple. Now multiply this expression by

$$(T(\xi) - \eta^5)^\psi = T_2(\xi) + \frac{1}{\eta^5}.$$

This yields the equation

$$(T(\xi) - \eta^5) \left(T_2(\xi) + \frac{1}{\eta^5}\right) = \frac{5(\xi^2 + 1)^2(\xi^2 + \xi - 1)^2}{p_1(\xi)p_2(\xi)} \tag{34}$$

in  $F_1$ , where

$$p_1(\xi) = \xi^4 + 2\xi^3 + 4\xi^2 + 3\xi + 1, \quad p_2(\xi) = \xi^4 - 3\xi^3 + 4\xi^2 - 2\xi + 1.$$

Expanding the element  $\xi^{-4}p_1(\xi)p_2(\xi)$  of  $\Omega_f$   $\pi$ -adically in terms of the generating element  $\pi = (\xi^2 + \xi - 1)/\xi$  of  $\wp_5$  gives

$$\xi^{-4}p_1(\xi)p_2(\xi) = \pi^4 - 5\pi^3 + 15\pi^2 - 25\pi + 25, \quad \pi = \frac{\xi^2 + \xi - 1}{\xi},$$

and shows that the squares of prime divisors  $\mathfrak{q}$  of  $\wp_5$  in  $F_1$  exactly divide  $p_1(\xi)p_2(\xi)$  (recall that  $\wp_5$  is unramified in  $F_1$  and  $\xi$  is a unit). This shows that  $\frac{(\xi^2+1)^2(\xi^2+\xi-1)^2}{p_1(\xi)p_2(\xi)}$  is a  $\mathfrak{q}$ -adic integer of  $F_1$  for each  $\mathfrak{q} \mid \wp_5$ , and (34) gives that

$$(T(\xi) - \eta^5) \left(T_2(\xi) + \frac{1}{\eta^5}\right) \equiv 0 \pmod{\wp_5}.$$

It follows that  $T(\xi) \equiv \eta^5$  or  $T_2(\xi) = \frac{-1}{T(\xi)} \equiv \frac{-1}{\eta^5} \pmod{\mathfrak{q}}$  for each  $\mathfrak{q}$ . Since  $T(\xi)$  and  $\eta$  are units, the latter congruence implies that  $T(\xi) \equiv \eta^5 \pmod{\mathfrak{q}}$ , which therefore holds for all  $\mathfrak{q}$  dividing  $\wp_5$ . Thus we have  $T(\xi) \equiv \eta^5 \pmod{\wp_5}$ . This implies finally that  $T(\xi) = \eta^{\tau_5}$ , since  $T(\xi) = \eta^{\tau_5\rho}$  would give  $\eta^\rho \equiv \eta \pmod{\mathfrak{q}}$ , so  $\eta \equiv \pm 2 \pmod{\mathfrak{q}}$  and  $z \equiv \pm 1 \pmod{N_{F_1/\Omega_f}(\mathfrak{q})}$ . As in the proof of Theorem 4.6, this can only happen when  $f_1 = \text{ord}(\wp_5) = 1$

in the ring class group (mod  $f$ ) of  $K$  and  $d = 11, 16, 19$ . In these cases  $[\mathbb{Q}(\eta) : K] = 2$ , so  $\text{Gal}(\mathbb{Q}(\eta)/K) = \{1, \rho\}$ . In the first two cases  $\tau_5$  has order 2, so  $\tau_5 = \rho$ , while in the third case  $\tau_5 = 1$ . In all three cases the formula (33) can be checked directly.  $\square$

Note that  $\tau_5 = 1$  on  $K = \mathbb{Q}(i)$  and  $T(i) = T_2(i) = -i$ , so the solution  $(\xi, \eta) = (-i, i)$  of  $\mathcal{C}_5$  is covered by Theorem 5.1.

