

SPECTRA OF COMPACT REGULAR FRAMES

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ABSTRACT. By Isbell duality, each compact regular frame L is isomorphic to the frame of opens of a compact Hausdorff space X . In this note we study the spectrum $\text{Spec}(L)$ of prime filters of a compact regular frame L . We prove that X is realized as the minimum of $\text{Spec}(L)$ and the Gleason cover of X as the maximum of $\text{Spec}(L)$. We also characterize zero-dimensional, extremally disconnected, and scattered compact regular frames by means of $\text{Spec}(L)$.

1. Introduction

By Isbell duality [I72] (see also [BM80, J82]), the category \mathbf{KHaus} of compact Hausdorff spaces and continuous maps is dually equivalent to the category \mathbf{KRFrm} of compact regular frames and frame homomorphisms. The functors establishing this dual equivalence are $\Omega : \mathbf{KHaus} \rightarrow \mathbf{KRFrm}$ and $\text{pt} : \mathbf{KRFrm} \rightarrow \mathbf{KHaus}$. The functor Ω associates with each compact Hausdorff space X , the compact regular frame $\Omega(X)$ of open subsets of X , and the functor pt associates with each compact regular frame L , the compact Hausdorff space $\text{pt}(L)$ of points of L , where we recall that a point of a frame L is a frame homomorphism from L to the two-element frame $\mathbf{2} = \{0, 1\}$.

It is well known (see, e.g., [J82, Ch. II.1.3]) that points of a frame L correspond to completely prime filters of L , and so $\text{pt}(L)$ can be thought of as a subset of the set $\text{Spec}(L)$ of prime filters of L , often referred to as the *spectrum* of L . Spectra play an important role in the study of distributive lattices and Heyting algebras. By Stone duality for distributive lattices [S37] (see also [J82]), the category \mathbf{Dist} of bounded distributive lattices and bounded lattice homomorphisms is dually equivalent to the category \mathbf{Spec} of spectral spaces and spectral maps, where a spectral space is a compact coherent sober space. The functors establishing this dual equivalence are $\text{Spec} : \mathbf{Dist} \rightarrow \mathbf{Spec}$ and $\text{KO} : \mathbf{Spec} \rightarrow \mathbf{Dist}$. The functor Spec associates with each bounded distributive lattice L , its spectrum $\text{Spec}(L)$ equipped with the Stone topology τ given by letting $\{\varphi(a) : a \in L\}$ be a basis for τ , where $\varphi(a) = \{\mathfrak{p} \in \text{Spec}(L) : a \in \mathfrak{p}\}$. The functor KO associates with each spectral space X , the lattice $\text{KO}(X)$ of compact open sets of X .

An alternative representation of bounded distributive lattices is obtained by means of Priestley spaces. We recall that a Priestley space is a compact ordered space satisfying

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the Priestley separation axiom: If $x \not\leq y$, then there is a clopen upset U containing x and missing y . Let \mathbf{Pries} be the category of Priestley spaces and continuous order preserving maps. By Priestley duality [P70, P72], \mathbf{Dist} is dually equivalent to \mathbf{Pries} . The dual Priestley space of $L \in \mathbf{Dist}$ is the ordered space $(\text{Spec}(L), \leq, \pi)$, where \leq is set-theoretic inclusion and π is the patch topology of the Stone topology τ (which has $\{\varphi(a) \setminus \varphi(b) : a, b \in L\}$ as a basis); and the dual lattice of $(X, \leq, \pi) \in \mathbf{Pries}$ is the lattice of clopen upsets.

The categories \mathbf{Spec} and \mathbf{Pries} are isomorphic [C75]. For each Priestley space (X, \leq, π) , the topology of open upsets is a spectral topology, and each spectral topology τ can be realized this way by taking π to be the patch topology and \leq the specialization order of τ .

The spectrum of a compact regular frame L carries all the information about L . As a result, $\text{Spec}(L)$ may have a rather complicated structure. Our purpose is to study $\text{Spec}(L)$ for $L \in \mathbf{KR Frm}$. We prove that the spectrum $\text{Min}(L) \subseteq \text{Spec}(L)$ of minimal primes of L is homeomorphic to $\text{pt}(L)$, that the spectrum $\text{Max}(L) \subseteq \text{Spec}(L)$ of maximal filters of L is homeomorphic to the Gleason cover $\overline{\text{pt}(L)}$ of $\text{pt}(L)$, and that the Gleason map $\gamma : \overline{\text{pt}(L)} \rightarrow \text{pt}(L)$ is encoded in the order structure of $(\text{Spec}(L), \leq)$. We also characterize frame homomorphisms between compact regular frames, give examples indicating the complex structure of $(\text{Spec}(L), \leq)$, and describe zero-dimensional, extremally disconnected, and scattered frames $L \in \mathbf{KR Frm}$ by means of $\text{Spec}(L)$.

2. Preliminaries

We recall that a *frame* is a complete lattice L satisfying the join-infinite distributive law (JID):

$$a \wedge \bigvee S = \bigvee \{a \wedge s : s \in S\}.$$

A *frame homomorphism* is a map $h : L \rightarrow K$ preserving finite meets and arbitrary joins. In particular, each frame homomorphism is a bounded lattice homomorphism. Let \mathbf{Frm} be the category of frames and frame homomorphisms.

Each frame L is a Heyting algebra, where for $a, b \in L$, we have

$$a \rightarrow b = \bigvee \{x \in L : a \wedge x \leq b\}.$$

In particular, $\neg a = \bigvee \{x \in L : a \wedge x = 0\}$. However, frame homomorphisms need not preserve \rightarrow and \neg .

An element a of a frame L is *compact* if $a \leq \bigvee S$ implies $a \leq \bigvee T$ for some finite subset T of S ; a frame L is *compact* if its top element 1 is compact. For $a, b \in L$, we say that a is *well inside* b and write $a < b$ provided $\neg a \vee b = 1$. It is easily seen that $\downarrow a := \{x \in L : x < a\}$ is an ideal of L . A frame L is *regular* if $a = \bigvee \downarrow a$ for each $a \in L$. Let $\mathbf{KR Frm}$ be the full subcategory of \mathbf{Frm} consisting of compact regular frames.

If X is a compact Hausdorff space, then the frame $\Omega(X)$ of opens of X is a compact regular frame, and each compact regular frame arises this way. Indeed, let $L \in \mathbf{KR Frm}$

and let $\text{pt}(L)$ be the set of points of L . For $a \in L$, set $O(a) = \{p \in \text{pt}(L) : p(a) = 1\}$. Then $\Omega(\text{pt}(L)) = \{O(a) : a \in L\}$ is a compact Hausdorff topology on $\text{pt}(L)$ and $O : L \rightarrow \Omega(\text{pt}(L))$ is a frame isomorphism. This is part of Isbell duality establishing that $\mathbf{KR Frm}$ is dually equivalent to the category \mathbf{KHaus} of compact Hausdorff spaces and continuous maps.

For a frame L , let $(\text{Spec}(L), \leq, \pi)$ be the Priestley dual of L . Since each frame is a complete Heyting algebra, this forces the Priestley dual to satisfy additional conditions. Namely, by Esakia duality [E74] (which is a restricted Priestley duality), Heyting algebras dually correspond to Esakia spaces; that is, Priestley spaces that satisfy the Esakia condition: the downset $\downarrow U := \{x : x \leq u \text{ for some } u \in U\}$ is clopen for each clopen U . Therefore, since L is a Heyting algebra, we see that $(\text{Spec}(L), \leq, \pi)$ is an Esakia space. In fact, for $a, b \in L$, we have

$$\varphi(a \rightarrow b) = \text{Spec}(L) \setminus \downarrow(\varphi(a) \setminus \varphi(b)) \text{ and } \varphi(\neg a) = \text{Spec}(L) \setminus \downarrow\varphi(a).$$

In addition, since L is complete, $(\text{Spec}(L), \leq, \pi)$ is extremally order-disconnected; that is, the closure of each open upset is clopen (see, e.g., [PS88, Sec. 2], [BB08, Rem. 2.6]).

Since $\text{Spec}(L)$ is a Priestley space, there is a 1-1 correspondence between ideals of L and open upsets of $\text{Spec}(L)$, and between filters of L and closed upsets of $\text{Spec}(L)$ (see, e.g., [P84, Sec. 8], [BBGK10, Sec. 6]). Indeed, if I is an ideal of L , then $U(I) = \bigcup \{\varphi(a) : a \in I\}$ is an open upset of $\text{Spec}(L)$; and if F is a filter of L , then $K(F) = \bigcap \{\varphi(a) : a \in F\}$ is a closed upset of $\text{Spec}(L)$. Conversely, if U is an open upset of $\text{Spec}(L)$, then $I(U) = \{a \in L : \varphi(a) \subseteq U\}$ is an ideal of L ; and if K is a closed upset of $\text{Spec}(L)$, then $F(K) = \{a \in L : K \subseteq \varphi(a)\}$ is a filter of L . Moreover, these correspondences are 1-1. In particular, each open upset is the union of clopen upsets contained in it, and each closed upset is the intersection of clopen upsets containing it.

For $S \subseteq \text{Spec}(L)$, we call $\mathfrak{p} \in S$ a *maximal point* of S if $\mathfrak{p} \leq \mathfrak{q}$ and $\mathfrak{q} \in S$ imply $\mathfrak{p} = \mathfrak{q}$. *Minimal points* are defined dually. Let $\text{Max}(S)$ and $\text{Min}(S)$ be the sets of maximal and minimal points of S , respectively. If $S = \text{Spec}(L)$, then we denote the sets of maximal and minimal points by $\text{Max}(L)$ and $\text{Min}(L)$, respectively. Clearly $\text{Max}(L)$ is the set of maximal filters and $\text{Min}(L)$ the set of minimal prime filters of L .

Since $\text{Spec}(L)$ is a Priestley space, for each nonempty closed subset F of $\text{Spec}(L)$, the sets $\text{Max}(F)$ and $\text{Min}(F)$ are nonempty. In fact, for each $f \in F$, there are $M \in \text{Max}(F)$ and $m \in \text{Min}(F)$ such that $m \leq f \leq M$ (see, e.g., [E85, Thm. III.2.1], [B06, Thm. 2.3.24]).

3. The spectrum of a compact regular frame

Let L be a frame and let $\text{Spec}(L)$ be the spectrum of L . From now on we will view $\text{Spec}(L)$ as a Priestley space, where \leq is inclusion and π is the patch topology of the Stone topology τ . Then, since L is a complete Heyting algebra, $\text{Spec}(L)$ is an extremally order-disconnected Esakia space.

3.1. LEMMA. *For a frame L , the following are equivalent.*

1. L is compact.
2. If I is an ideal of L with $\bigvee I = 1$, then $I = L$.
3. Each $\mathfrak{p} \in \text{Min}(L)$ is an isolated point.
4. There are no proper dense open upsets in $\text{Spec}(L)$.

PROOF. (1) \Rightarrow (2): Suppose I is an ideal of L with $\bigvee I = 1$. Since L is compact, there is a finite $J \subseteq I$ with $\bigvee J = 1$. But $\bigvee J \in I$. Thus, $1 \in I$, and hence $I = L$.

(2) \Rightarrow (3): Suppose there is $\mathfrak{p} \in \text{Min}(L)$ that is not isolated. Let $U = \text{Spec}(L) \setminus \{\mathfrak{p}\}$. Then U is an open upset and $\overline{U} = \text{Spec}(L)$. Let I be the ideal $I(U) = \{a : \varphi(a) \subseteq U\}$. By [BB08, Lem. 2.3], $\varphi(\bigvee I) = \overline{\bigcup \{\varphi(a) : a \in I\}}$. Therefore,

$$\varphi(\bigvee I) = \overline{\bigcup \{\varphi(a) : a \in I\}} = \overline{\bigcup \{\varphi(a) : \varphi(a) \subseteq U\}} = \overline{U} = \text{Spec}(L).$$

Thus, $\bigvee I = 1$. Consequently, $I = L$, yielding that $U = \text{Spec}(L)$. The obtained contradiction proves that each $\mathfrak{p} \in \text{Min}(L)$ is an isolated point.

(3) \Rightarrow (4): Suppose U is a dense open upset. Since U is dense and each $\mathfrak{p} \in \text{Min}(L)$ is isolated, $\text{Min}(L) \subseteq U$. Therefore, as U is an upset, $\text{Spec}(L) = \uparrow \text{Min}(L) = U$.

(4) \Rightarrow (1): Suppose $\bigvee S = 1$. By [BB08, Lem. 2.3], $\overline{\bigcup \{\varphi(a) : a \in S\}} = \text{Spec}(L)$. Let $U = \bigcup \{\varphi(a) : a \in S\}$. Then U is a dense open upset. Therefore, $U = \text{Spec}(L)$, so $\bigcup \{\varphi(a) : a \in S\} = \text{Spec}(L)$. Since $\text{Spec}(L)$ is compact, there is a finite $T \subseteq S$ such that $\bigcup \{\varphi(a) : a \in T\} = \text{Spec}(L)$. Thus, $\bigvee T = 1$, and hence L is compact. \blacksquare

3.2. REMARK. The equivalence of (1) and (4) of Lemma 3.1 was first established in [PS88, Thm. 3.5].

3.3. LEMMA. Let L be a frame and let $a, b \in L$. Then $b < a$ iff $\downarrow \varphi(b) \subseteq \varphi(a)$.

PROOF. We have:

$$\begin{aligned} b < a & \text{ iff } \neg b \vee a = 1 \\ & \text{ iff } \varphi(\neg b) \cup \varphi(a) = \text{Spec}(L) \\ & \text{ iff } (\text{Spec}(L) \setminus \downarrow \varphi(b)) \cup \varphi(a) = \text{Spec}(L) \\ & \text{ iff } \downarrow \varphi(b) \subseteq \varphi(a). \end{aligned}$$

\blacksquare

3.4. DEFINITION. For a frame L and $a \in L$, let

$$R_a := \bigcup \{\varphi(b) : \downarrow \varphi(b) \subseteq \varphi(a)\}.$$

Clearly R_a is an open upset of $\text{Spec}(L)$ contained in $\varphi(a)$. We call R_a the regular part of $\varphi(a)$.

3.5. LEMMA. Let L be a frame and $X = \text{Spec}(L)$ be the spectrum of L . For $a \in L$, we have $R_a = X \setminus \downarrow \uparrow (X \setminus \varphi(a))$.

PROOF. Since $R_a = \bigcup \{\varphi(b) : \downarrow\varphi(b) \subseteq \varphi(a)\}$, we have

$$X \setminus R_a = \bigcap \{X \setminus \varphi(b) : \downarrow\varphi(b) \subseteq \varphi(a)\}.$$

As $X \setminus \varphi(b)$ is a clopen downset and each clopen downset is of this form, $X \setminus R_a = \bigcap \{D : \downarrow(X \setminus D) \subseteq \varphi(a)\}$, where D ranges over clopen downsets. But $\downarrow(X \setminus D) \subseteq \varphi(a)$ is equivalent to $X \setminus \varphi(a) \subseteq X \setminus \downarrow(X \setminus D)$, which in turn is equivalent to $\uparrow(X \setminus \varphi(a)) \subseteq D$. Therefore, $X \setminus R_a = \bigcap \{D : \uparrow(X \setminus \varphi(a)) \subseteq D\}$. Thus, $X \setminus R_a$ is the least closed downset containing $\uparrow(X \setminus \varphi(a))$, so $X \setminus R_a = \downarrow\uparrow(X \setminus \varphi(a))$. Consequently, $R_a = X \setminus \downarrow\uparrow(X \setminus \varphi(a))$. ■