If we substitute the expression in Theorem 5.1 for  $\xi$  into the equation for  $\mathcal{C}_5$  and simplify, we obtain:

$$(\eta^{4\tau_5} + 2\eta^{3\tau_5} + 4\eta^{2\tau_5} + 3\eta^{\tau_5} + 1)\eta^5 = \eta^{\tau_5}(\eta^{4\tau_5} - 3\eta^{3\tau_5} + 4\eta^{2\tau_5} - 2\eta^{\tau_5} + 1). \tag{35}$$

Thus, we have:

**Theorem 5.2.** *If*

$$g(X, Y) = (Y^4 + 2Y^3 + 4Y^2 + 3Y + 1)X^5 - Y(Y^4 - 3Y^3 + 4Y^2 - 2Y + 1),$$

then  $(X, Y) = (\eta, \eta^{\tau_5})$  is a point on the curve  $g(X, Y) = 0$ .

From this we deduce the following.

**Theorem 5.3.** *The roots of  $p_d(x)$  are periodic points of the multi-valued algebraic function  $\mathbf{g}(z)$  defined by  $g(z, \mathbf{g}(z)) = 0$ . The period of  $\eta$  with respect to the action of  $\mathbf{g}$  is the order of  $\tau_5 = \left(\frac{\mathbb{Q}(\eta)/K}{\wp_5}\right)$  in  $\text{Gal}(\mathbb{Q}(\eta)/K)$ .*

**Remark.** See the Introduction of Part I for the definition of a periodic point of an algebraic function.

**Proof.** Since  $g(X, Y)$  has rational coefficients, applying  $\tau_5^i$  ( $1 \leq i \leq n - 1$ ) to the equation  $g(\eta, \eta^{\tau_5}) = 0$  gives that

$$g(\eta, \eta^{\tau_5}) = g(\eta^{\tau_5}, \eta^{\tau_5^2}) = \dots = g(\eta^{\tau_5^{n-1}}, \eta) = 0,$$

where  $n = \text{ord}(\tau_5)$ . Thus,  $\eta$  is one of the values of the iterate  $\mathbf{g}^{(n)}(\eta)$ , i.e., is periodic with period  $n$ . Any conjugate over  $\mathbb{Q}$  of a periodic point of  $\mathbf{g}(z)$  is also a periodic point, and this proves the theorem.  $\square$

Using the same idea as in Part I, Section 3 ([20]; see also [19, p. 875]), it can be shown that the order of  $\tau_5$  is the *minimal* period of a root of  $p_d(x)$  in Theorem 5.3. Details will be provided in Part III of this paper.

By Artin Reciprocity, the order of  $\tau_5$  is equal to the order of  $\wp_5$  in the quotient group  $\mathbf{A}/(\mathbf{S}_{\wp'_5} \cap \mathbf{P}_f)$  (when  $d \neq 4f^2$ ), where  $\mathbf{A}$  is the group of fractional ideals in  $K$  which are relatively prime to  $\wp'_5(f)$ . If this order is  $n$ , then there is an equation  $\wp_5^n = \left(\frac{x+y\sqrt{-d}}{2}\right)$ , and since  $y\sqrt{-d} \equiv x \pmod{\wp'_5}$ , it follows that  $\alpha = \frac{x+y\sqrt{-d}}{2} \equiv 2x/2 = x \equiv \pm 1 \pmod{\wp'_5}$ . Therefore, when  $d \neq 4f^2$ , the period  $n$  of the roots of  $p_d(x)$  is the smallest positive integer  $n$  for which there is an equation  $4 \cdot 5^n = x^2 + dy^2$  with  $x \equiv \pm 1 \pmod{5}$  and  $(x, y) \mid 2$ .

The substitution  $(X, Y) \rightarrow (\frac{-1}{X}, \frac{-1}{Y})$  represents an automorphism of the curve  $g(X, Y) = 0$ , since

$$X^5 Y^5 g\left(\frac{-1}{X}, \frac{-1}{Y}\right) = g(X, Y).$$

The equation connecting  $t = X - \frac{1}{X}$  and  $u = Y - \frac{1}{Y}$  in the function field of this curve is

$$\begin{aligned} h(t, u) = & u^5 - (6 + 5t + 5t^3 + t^5)u^4 + (21 + 5t + 5t^3 + t^5)u^3 \\ & - (56 + 30t + 30t^3 + 6t^5)u^2 + (71 + 30t + 30t^3 + 6t^5)u \\ & - 120 - 55t - 55t^3 - 11t^5 = 0; \end{aligned} \tag{36}$$

this follows from the calculation

$$-h(t, u)^2 = \text{Res}_y(\text{Res}_x(g(x, y), x^2 - tx - 1), y^2 - uy - 1).$$

From  $g(\eta, \eta^{\tau_5}) = 0$  and  $v^{\tau_5} = \eta^{\tau_5} - \frac{1}{\eta^{\tau_5}}$  we obtain

$$h(v, v^{\tilde{\tau}_5}) = 0, \quad \tilde{\tau}_5 = \tau_5|_{\Omega_f} = \left(\frac{\Omega_f/\mathbb{Q}(\sqrt{-d})}{\wp_5}\right).$$

This yields the following result.

**Theorem 5.4.** *If  $\Omega_f$  is the ring class field of conductor  $f$  (relatively prime to 5) over the field  $K = \mathbb{Q}(\sqrt{-d})$ , where  $-d = d_K f^2$  and  $(\frac{-d}{5}) = +1$ , then  $\Omega_f = K(v)$ , where  $v = \eta - \frac{1}{\eta}$  is a periodic point of the algebraic function  $\mathfrak{f}(z)$  defined by  $h(z, \mathfrak{f}(z)) = 0$ , and  $h(t, u)$  is given by equation (36). The period of  $v$  is the order of  $\tilde{\tau}_5 = \tau_5|_{\Omega_f}$  in  $\text{Gal}(\Omega_f/K)$ .*

Now we compare (35) with Ramanujan’s modular equation

$$r^5(\tau) = r(5\tau) \frac{r^4(5\tau) - 3r^3(5\tau) + 4r^2(5\tau) - 2r(5\tau) + 1}{r^4(5\tau) + 2r^3(5\tau) + 4r^2(5\tau) + 3r(5\tau) + 1}$$

for  $r(\tau)$ . Letting  $z$  be an indeterminate and setting

$$\mathfrak{r}(z) = \frac{z(z^4 - 3z^3 + 4z^2 - 2z + 1)}{z^4 + 2z^3 + 4z^2 + 3z + 1},$$

we conclude from (35) and Theorem 4.5 that

$$\mathfrak{r}(\eta^{\tau_5}) = \eta^5 = r^5(w/5) = \mathfrak{r}(r(w)), \quad \text{if } b = r^5(w/5). \tag{37}$$

It is easily checked on Maple that the quintic extension of function fields  $\mathbb{Q}(\zeta_5, z)/\mathbb{Q}(\zeta_5, \mathfrak{r}(z))$  is normal and cyclic, with generating automorphism

$$z \rightarrow \mathfrak{s}(z) = \frac{(\zeta + \zeta^2)z + 1}{z + 1 + \zeta + \zeta^2},$$

where  $\mathfrak{s}(z) = S^{-2}AS(z) = S^{-1}TS^{-1}(z)$  is an element of  $G_{60}$ . It follows from (37) that

$$\eta^{\tau_5} = \mathfrak{s}^i(r(w)), \quad \text{for some } i, \quad 0 \leq i \leq 4.$$

From Corollary 4.7 and Theorem 4.8 we know that  $i \neq 0$ , since  $\eta^{75} \in F_1$ , but  $r(w)$  generates  $F$ . More specifically, we have the following.

**Theorem 5.5.** *With notation as above, if  $\xi = \zeta^j r(-1/w)$ ,  $1 \leq j \leq 4$ , we have the formula*

$$r(w/5)^{75} = \mathfrak{s}^j(r(w)) = T(\xi),$$

and  $j$  is the unique integer (mod 5) for which  $\mathfrak{s}^j(r(w))$  is a root of  $p_d(x)$ .