3.6. LEMMA. *A frame L is regular iff for each $a \in L$, the regular part of $\varphi(a)$ is dense in $\varphi(a)$.*

PROOF. By [BB08, Lem. 2.3] and Lemma 3.3, we have:

$$\begin{aligned} L \text{ is regular} & \text{ iff } a = \bigvee \{b : b < a\} \text{ for each } a \in L \\ & \text{ iff } \varphi(a) = \overline{\bigcup \{\varphi(b) : \downarrow\varphi(b) \subseteq \varphi(a)\}} \text{ for each } a \in L \\ & \text{ iff } \varphi(a) = \overline{R_a} \text{ for each } a \in L \\ & \text{ iff } R_a \text{ is dense in } \varphi(a) \text{ for each } a \in L. \end{aligned}$$

■

3.7. REMARK. Another characterization of regular frames can be obtained by working with clopen downsets instead of clopen upsets. Let L be a frame and $X = \text{Spec}(L)$ be the spectrum of L . Then clopen downsets of X are precisely of the form $X \setminus \varphi(a)$ for some $a \in L$. Let D be a clopen downset of X . Then $D = X \setminus \varphi(a)$ for some $a \in L$. Therefore, applying Lemma 3.5,

$$\varphi(a) = \overline{R_a} \text{ iff } D = X \setminus \overline{R_a} = \text{Int}(X \setminus R_a) = \text{Int} \downarrow \uparrow D.$$

Thus, by Lemma 3.6, L is regular iff $D = \text{Int} \downarrow \uparrow D$ for each clopen downset D of X . Since $\uparrow D = \uparrow(D \cap \text{Min}(X))$, we see that the last condition is equivalent to $D = \text{Int} \downarrow \uparrow(D \cap \text{Min}(X))$ for each clopen downset D of X . In particular, in the spectrum of a regular frame, clopen downsets are uniquely determined by their “footprints” on the minimum, i. e. $D \cap \text{Min}(\text{Spec}(L)) = D' \cap \text{Min}(\text{Spec}(L))$ implies $D = D'$ for any clopen downsets D, D' of $\text{Spec}(L)$.

3.8. REMARK. For a slightly different characterization of regular frames we refer to [PS88, Thm. 3.4].

Putting Lemmas 3.1 and 3.6 together, we obtain:

3.9. THEOREM. *A frame L is compact regular iff minimal points of $\text{Spec}(L)$ are isolated and the regular part R_U of each clopen upset U in $\text{Spec}(L)$ is dense in U .*

4. Homomorphisms of compact regular frames

By Priestley duality, bounded lattice homomorphisms between bounded distributive lattices correspond to continuous order preserving maps between their Priestley spaces. More specifically, if $h : L \rightarrow M$ is a bounded lattice homomorphism, then its Priestley dual $f = h^{-1} : \text{Spec}(M) \rightarrow \text{Spec}(L)$ is continuous and order preserving; and if $f : \text{Spec}(M) \rightarrow \text{Spec}(L)$ is continuous and order preserving, then the corresponding bounded lattice homomorphism h is uniquely determined by $\varphi(ha) = f^{-1}\varphi(a)$ for each $a \in L$.

By [PS88, Cor. 2.5], the duals of frame homomorphisms $h : L \rightarrow M$ are continuous order preserving maps $f : \text{Spec}(M) \rightarrow \text{Spec}(L)$ that in addition satisfy $f^{-1}(\overline{U}) = \overline{f^{-1}(U)}$ for each open upset U of $\text{Spec}(M)$. Indeed, h is a frame homomorphism iff $h(\bigvee S) = \bigvee \{h(s) : s \in S\}$ for each $S \subseteq L$. This is equivalent to $\varphi(h \bigvee S) = \varphi(\bigvee \{h(s) : s \in S\})$ for each $S \subseteq L$. By [BB08, Lem. 2.3],

$$\varphi(h \bigvee S) = f^{-1}\varphi(\bigvee S) = f^{-1}\overline{\bigcup \{\varphi(s) : s \in S\}} = f^{-1}\overline{U},$$

where $U = \bigcup \{\varphi(s) : s \in S\}$. Similarly,

$$\begin{aligned} \varphi(\bigvee \{h(s) : s \in S\}) &= \overline{\bigcup \{\varphi(hs) : s \in S\}} = \overline{\bigcup \{f^{-1}\varphi(s) : s \in S\}} \\ &= \overline{f^{-1}\bigcup \{\varphi(s) : s \in S\}} = \overline{f^{-1}U}. \end{aligned}$$

Since each open upset is of the above form, the result follows.

As we will see, more can be said about frame homomorphisms between compact regular frames. For a frame homomorphism $h : L \rightarrow M$, let $r : M \rightarrow L$ be the right adjoint of h given by $r(b) = \bigvee \{a \in L : h(a) \leq b\}$. We call h *closed* if the following *Frobenius reciprocity* condition $r(h(a) \vee b) \leq a \vee r(b)$ holds for all $a \in L$ and $b \in M$. The next lemma (see also [PP12, Cor. VII.2.2.3]) is the point-free version of the well-known fact that a continuous map from a compact space to a Hausdorff space is closed.

4.1. LEMMA. *If L is regular and M is compact, then each frame homomorphism $h : L \rightarrow M$ is closed.*

PROOF. Since L is regular, it suffices to prove that $x < r(h(a) \vee b)$ implies $x < a \vee r(b)$ for each $x \in L$. Therefore, it is sufficient to prove that $\neg x \vee r(h(a) \vee b) = 1$ implies $\neg x \vee a \vee r(b) = 1$ for each $x \in L$. Suppose $\neg x \vee r(h(a) \vee b) = 1$. Then

$$\begin{aligned} 1 &= \neg x \vee r(h(a) \vee b) \\ &\leq rh(\neg x) \vee r(h(a) \vee b) \\ &\leq r(h(\neg x) \vee h(a) \vee b) \\ &= r(h(\neg x) \vee h(a) \vee hr(b) \vee b) \\ &= r(h(\neg x \vee a \vee r(b)) \vee b). \end{aligned}$$

This yields

$$1 = h(1) \leq h(\neg x \vee a \vee r(b)) \vee b.$$

As L is regular, $\neg x \vee a \vee r(b) = \bigvee \{y \in L : \neg y \vee \neg x \vee a \vee r(b) = 1\}$. But $\neg y = y \rightarrow 0 \leq y \rightarrow r(b)$, so $\neg y \vee \neg x \vee a \vee r(b) = 1$ implies $(y \rightarrow r(b)) \vee \neg x \vee a \vee r(b) = 1$, which is equivalent to $(y \rightarrow r(b)) \vee \neg x \vee a = 1$. Moreover, $(y \rightarrow r(b)) \vee \neg x \vee a = 1$ implies

$$\begin{aligned} y &= y \wedge ((y \rightarrow r(b)) \vee \neg x \vee a) = (y \wedge (y \rightarrow r(b))) \vee (y \wedge (\neg x \vee a)) \\ &= (y \wedge r(b)) \vee (y \wedge (\neg x \vee a)) \leq r(b) \vee \neg x \vee a. \end{aligned}$$

Thus, $\neg x \vee a \vee r(b) = \bigvee I$, where $I = \{y \in L : (y \rightarrow r(b)) \vee \neg x \vee a = 1\}$.

Let J be the ideal of M generated by b and $h[I]$. Since h preserves joins, $\bigvee J = b \vee \bigvee h[I] = b \vee h(\bigvee I) = b \vee h(\neg x \vee a \vee r(b)) = 1$. As M is compact and J is an ideal, we see that $1 \in J$. Therefore, there is $y \in I$ with $b \vee h(y) = 1$. But then

$$h(y \rightarrow r(b)) \leq h(y) \rightarrow hr(b) \leq h(y) \rightarrow b = (b \vee h(y)) \rightarrow b = b.$$

Thus, $y \rightarrow r(b) = r(b)$, and hence

$$1 = (y \rightarrow r(b)) \vee \neg x \vee a = r(b) \vee \neg x \vee a.$$

■

4.2. THEOREM. *Let L, M be compact regular, $X = \text{Spec}(L)$, and $Y = \text{Spec}(M)$. Suppose $h : L \rightarrow M$ is a frame homomorphism and $f : Y \rightarrow X$ is its dual. If D is a clopen downset of Y , then its image $f[D]$ is a clopen downset of X .*

PROOF. Since D is a clopen downset, there is $b \in M$ with $D = Y \setminus \varphi(b)$. Since $\varphi(rb)$ is a clopen upset of X , it is sufficient to prove that $f[Y \setminus \varphi(b)] = X \setminus \varphi(rb)$. For the inclusion $f[Y \setminus \varphi(b)] \subseteq X \setminus \varphi(rb)$, let $y \in Y \setminus \varphi(b)$. Then $b \notin y$, so $hr(b) \notin y$, and hence $r(b) \notin h^{-1}(y)$. Therefore, $f(y) \notin \varphi(rb)$, yielding $f(y) \in X \setminus \varphi(rb)$. Thus, $f[Y \setminus \varphi(b)] \subseteq X \setminus \varphi(rb)$.

For the reverse inclusion, let $x \in X \setminus \varphi(rb)$. Then $r(b) \notin x$. Consider the filter $F := r^{-1}[x]$ of M and the ideal I of M generated by $h[L \setminus x] \cup \{b\}$. If $F \cap I \neq \emptyset$, then there are $a \in F$ and $c \notin x$ such that $a \leq h(c) \vee b$. But then $r(a) \leq r(h(c) \vee b) \leq c \vee r(b)$, where the last inequality follows from Lemma 4.1. Therefore, $c \vee r(b) \in x$, a contradiction since $c, r(b) \notin x$ and x is a prime filter of L . Thus, $F \cap I = \emptyset$, and hence there is a prime filter y of M with $F \subseteq y$ and $y \cap I = \emptyset$. This yields that $b \notin y$ and $h^{-1}[y] = x$. Consequently, $y \in Y \setminus \varphi(b)$ and $f(y) = x$, giving $x \in f[Y \setminus \varphi(b)]$. ■

4.3. COROLLARY. *Let L, M be compact regular, $X = \text{Spec}(L)$, and $Y = \text{Spec}(M)$. If $h : L \rightarrow M$ is a frame homomorphism, then its dual $f : Y \rightarrow X$ satisfies $f[\downarrow y] = \downarrow f(y)$ for each $y \in Y$.*

PROOF. Since f is order preserving, $f[\downarrow y] \subseteq \downarrow f(y)$ for each $y \in Y$. For the reverse inclusion, let $x \in \downarrow f(y)$. As Y is a Priestley space, each closed downset is the intersection of clopen downsets containing it. Therefore, $\downarrow y$ is the intersection of clopen downsets containing it. By Theorem 4.2, if D is a clopen downset of Y , then $f[D]$ is a clopen downset of X . Thus, if $\downarrow y \subseteq D$, then $f(y) \in f[D]$, so $x \in f[D]$, and hence $f^{-1}(x) \cap D \neq \emptyset$. Since Y is compact and the collection of clopen downsets containing $\downarrow y$ is down-directed, we conclude that $f^{-1}(x) \cap \bigcap \{D : \downarrow y \subseteq D\} \neq \emptyset$. But $\bigcap \{D : \downarrow y \subseteq D\} = \downarrow y$, so there is $z \leq y$ with $x = f(z)$, yielding that $x \in f[\downarrow y]$. ■

4.4. REMARK. On the other hand, $f[\uparrow y] = \uparrow f(y)$ does not hold in general. Let L be compact regular. It is easy to see that for each $a \in L$, the frame $M := [a, 1]$ is also compact regular, and $h_a : L \rightarrow M$ is a frame homomorphism, where $h_a(x) = a \vee x$. Recall that $a \in L$ is *dense* provided $\neg a = 0$ (equivalently, $\neg\neg a = 1$). Suppose there is a dense element $a \neq 1$ in L . Let $X = \text{Spec}(L)$ and $Y = \text{Spec}(M)$. Then we may identify Y with $X \setminus \varphi(a)$, and the dual $f = h^{-1} : Y \rightarrow X$ with the inclusion $X \setminus \varphi(a) \subseteq X$. Since $\varphi(\neg a) = X \setminus \downarrow \varphi(a)$, it is easy to see that a is dense iff $\downarrow \varphi(a) = X$, which happens iff $\text{Max}(X) \subseteq \varphi(a)$. As $a \neq 1$, there is $y \in X \setminus \varphi(a)$. Now, $f[\uparrow y] = \uparrow y \cap (X \setminus \varphi(a))$ while $\uparrow f(y) = \uparrow y$. Since there is $x \in \text{Max}(X)$ with $y \leq x$, we see that $x \in \uparrow f(y)$ but $x \notin f[\uparrow y]$. Thus, $f[\uparrow y] \neq \uparrow f(y)$.

5. Minimal and maximal spectra

We next show that for a compact regular frame L , the information about the compact Hausdorff space of points $\text{pt}(L)$ and its Gleason cover $\overline{\text{pt}(L)}$ is encoded in $\text{Min}(L)$ and $\text{Max}(L)$. The reader might find it useful at this point to consult Examples 6.15 and 6.16 given at the end of the paper. Besides illustrating the complexity of $\text{Spec}(L)$, they could provide some background intuition for the technical development in this section.

In [BB08, Thm. 2.7(2)], a dual characterization of completely join-prime elements of a Heyting algebra was given. If a is completely join-prime, then $\uparrow a$ is a completely prime filter, but not every completely prime filter has this form. We start by giving a dual characterization of completely prime filters.

5.1. LEMMA. *Let L be a frame. A filter \mathfrak{p} of L is completely prime iff $\downarrow \mathfrak{p}$ is clopen in $\text{Spec}(L)$.*

PROOF. First suppose that $\downarrow \mathfrak{p}$ is clopen in $\text{Spec}(L)$. Let $\bigvee S \in \mathfrak{p}$. By [BB08, Lem. 2.3], $\mathfrak{p} \in \varphi(\bigvee S) = \overline{\bigcup \{\varphi(s) : s \in S\}}$. Since $\downarrow \mathfrak{p}$ is clopen, it is an open neighborhood of \mathfrak{p} , so $\downarrow \mathfrak{p} \cap (\bigcup \{\varphi(s) : s \in S\}) \neq \emptyset$. Therefore, there is $s \in S$ with $\downarrow \mathfrak{p} \cap \varphi(s) \neq \emptyset$. Thus, there is $\mathfrak{q} \leq \mathfrak{p}$ with $\mathfrak{q} \in \varphi(s)$. As $\varphi(s)$ is an upset, this yields $\mathfrak{p} \in \varphi(s)$. Consequently, $s \in \mathfrak{p}$, and hence \mathfrak{p} is a completely prime filter.

Conversely, suppose that \mathfrak{p} is a completely prime filter. Let $U = \text{Spec}(L) \setminus \downarrow \mathfrak{p}$. Since $\downarrow \mathfrak{p}$ is a closed downset, U is an open upset. Therefore, $U = \bigcup \{\varphi(a) : \varphi(a) \subseteq U\}$. As $\text{Spec}(L)$ is an Esakia space and U is an upset, \overline{U} is an upset. Thus, if $\downarrow \mathfrak{p}$ is not clopen,

then $\downarrow \mathfrak{p} \cap \overline{U} \neq \emptyset$, and so $\mathfrak{p} \in \overline{U} = \overline{\bigcup \{\varphi(a) : \varphi(a) \subseteq U\}} = \varphi(\bigvee \{a : \varphi(a) \subseteq U\})$. This yields $\bigvee \{a : \varphi(a) \subseteq U\} \in \mathfrak{p}$. Since \mathfrak{p} is completely prime, there is $a \in \mathfrak{p}$ with $\varphi(a) \subseteq U$. But $\varphi(a) \subseteq U$ implies $\mathfrak{p} \notin \varphi(a)$, so $a \notin \mathfrak{p}$. The obtained contradiction proves that $\downarrow \mathfrak{p}$ is clopen in $\text{Spec}(L)$. ■