**Proof.** We have that  $\xi = \zeta^j r(-1/w) = S^j T(r(w))$ , by the transformation formula for  $r(-1/w)$ , so  $T(\xi) = TS^j T(r(w))$ . On the other hand,  $\mathfrak{s}(z) = S^{-1}TS^{-1}(z) = TST(z)$ , since  $(ST)^3 = 1$ . Therefore,  $\mathfrak{s}^j(r(w)) = (TST)^j(r(w)) = TS^j T(r(w)) = T(\xi)$  since  $T$  is its own inverse. The above formula now follows from (33). This proves that  $\mathfrak{s}^j(r(w))$  is a root of  $p_d(x)$ , since  $p_d(x)$  is stabilized by  $T$ . There is only one value of  $i$  for which  $\mathfrak{s}^i(r(w))$  is a root of  $p_d(x)$ , since  $T(\mathfrak{s}^i(r(w))) = S^i T(r(w)) = \zeta^i r(-1/w)$  must also be a root of  $p_d(x)$ .  $\square$

**Remark.** Since  $\mathfrak{s}(z) = TST(z)$ ,  $\mathfrak{s}(r(w)) = TST(r(w)) = TS(r(-1/w)) = T(r(1 - 1/w)) = r(-w/(w - 1))$ . Thus,  $\mathfrak{s}^j(r(w)) = r(w/(1 - jw))$ .

**Example 1.** Consider Ramanujan’s remarkable value

$$r(3i) = \sqrt{c^2 + 1} - c, \quad 2c = \frac{60^{1/4} + 2 - \sqrt{3} + \sqrt{5}}{60^{1/4} - 2 + \sqrt{3} - \sqrt{5}} \sqrt{5} + 1$$

established in [3] and [4, p.142]. A calculation on Maple shows that the minimal polynomial of  $r(3i) = \zeta_5 r(4 + 3i) = \zeta r(w)$  is

$$m(x) = x^{16} + 38x^{15} - 240x^{14} - 300x^{13} - 235x^{12} - 726x^{11} + 92x^{10} - 1840x^9 - 675x^8 + 1840x^7 + 92x^6 + 726x^5 - 235x^4 + 300x^3 - 240x^2 - 38x + 1,$$

which is a factor of  $G_{36}(x^5)$  in (26). (Use the polynomial  $H_{-36}(x)$  given in the proof of Proposition 3.2.) Thus,  $r(3i)$  is a linear fractional expression in some conjugate of  $\eta = r\left(\frac{4+3i}{5}\right)$  with coefficients in  $L = \mathbb{Q}(\zeta_5)$ , and the minimal polynomial of the latter value is

$$p_{36}(x) = x^8 + x^6 - 6x^5 + 9x^4 + 6x^3 + x^2 + 1,$$

from Table 1. Using Maple to compare approximations of  $r\left(\frac{4+3i}{5}\right)$  and the roots of  $p_{36}(x)$ , we find

$$r\left(\frac{4 + 3i}{5}\right) = \frac{-i\omega^2}{2} + \frac{i\sqrt{3}}{2} - \frac{\omega}{4} \sqrt[4]{3} \left( \sqrt{4 + 2\sqrt{5}} + i\sqrt{-4 + 2\sqrt{5}} \right), \quad (38)$$

with  $\omega = \frac{-1+i\sqrt{3}}{2}$ .

We determine the linear fractional expression in a root of  $p_{36}(x)$  which will equal  $r(3i)$ . Since

$$p_{36}(x) \equiv (x + 3)^4(x^4 + 3x^3 + x^2 + 2x + 1) \pmod{5},$$

the Frobenius automorphism  $\tau_5$  has order 4. A calculation on Maple shows that

$$\mathfrak{s}^2(r(w)) = \frac{(\zeta + \zeta^3)r(w) + 1}{r(w) + 1 + \zeta + \zeta^3} = 1.375418808\dots - (.899074105\dots)i$$

is the unique value  $\mathfrak{s}^j(r(w))$  which is a root of  $p_{36}(x) = 0$ . By Theorem 5.5 we have

$$\eta^{\tau_5} = \mathfrak{s}^2(r(w)) = \frac{(\zeta + \zeta^3)r(w) + 1}{r(w) + 1 + \zeta + \zeta^3} = \frac{(1 + \zeta^2)r(3i) + 1}{\zeta^4 r(3i) + 1 + \zeta + \zeta^3}. \tag{39}$$