5.2. LEMMA. *If L is a compact frame, then each $\mathfrak{p} \in \text{Min}(L)$ is a completely prime filter of L .*

PROOF. Suppose $\mathfrak{p} \in \text{Min}(L)$. Since L is compact, by Lemma 3.1, \mathfrak{p} is an isolated point of $\text{Spec}(L)$. Therefore, $\downarrow \mathfrak{p} = \{\mathfrak{p}\}$ is clopen. Thus, by Lemma 5.1, \mathfrak{p} is a completely prime filter of L . ■

5.3. LEMMA. *If L is a regular frame, then each completely prime filter of L is minimal prime.*

PROOF. Suppose \mathfrak{p} is a completely prime filter of L . Then $\downarrow \mathfrak{p}$ is clopen in $\text{Spec}(L)$ by Lemma 5.1. If \mathfrak{p} is not minimal prime, then there is $\mathfrak{q} \in \text{Spec}(L)$ with $\mathfrak{q} < \mathfrak{p}$. Therefore, there is $a \in L$ with $\mathfrak{p} \in \varphi(a)$ and $\mathfrak{q} \notin \varphi(a)$. Let R_a be the regular part of $\varphi(a)$. Then $\mathfrak{p} \notin R_a$. As R_a is an upset, this yields $R_a \cap \downarrow \mathfrak{p} = \emptyset$. Since $\downarrow \mathfrak{p}$ is clopen, $\overline{R_a} \cap \downarrow \mathfrak{p} = \emptyset$, so $\mathfrak{p} \notin \overline{R_a}$. On the other hand, as L is regular, $\varphi(a) = \overline{R_a}$, so $\mathfrak{p} \in \overline{R_a}$. The obtained contradiction proves that \mathfrak{p} is minimal prime. ■

Lemmas 5.2 and 5.3 put together give that in a compact regular frame L , completely prime filters are exactly minimal primes. Since completely prime filters correspond to points of L , this gives a 1-1 correspondence between points and minimal primes of $L \in \text{KR Frm}$. We next show that this 1-1 correspondence is in fact a homeomorphism of the corresponding spaces.

5.4. THEOREM. *Let L be a compact regular frame. If we view $\text{Spec}(L)$ as a spectral space, then $\text{Min}(L)$ as a subspace of $\text{Spec}(L)$ is homeomorphic to $\text{pt}(L)$.*

PROOF. It is well known (see, e.g., [J82, Ch.II.1.3]) that points of L are in 1-1 correspondence with completely prime filters of L ; namely, for $p \in \text{pt}(L)$, we have that $p^{-1}(1)$ is a completely prime filter of L and each completely prime filter arises this way. By Lemmas 5.2 and 5.3, completely prime filters are minimal primes. Thus, if we define $f : \text{pt}(L) \rightarrow \text{Min}(L)$ by $f(p) = p^{-1}(1)$, then f is a 1-1 correspondence. Let $a \in L$ and $p \in \text{pt}(L)$. Then

$$p \in f^{-1}(\varphi(a)) \text{ iff } f(p) \in \varphi(a) \text{ iff } a \in f(p) \text{ iff } p(a) = 1 \text{ iff } p \in O_a$$

and

$$f(p) \in \varphi(a) \text{ iff } a \in f(p) \text{ iff } p(a) = 1 \text{ iff } p \in O_a \text{ iff } f(p) \in f(O_a).$$

Therefore, $f^{-1}(\varphi(a)) = O_a$ and $\varphi(a) = f(O_a)$ for each $a \in L$. Thus, f is a homeomorphism. ■

5.5. COROLLARY. *If L is a compact regular frame, then $\text{Min}(L)$ is a compact Hausdorff space.*

5.6. REMARK. Since each minimal prime of L is completely prime, for $S \subseteq L$, we have

$$\varphi(\bigvee S) \cap \text{Min}(L) = \bigcup \{\varphi(s) : s \in S\} \cap \text{Min}(L).$$

Therefore, each open in $\text{Min}(L)$ is of the form $\varphi(a) \cap \text{Min}(L)$ for some $a \in L$.

We next turn to $\text{Max}(L)$. We recall that a subset U of a topological space is *regular open* provided $\text{Int}(\overline{U}) = U$. Let $\mathcal{RO}(X)$ be the set of regular open subsets of X . It is well known that $\mathcal{RO}(X)$ is a Boolean frame, where $\bigvee U_i = \text{Int}(\overline{\bigcup U_i})$, $U \wedge V = U \cap V$, and $\neg U = \text{Int}(X \setminus U)$. The *Gleason cover* of a compact Hausdorff space X is then the pair (Y, γ) , where Y is the Stone space of $\mathcal{RO}(X)$ and $\gamma : Y \rightarrow X$ is given by $\gamma(\nabla) = \bigcap \{\overline{U} : U \in \nabla\} = \bigcap \nabla$ [G58].

More generally, we recall [BP96] that the *Booleanization* of a frame L is the Boolean frame $\mathfrak{B}(L)$ of regular elements of L , where $a \in L$ is *regular* if $\neg\neg a = a$. It is well known that $\mathfrak{B}(L)$ is a Boolean frame, where $\bigvee_{\mathfrak{B}(L)} S = \neg\neg(\bigvee_L S)$, $a \wedge_{\mathfrak{B}(L)} b = a \wedge_L b$, and $\neg_{\mathfrak{B}(L)} a = \neg_L a$. Moreover, if $L = \Omega(X)$, then $\mathfrak{B}(L) = \mathcal{RO}(X)$.

In general, $\mathfrak{B}(L)$ is not a subframe of L . However, $\mathfrak{B}(L)$ is always a homomorphic image of L . In fact, $\neg\neg : L \rightarrow \mathfrak{B}(L)$ is an onto frame homomorphism. The kernel of this homomorphism is the filter D of dense elements.

5.7. LEMMA. *If L is compact regular, then $\text{Max}(L)$ is homeomorphic to the Gleason cover Y of $\text{pt}(L)$.*

PROOF. Since $a \in L$ is dense iff $\text{Max}(L) \subseteq \varphi(a)$, we see that $\text{Max}(L) = \bigcap \{\varphi(a) : a \in D\}$. Therefore, $\text{Max}(L)$ is the closed upset of $\text{Spec}(L)$ corresponding to the filter D . Thus, $\text{Max}(L)$ is homeomorphic to $\text{Spec}(\mathfrak{B}(L))$. More precisely, the map $(\neg\neg)^{-1} : \text{Spec}(\mathfrak{B}(L)) \rightarrow \text{Spec}(L)$ induced by $\neg\neg : L \rightarrow \mathfrak{B}(L)$ is a homeomorphism from $\text{Spec}(\mathfrak{B}(L))$ onto the subspace $\text{Max}(L)$ of $\text{Spec}(L)$. ■

Consequently, for $L \in \text{KR Frm}$, we have that $\text{Min}(L)$ is homeomorphic to $\text{pt}(L)$ and $\text{Max}(L)$ is homeomorphic to the Gleason cover Y of $\text{Min}(L)$. We next describe the map $\pi : \text{Max}(L) \rightarrow \text{Min}(L)$ realizing the Gleason cover.

5.8. LEMMA. *Suppose L is a compact regular frame. For each $\mathfrak{p} \in \text{Spec}(L)$, there is a unique $\mathfrak{m} \in \text{Min}(L)$ such that $\mathfrak{m} \leq \mathfrak{p}$.*

PROOF. As we already pointed out in the preliminaries, for each $\mathfrak{p} \in \text{Spec}(L)$ there is $\mathfrak{m} \in \text{Min}(L)$ with $\mathfrak{m} \leq \mathfrak{p}$. Suppose there also exists $\mathfrak{n} \in \text{Min}(L)$ with $\mathfrak{m} \neq \mathfrak{n}$ and $\mathfrak{n} \leq \mathfrak{p}$. As $\mathfrak{m} \neq \mathfrak{n}$, there is a clopen upset U of $\text{Spec}(L)$ with $\mathfrak{m} \in U$ and $\mathfrak{n} \notin U$. From $\mathfrak{m} \in U$ it follows that $\mathfrak{p} \in U$, and $\mathfrak{n} \notin U$ implies $\mathfrak{p} \notin R_U$. Therefore, $\mathfrak{m} \notin R_U$. Since L is compact regular, by Theorem 3.9, $\mathfrak{m} \notin \overline{R_U} = U$. The obtained contradiction proves that for each $\mathfrak{p} \in \text{Spec}(L)$ there is a unique $\mathfrak{m} \in \text{Min}(L)$ with $\mathfrak{m} \leq \mathfrak{p}$. ■

5.9. **REMARK.** Since L in Lemma 5.8 is compact regular, it is normal ($a \vee b = 1 \Rightarrow \exists c, d : c \wedge d = 0, a \vee d = 1, \text{ and } b \vee c = 1$). Therefore, Lemma 5.8 follows from [J82, Ch. II.3.7], but the proof given above is shorter.

5.10. **REMARK.** We recall that a space X is *normal* if disjoint closed sets can be separated by disjoint open sets, and that X is *hereditarily normal* if every subspace of X is normal. By [J82, Ch. II.3.7], X is normal iff for each $\mathfrak{p} \in \text{Spec}(\Omega X)$ there is a unique $\mathfrak{m} \in \text{Min}(\Omega X)$ such that $\mathfrak{m} \leq \mathfrak{p}$. Thus, X is hereditarily normal iff $\downarrow \mathfrak{p}$ is a chain for each $\mathfrak{p} \in \text{Spec}(\Omega X)$.

If X is a non-hereditarily normal compact Hausdorff space, then there is a subspace Y of X which is not normal. Therefore, there are $\mathfrak{p} \in \text{Spec}(\Omega Y)$ and $\mathfrak{m}_1, \mathfrak{m}_2 \in \text{Min}(\Omega Y)$ with $\mathfrak{m}_1 \neq \mathfrak{m}_2$ and $\mathfrak{m}_i < \mathfrak{p}$ for $i = 1, 2$. By identifying $\text{Spec}(\Omega Y)$ with a subspace of $\text{Spec}(\Omega X)$, we see that there are $\mathfrak{p}, \mathfrak{m}_1, \mathfrak{m}_2 \in \text{Spec}(\Omega X)$ with $\mathfrak{m}_1 \neq \mathfrak{m}_2$ and $\mathfrak{m}_i < \mathfrak{p}$ for $i = 1, 2$.

5.11. **REMARK.** If for each $\mathfrak{p} \in \text{Spec}(L)$, there is a unique $\mathfrak{m} \in \text{Min}(L)$ with $\mathfrak{m} \leq \mathfrak{p}$, then for every $a \in L$, the regular part R_a of $\varphi(a)$ is not only an upset, but also a downset. To see this, setting $X = \text{Spec}(L)$, by Lemma 3.5, $R_a = X \setminus \downarrow \uparrow (X \setminus \varphi(a))$. Since $X \setminus \varphi(a)$ is a clopen downset, $\uparrow (X \setminus \varphi(a)) = \uparrow \text{Min}(X \setminus \varphi(a))$. Therefore, $R_a = X \setminus \downarrow \uparrow \text{Min}(X \setminus \varphi(a))$. But $\mathfrak{p} \in \downarrow \uparrow \text{Min}(X \setminus \varphi(a))$ implies there are $\mathfrak{q} \in X$ and $\mathfrak{m} \in \text{Min}(X \setminus \varphi(a))$ with $\mathfrak{p} \leq \mathfrak{q}$ and $\mathfrak{m} \leq \mathfrak{q}$. Since $\mathfrak{p}, \mathfrak{q}$ are above a unique minimal point, we conclude that $\mathfrak{m} \leq \mathfrak{p}$, so $\mathfrak{p} \in \downarrow \text{Min}(X \setminus \varphi(a))$. Thus, $R_a = X \setminus \uparrow \text{Min}(X \setminus \varphi(a))$, and hence R_a is a downset.

Define $\pi : \text{Spec}(L) \rightarrow \text{Min}(L)$ by assigning to $\mathfrak{p} \in \text{Spec}(L)$ the unique minimal prime $\mathfrak{m} = \pi(\mathfrak{p})$ contained in \mathfrak{p} . It is well known that up to homeomorphism the Gleason cover of a compact Hausdorff space X is a pair (Y, γ) , where Y is an extremally disconnected compact Hausdorff space and $\gamma : Y \rightarrow X$ is an irreducible map, where we recall that γ is *irreducible* if it is a continuous onto map and the image of each proper closed subset of Y is a proper subset of X .

5.12. **LEMMA.** *The map $\pi : \text{Max}(L) \rightarrow \text{Min}(L)$ is irreducible.*

PROOF. Since each proper filter is contained in a maximal filter, it is clear that π is onto. For continuity, let $a \in L$. We show that $\pi^{-1}(\varphi(a) \cap \text{Min}(L)) = R_a \cap \text{Max}(L)$. If $\mathfrak{p} \in R_a \cap \text{Max}(L)$, then there is $b \in L$ with $\downarrow \varphi(b) \subseteq \varphi(a)$ and $\mathfrak{p} \in \varphi(b)$. Therefore, $\pi(\mathfrak{p}) \in \downarrow \varphi(b) \subseteq \varphi(a)$, and so $\pi(\mathfrak{p}) \in \varphi(a) \cap \text{Min}(L)$. Conversely, if $\pi(\mathfrak{p}) \in \varphi(a) \cap \text{Min}(L)$, then by Lemma 3.6, $\pi(\mathfrak{p}) \in \overline{R_a}$. This yields $\pi(\mathfrak{p}) \in R_a$ since $\pi(\mathfrak{p})$ is an isolated point by Lemma 3.1. Thus, $\pi^{-1}(\varphi(a) \cap \text{Min}(L)) = R_a \cap \text{Max}(L)$, and hence π is continuous.

For irreducibility, we show that $\pi(\varphi(a) \cap \text{Max}(L)) = \text{Min}(L)$ implies $\text{Max}(L) \subseteq \varphi(a)$ for each $a \in L$. From $\pi(\varphi(a) \cap \text{Max}(L)) = \text{Min}(L)$ it follows that for each $\mathfrak{m} \in \text{Min}(L)$ there is a maximal filter containing both a and \mathfrak{m} . Therefore, $a \wedge b \neq 0$ for each $b \in \mathfrak{m}$. To see that a is dense in L , by Remark 5.11, R_b is a downset. Since R_b is dense in $\varphi(b)$, each $b \neq 0$ is contained in some $\mathfrak{m} \in \text{Min}(L)$. Thus, $a \wedge b \neq 0$ for each $b \neq 0$, and hence a is dense in L . This yields $\text{Max}(L) \subseteq \varphi(a)$. As each closed subset of $\text{max}(L)$ is the intersection of clopens containing it, we conclude that π is irreducible. ■

As an immediate consequence, we obtain:

5.13. THEOREM. *Let L be a compact regular frame. Then $(\text{Max}(L), \pi)$ is up to homeomorphism the Gleason cover of $\text{Min}(L) \approx \text{pt}(L)$.*

5.14. REMARK. That $(\text{Max}(L), \pi)$ is up to homeomorphism the Gleason cover of $\text{Min}(L)$ can alternatively be seen by showing that the following diagram commutes.

$$\begin{array}{ccc} \text{Spec}(\mathfrak{B}(L)) & \xrightarrow{\approx} & \text{Max}(L) \\ \gamma \downarrow & & \downarrow \pi \\ \text{pt}(L) & \xrightarrow{\approx} & \text{Min}(L) \end{array}$$