Inverting the linear fractional map in the last equality gives

$$r(3i) = \frac{(1 + \zeta^3)\eta^{\tau_5} + \zeta}{\eta^{\tau_5} - \zeta - \zeta^3};$$

this is the desired expression for  $r(3i)$ . Another calculation on Maple using (38) and (39) shows that

$$\eta^{\tau_5} = r\left(\frac{4 + 3i}{5}\right)^{\tau_5} = \frac{-i\omega}{2} - \frac{i\sqrt{3}}{2} + i\frac{\omega^2}{4}\sqrt[4]{3}\left(\sqrt{4 + 2\sqrt{5}} + i\sqrt{-4 + 2\sqrt{5}}\right).$$

This expresses  $r(3i)$  in terms of 3rd, 4th, and 5th roots of unity and shows that  $\tau_5$  can be given by

$$\tau_5 = \left(\sqrt[4]{3} \rightarrow -i\sqrt[4]{3}, i \rightarrow i, \sqrt{4 + 2\sqrt{5}} \rightarrow \sqrt{4 + 2\sqrt{5}}\right)|_{F_1}.$$

This proves formula (6) of the Introduction.

**Remark.** In this example,  $F = \Sigma_5\Omega_{15}$  has degree  $8h(-36) = 16$  over  $K = \mathbb{Q}(i)$ , so its real subfield  $F^+$  has degree 16 over  $\mathbb{Q}$  and the value  $r(3i)$  generates  $F^+$ . In particular,  $K(r(3i)) = \Sigma_5\Omega_{15}$ . Since  $\sqrt{3} \in \Omega_3 \subset \Omega_{15}$  and  $\sqrt{5} \in \Omega_5 \subset \Omega_{15}$ , Ramanujan’s formula shows that  $60^{1/4} \in \Sigma_5\Omega_{15}$ . On the other hand,  $\Omega_3(60^{1/4})$  is a cyclic quartic extension of  $\Omega_3$ . As in the proof of Theorem 4.6, there are only two cyclic quartic extensions of  $\Omega_3$  contained in  $\Sigma_5\Omega_{15}$ , namely,  $\Sigma_5\Omega_3 = \Omega_3(\zeta_5)$  and  $\Omega_{15}$  (see Section 3); and the former is abelian over  $\mathbb{Q}$ . Hence, we have  $\Omega_{15} = K(\sqrt{3}, \sqrt[4]{60})$ . As a corollary, this shows that the rational primes which split completely in  $\Omega_{15}$ , which are the primes representable as  $p = a^2 + 15^2b^2$ , are characterized by the two conditions  $p \equiv 1 \pmod{12}$  and  $\left(\frac{60}{p}\right)_4 = +1$ .

Given that the period of  $\eta$  in the above example is  $n = 4$ ,  $p_{36}(x)$  can be calculated by a threefold iterated resultant, as in Part I, Section 3, pp. 727-730. Namely,  $p_{36}(x)$  is a factor of

$$R_4(x) = Res_{x_3}(Res_{x_2}(Res_{x_1}(g(x, x_1), g(x_1, x_2)), g(x_2, x_3)), g(x_3, x))).$$

Unfortunately, this calculation takes an extremely long time to complete, since  $\deg(R_4(x)) = 2 \cdot 5^4 - 1 = 1249$ .



To get around this difficulty, we let  $g_1$  be the polynomial  $g_1(X, Y) = Y^5 g(X, \frac{-1}{Y})$ , i.e.,

$$g_1(X, Y) = Y(Y^4 - 3Y^3 + 4Y^2 - 2Y + 1)X^5 + (Y^4 + 2Y^3 + 4Y^2 + 3Y + 1).$$

The class number  $h(-36) = 2$ , so  $[F_1 : K] = 4$ ; hence  $\text{Gal}(F_1/K) = \langle \tau_5 \rangle$ , implying that  $\tau_5^2 = \rho$  on  $F_1$ . Putting  $\tau = \tau_5$ , we have