The homeomorphism $\text{Spec}(\mathfrak{B}(L)) \approx \text{Max}(L)$ is given by sending an ultrafilter ∇ of $\mathfrak{B}(L)$ to the maximal filter $\mathfrak{p} := \neg\neg^{-1}(\nabla) \in \text{Max}(L)$, and the homeomorphism $\text{pt}(L) \approx \text{Min}(L)$ is given by sending $p \in \text{pt}(L)$ to the minimal prime $\mathfrak{m} := p^{-1}(1) \in \text{Min}(L)$. The commutativity of the diagram means that for each ultrafilter ∇ of $\mathfrak{B}(L)$, the unique $p \in \text{pt}(L)$ determined by $\bigcap \nabla = \{p\}$ and the unique $\mathfrak{m} \in \text{Min}(L)$ determined by $\mathfrak{m} \subseteq \mathfrak{p}$ satisfy $p^{-1}(1) = \mathfrak{m}$. Now, $p \in \bigcap \nabla$ means that $\nabla \subseteq p^{-1}(1)$. Therefore, $a \in p^{-1}(1)$ implies $\neg a \notin p^{-1}(1)$, so $\neg a \notin \nabla$, and hence $\neg\neg a \in \nabla$. Thus, $p^{-1}(1) \subseteq \mathfrak{p}$. Since $p^{-1}(1)$ is a minimal prime and \mathfrak{m} is a unique minimal prime contained in \mathfrak{p} , we conclude that $p^{-1}(1) = \mathfrak{m}$.

6. Zero-dimensional, extremally disconnected, and scattered cases

The category KR Frm has several interesting subcategories such as the categories consisting of zero-dimensional, extremally disconnected, and scattered objects of KR Frm . In this section we study the spectra of zero-dimensional, extremally disconnected, and scattered objects of KR Frm .

Let L be a frame. We recall that $a \in L$ is *complemented* if $a \vee \neg a = 1$, and that the *center* $\mathfrak{3}(L)$ of L is the set of complemented elements of L . It is well known that $\mathfrak{3}(L)$ is a sublattice of L and that $\mathfrak{3}(L)$ is a Boolean algebra. In fact, $\mathfrak{3}(L)$ is a subalgebra of $\mathfrak{B}(L)$. A frame L is *zero-dimensional* if $a = \bigvee \{b \in \mathfrak{3}(L) : b \leq a\}$ and L is *extremally disconnected* if $\mathfrak{3}(L) = \mathfrak{B}(L)$.

Let zKR Frm be the category of zero-dimensional compact frames and frame homomorphisms. Since each zero-dimensional compact frame is regular, zKR Frm is a full subcategory of KR Frm . Let eKR Frm be the full subcategory of KR Frm consisting of extremally disconnected compact regular frames. Since each object of eKR Frm is zero-dimensional, we see that eKR Frm is a full subcategory of zKR Frm .

It is well known that zero-dimensional compact frames dually correspond to Stone spaces, while extremally disconnected compact regular frames to extremally disconnected compact Hausdorff spaces.

6.1. LEMMA. *An element a of a frame L is complemented iff $\downarrow\varphi(a) = \varphi(a)$.*

PROOF. We have:

$$a \text{ is complemented} \iff \varphi(a) \cup (\text{Spec}(L) \setminus \downarrow \varphi(a)) = \text{Spec}(L) \iff \downarrow \varphi(a) = \varphi(a).$$

■

We call $U \subseteq \text{Spec}(L)$ a *biset* if U is both an upset and a downset. As follows from Lemma 6.1, $a \in L$ is complemented iff $\varphi(a)$ is a biset.

6.2. DEFINITION. For a clopen upset U of $\text{Spec}(L)$, let

$$Z_U := \bigcup \{V \subseteq U : V \text{ is a clopen biset}\}.$$

Clearly Z_U is the largest open biset contained in U , and we call Z_U the biregular part of U . If $U = \varphi(a)$, then we denote Z_U by Z_a .

6.3. THEOREM. Let L be a frame.

1. L is zero-dimensional iff for each $a \in L$, the biregular part of $\varphi(a)$ is dense in $\varphi(a)$.
2. L is extremally disconnected iff for each $\mathfrak{p} \in \text{Spec}(L)$ there is a unique $\mathfrak{q} \in \text{Max}(L)$ such that $\mathfrak{p} \leq \mathfrak{q}$.

PROOF. (1) For $a \in L$, by [BB08, Lem. 2.3], we have:

$$a = \bigvee \{b \in \mathfrak{Z}(L) : b \leq a\} \iff \varphi(a) = \overline{\bigcup \{\varphi(b) : \varphi(b) \subseteq \varphi(a) \text{ is a biset}\}} \iff \varphi(a) = \overline{Z_a}.$$

Thus, L is zero-dimensional iff Z_a is dense in $\varphi(a)$ for each $a \in L$.

(2) It is well known (see, e.g., [J82, Ch. III.3.5]) that L is extremally disconnected iff $\neg a \vee \neg \neg a = 1$ for each $a \in L$. It is also well known (see, e.g., [DL59]) that a Heyting algebra L satisfies $\neg a \vee \neg \neg a = 1$ for each $a \in L$ iff for all $\mathfrak{p}, \mathfrak{q}, \mathfrak{r} \in \text{Spec}(L)$, if $\mathfrak{p} \leq \mathfrak{q}, \mathfrak{r}$, then there is $\mathfrak{s} \in \text{Spec}(L)$ with $\mathfrak{q}, \mathfrak{r} \leq \mathfrak{s}$. Since for each $\mathfrak{p} \in \text{Spec}(L)$ there is $\mathfrak{q} \in \text{Max}(L)$ with $\mathfrak{p} \leq \mathfrak{q}$, the last condition is equivalent to such a \mathfrak{q} being unique. ■

6.4. REMARK. Let L be a frame and U be a clopen upset of $\text{Spec}(L)$. It follows from the definition that $Z_U \subseteq R_U$. We show that a compact regular frame L is zero-dimensional iff $Z_U = R_U$ for each clopen upset U of $\text{Spec}(L)$. Indeed, if $Z_U = R_U$, then by Lemma 3.6, $U = \overline{R_U} = \overline{Z_U}$ for each clopen upset U of $\text{Spec}(L)$. Therefore, by Lemma 6.3(1), L is zero-dimensional. Conversely, suppose L is zero-dimensional and $\mathfrak{p} \in R_U$. Then $\mathfrak{p} \in V$ for some clopen upset V satisfying $\downarrow V \subseteq U$. Let $\mathfrak{m} \in \text{Min}(L)$ be such that $\mathfrak{m} \leq \mathfrak{p}$. Clearly $\mathfrak{m} \in U$. Therefore, by Lemma 6.3(1), $\mathfrak{m} \in \overline{Z_U}$. But \mathfrak{m} is an isolated point by Lemma 3.1. Thus, $\mathfrak{m} \in Z_U$, which yields that $\mathfrak{p} \in Z_U$ as Z_U is a biset.

For a frame L and $a \in L$, let D_a be the filter of dense elements of the frame $[a, 1]$. Thus, $b \in D_a$ iff $b \geq a$ and $b \rightarrow a = a$, which holds iff $b \rightarrow a \leq b$. In particular, $a \leq a'$ implies $D_{a'} \subseteq D_a$.

6.5. LEMMA. *Let L be a frame and let $X = \text{Spec}(L)$ be the spectrum of L . Suppose $a, b \in L$ with $a \leq b$. Then $b \in D_a$ iff $\text{Max}(X \setminus \varphi(a)) \subseteq \varphi(b)$.*

PROOF. By Esakia duality for Heyting algebras [E74], $\varphi(b \rightarrow a) = X \setminus \downarrow(\varphi(b) \setminus \varphi(a))$. Therefore, $b \in D_a$ iff $X \setminus \downarrow(\varphi(b) \setminus \varphi(a)) \subseteq \varphi(a)$, which is equivalent to $X \setminus \varphi(a) \subseteq \downarrow(\varphi(b) \setminus \varphi(a))$. Therefore, it is sufficient to show that $X \setminus \varphi(a) \subseteq \downarrow(\varphi(b) \setminus \varphi(a))$ iff $\text{Max}(X \setminus \varphi(a)) \subseteq \varphi(b)$.