$$g(\eta, \eta^\tau) = g(\eta^\tau, \eta^{\tau^2}) = 0.$$

However,  $g(\eta^\tau, \eta^{\tau^2}) = g(\eta^\tau, \eta^\rho) = g(\eta^\tau, -1/\eta)$ , so that

$$g(\eta, \eta^\tau) = g_1(\eta^\tau, \eta) = 0.$$

Therefore,  $p_{36}(x)$  should be a factor of the resultant

$$\begin{aligned} \tilde{R}_2(x) &= \text{Res}_{x_1}(g(x, x_1), g_1(x_1, x)) \\ &= -(x^2 + 1)(x^8 + x^7 + x^6 - 7x^5 + 12x^4 + 7x^3 + x^2 - x + 1) \\ &\quad \times (x^8 + 4x^7 - x^6 - 14x^5 + 23x^4 + 14x^3 - x^2 - 4x + 1) \\ &\quad \times (x^8 - 2x^7 + x^6 - 4x^5 + 3x^4 + 4x^3 + x^2 + 2x + 1) \\ &\quad \times (x^8 + x^6 - 6x^5 + 9x^4 + 6x^3 + x^2 + 1) \\ &\quad \times (x^{16} + 4x^{15} + 29x^{12} - 24x^{11} + 86x^{10} - 32x^9 + 105x^8 \\ &\quad \quad + 32x^7 + 86x^6 + 24x^5 + 29x^4 - 4x + 1) \\ &= -(x^2 + 1)p_{51}(x)p_{91}(x)p_{24}(x)p_{36}(x)p_{96}(x). \end{aligned}$$

Hence, the discriminants with  $d \in \{24, 36, 51, 91, 96\}$  are *all* the discriminants for which  $\tau_5^2 = \rho$ . An analysis similar to the above for  $d = 36$  can be applied for these integers  $d$  to yield formulas for the corresponding values of the Rogers-Ramanujan continued fraction  $r(w)$ , namely,

$$r(12 + \sqrt{-6}), \quad r\left(\frac{7 + \sqrt{-51}}{2}\right), \quad r\left(\frac{3 + \sqrt{-91}}{2}\right), \quad r(1 + 2\sqrt{-6}).$$

In addition, for small values of  $n$ , the  $(n - 1)$ -fold iterated resultant

$$\tilde{R}_n(x) = R_{x_{n-1}}(\dots(R_{x_2}(R_{x_1}(g(x, x_1), g(x_1, x_2))), g(x_2, x_3)), \dots, g_1(x_{n-1}, x)),$$

where  $R_{x_i}$  on the right side of this equation denotes the resultant with respect to  $x_i$ , can be used to determine minimal polynomials of  $r(w/5)$  for the values of  $d \equiv \pm 1 \pmod{5}$  for which  $\rho \in \langle \tau_5 \rangle$  and  $\tau_5^n = \rho$ .

**Example 2.** For example,  $\tilde{R}_3(x)$  has degree 226 and is the product of  $(x^2 + 1)$  and 2 factors of degree 4, 3 factors of degree 12, 4 factors of degree

24, and one factor each of degree 36 and 48. The degree 36 factor is

$$\begin{aligned}
 p_{491}(x) = & x^{36} + 28x^{35} + 206x^{34} - 324x^{33} + 2163x^{32} + 2080x^{31} + 1600x^{30} \\
 & + 19440x^{29} + 9145x^{28} + 60876x^{27} + 21486x^{26} - 5532x^{25} + 220279x^{24} \\
 & + 208904x^{23} + 453304x^{22} - 117152x^{21} - 62271x^{20} + 142940x^{19} \\
 & + 1116798x^{18} - 142940x^{17} - 62271x^{16} + 117152x^{15} + 453304x^{14} \\
 & - 208904x^{13} + 220279x^{12} + 5532x^{11} + 21486x^{10} - 60876x^9 + 9145x^8 \\
 & - 19440x^7 + 1600x^6 - 2080x^5 + 2163x^4 + 324x^3 + 206x^2 - 28x + 1,
 \end{aligned}$$

with discriminant  $D = 2^{316}5^{153}7^{16}19^423^829^{16}191^8491^{18}$ . The value  $d = 491$  is a guess based on the conjecture at the end of Section 4. This can be verified by factoring  $p_{491}(x)$  modulo primes of the form  $p = (x^2 + 491y^2)/4$ , with  $x + 3y \equiv \pm 2 \pmod{5}$  (assuming that  $w = \frac{3+\sqrt{-491}}{2}$ ), to check that it splits into linear and quadratic factors. For example,  $p_{491}(x)$  factors into a product of linear polynomials modulo the primes  $179 = \frac{15^2+491}{4}$ ,  $3251 = \frac{27^2+5^2 \cdot 491}{4}$ , and  $3989 = 45^2 + 2^2 \cdot 491$ ; while it splits into a product of 18 linear factors and 9 quadratics modulo  $1237 = \frac{23^2+3^2 \cdot 491}{4}$ , corresponding to the fact that  $(\alpha) = \left(\frac{23+3\sqrt{-491}}{2}\right)$  satisfies  $\alpha \equiv 1$ , but  $\alpha' \equiv 2 \pmod{\wp'_5}$ . As an additional check,  $\eta = r\left(\frac{3+\sqrt{-491}}{10}\right)$  is a root of  $p_{491}(x)$  (to an accuracy of at least 60 decimal places). Note that  $\text{ord}(\tau_5) = 6$ , since  $\tau_5^3 = \rho$  has order 2, so the roots of  $p_{491}(x)$  have period 6 with respect to the action of  $\mathfrak{g}(z)$ . This aligns with the fact that  $4 \cdot 5^3 = 3^2 + 491$  and  $4 \cdot 5^6 = 241^2 + 3^2 \cdot 491$  and that

$$\alpha_1 = \frac{3 + \sqrt{-491}}{2} \notin \mathbb{S}_{\wp'_5} \quad \text{but} \quad \alpha_2 = \frac{241 + 3\sqrt{-491}}{2} \in \mathbb{S}_{\wp'_5}.$$

In general, it is more convenient to work with a lower degree polynomial derived from  $p_d(x)$  using the fact that it is stabilized by the subgroup  $H$ . First write  $p_d(x) = x^{2h(-d)}t_d(x - 1/x)$ , which is possible since  $p_d(x)$  is stabilized by  $U(z) = -1/z$  (or  $\eta^\rho = -1/\eta$  is an automorphism fixing  $\Omega_f$ ). Then  $t_d(x)$  is a normal polynomial with root  $v = \eta - 1/\eta$  generating  $\Omega_f$ . By (32), we can write  $t_d(x - 1) = x^{h(-d)}u_d\left(x + \frac{5}{x}\right)$ . This yields the polynomial  $u_d(x)$  having degree  $h(-d)$  and smaller discriminant. In the above example we find

$$\begin{aligned}
 u_{491}(x) = & x^9 + 10x^8 - 144x^7 - 840x^6 + 18354x^5 - 110972x^4 + 345800x^3 \\
 & - 601496x^2 + 550293x - 205102,
 \end{aligned}$$

whose discriminant is  $D_1 = 2^{76}7^229^4191^2491^4$ . It is straightforward to check that 7, 29, 191 divide the index and 491 does not (using Dedekind’s method in [7, pp. 214-218], for example), so we only have to exclude  $q = 2$  and  $q = 29$  as divisors of  $d$ . However,  $h(-4 \cdot 29) = 6$  and  $h(-491) = 9$  yield that  $d = 491f^2$ , where  $f = 2^a$ . If  $a \geq 2$ , then  $h(-d)$  is even, while  $h(-4 \cdot 491) = 27$ , so the only possibility is  $d = 491$ .

A similar analysis was applied to check the polynomials in Tables 1 & 2.

We will continue this discussion in Part III, by showing that the only irreducible factors of iterated resultants of the form  $R_n(x)$  or  $\tilde{R}_n(x)$  are the polynomials  $x, x^2 + 1$ , and  $p_d(x)$ , for  $d \equiv \pm 1 \pmod{5}$ . This will prove that the polynomial  $p_{491}(x)$  given above actually is the minimal polynomial of  $r(w/5)$  for  $w = \frac{3+\sqrt{-491}}{2}$ .

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