First suppose that $X \setminus \varphi(a) \subseteq \downarrow(\varphi(b) \setminus \varphi(a))$. If $x \in \text{Max}(X \setminus \varphi(a))$, then $x \in X \setminus \varphi(a)$, so $x \in \downarrow(\varphi(b) \setminus \varphi(a))$. Therefore, there is $y \in \varphi(b) \setminus \varphi(a)$ with $x \leq y$. Since $x \in \text{Max}(X \setminus \varphi(a))$, this yields $x = y$. Thus, $x \in \varphi(b)$, and so $\text{Max}(X \setminus \varphi(a)) \subseteq \varphi(b)$.

Conversely, suppose that $\text{Max}(X \setminus \varphi(a)) \subseteq \varphi(b)$. If $x \in X \setminus \varphi(a)$, then as $X \setminus \varphi(a)$ is closed, there is $y \in \text{Max}(X \setminus \varphi(a))$ with $x \leq y$. Therefore, $y \in \varphi(b)$. Since also $y \in X \setminus \varphi(a)$, we see that $y \in \varphi(b) \setminus \varphi(a)$. Thus, $x \in \downarrow(\varphi(b) \setminus \varphi(a))$. ■

Define the *coderivative operator* $\tau : L \rightarrow L$ by

$$\tau(a) = \bigwedge D_a.$$

A frame L is *scattered* if D_a is a principal filter for each $a \in L$, in which case D_a is the principal filter generated by τa . By [S82], if L is the frame of opens of a T_0 -space, then τ is dual to the Cantor-Bendixson derivative; that is, for any closed set $F \subseteq X$, the set $d(F) := X \setminus \tau(X \setminus F)$ is the set of limit points of F . Consequently, a T_0 -space is scattered iff so is its frame of opens.

6.6. THEOREM. *For a frame L , the following are equivalent:*

1. L is scattered.
2. The maximum of any clopen downset of $\text{Spec}(L)$ is clopen.
3. The maximum of any clopen subset of $\text{Spec}(L)$ is clopen.

PROOF. (1) \Leftrightarrow (2): First suppose that L is scattered. Let $a \in L$. Since D_a is the principal filter generated by τa , by Lemma 6.5, $\varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a)) \subseteq \varphi(\tau a)$. If $x \notin \varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a))$, then as $\varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a))$ is a closed upset of $\text{Spec}(L)$, there is a clopen upset U of $\text{Spec}(L)$ such that $\varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a)) \subseteq U$ and $x \notin U$. But $U = \varphi(b)$ for some $b \in L$. By Lemma 6.5, $b \in D_a$. Therefore, $\tau a \leq b$, and so $x \notin \varphi(\tau a)$. This proves that $\varphi(\tau a) = \varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a))$. Thus, $\varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a))$ is clopen, and hence so is $\text{Max}(\text{Spec}(L) \setminus \varphi(a))$. Conversely, if each $\text{Max}(\text{Spec}(L) \setminus \varphi(a))$ is clopen, then so is each $\varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a))$. Therefore, for each $a \in L$ there is $b \in L$ with $\varphi(b) = \varphi(a) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a))$. By Lemma 6.5, b is the least element of D_a . Thus, L is scattered.

(2) \Leftrightarrow (3): Since L is a Heyting algebra, $\text{Spec}(L)$ is an Esakia space. Therefore, the downset of clopen is clopen, and for U clopen, we have $\text{Max}(U) = \text{Max}(\downarrow U)$. The result follows. ■

6.7. DEFINITION. For a frame L , we define its height (or depth or Krull dimension) $\text{ht}(L)$ as follows. If there is a natural number $n \geq 0$ such that there is a chain $\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n$ in $\text{Spec}(L)$ and $k \leq n$ for all other chains $\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_k$ in $\text{Spec}(L)$, then $\text{ht}(L) = n$. Otherwise $\text{ht}(L) = \infty$.

6.8. REMARK. If $h : L \rightarrow M$ is an onto frame homomorphism, then its dual $f : \text{Spec}(M) \rightarrow \text{Spec}(L)$ is an embedding. Therefore, $\text{ht}(M) \leq \text{ht}(L)$.

The next theorem follows from the main result of [CLR05], but we give an alternative proof based on Esakia duality.

6.9. THEOREM. For a frame L , the following are equivalent for any $n \geq 0$:

- $\text{ht}(L) \geq n$.
- There is a chain $1 > a_0 \geq a_1 \geq \cdots \geq a_n = 0$ in L satisfying $a_{i-1} \in D_{a_i}$ for all $1 \leq i \leq n$.

PROOF. First suppose that $\text{ht}(L) \geq n$. Then there is a chain $\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n$ in $\text{Spec}(L)$. Set $0 = a_n \notin \mathfrak{p}_n$, and for $i \in [1, n]$, if $a_i \notin \mathfrak{p}_i$, then find $a_{i-1} \notin \mathfrak{p}_{i-1}$ with $a_{i-1} \in D_{a_i}$ inductively as follows. Since $\mathfrak{p}_i \in \text{Spec}(L) \setminus \varphi(a_i)$ and $\text{Spec}(L) \setminus \varphi(a_i)$ is a downset, $\mathfrak{p}_{i-1} \in \text{Spec}(L) \setminus \varphi(a_i)$. Therefore, $\mathfrak{p}_{i-1} \notin \varphi(a_i) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a_i))$. Since $\varphi(a_i) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a_i))$ is a closed upset, there is $a_{i-1} \in L$ with $\mathfrak{p}_{i-1} \notin \varphi(a_{i-1})$ and $\varphi(a_i) \cup \text{Max}(\text{Spec}(L) \setminus \varphi(a_i)) \subseteq \varphi(a_{i-1})$. Thus, $a_{i-1} \notin \mathfrak{p}_{i-1}$, and by Lemma 6.5, $a_{i-1} \in D_{a_i}$. This yields the desired chain $1 > a_0 \geq a_1 \geq \cdots \geq a_n = 0$ in L .

Conversely, if there is a chain $1 > a_0 \geq a_1 \geq \cdots \geq a_n = 0$ in L satisfying $a_{i-1} \in D_{a_i}$ for all $i \in [1, n]$, then we have to prove that $\text{ht}(L) \geq n$. Let $\text{Spec}(L) \supseteq \varphi(a_0) \supseteq \varphi(a_1) \supseteq \cdots \supseteq \varphi(a_n) = \emptyset$ be the corresponding chain of clopen upsets in $\text{Spec}(L)$. Since $\varphi(a_0) \neq \text{Spec}(L)$, there is $\mathfrak{p}_0 \in \text{Spec}(L)$ with $\mathfrak{p}_0 \in \text{Spec}(L) \setminus \varphi(a_0)$. For $i \in [1, n]$, if $\mathfrak{p}_{i-1} \in \text{Spec}(L) \setminus \varphi(a_{i-1})$ is already found, then find $\mathfrak{p}_i \supsetneq \mathfrak{p}_{i-1}$ inductively as follows. As $\varphi(a_{i-1}) \supseteq \varphi(a_i)$, we see that $\mathfrak{p}_{i-1} \in \text{Spec}(L) \setminus \varphi(a_i)$. Because $\text{Spec}(L) \setminus \varphi(a_i)$ is clopen, there is $\mathfrak{p}_i \in \text{Max}(\text{Spec}(L) \setminus \varphi(a_i))$ with $\mathfrak{p}_{i-1} \subseteq \mathfrak{p}_i$. Since $a_{i-1} \in D_{a_i}$, by Lemma 6.5, $\text{Max}(\text{Spec}(L) \setminus \varphi(a_i)) \subseteq \varphi(a_{i-1})$. Therefore, $\mathfrak{p}_i \in \varphi(a_{i-1})$. Thus, $\mathfrak{p}_i \neq \mathfrak{p}_{i-1}$ as $\mathfrak{p}_{i-1} \notin \varphi(a_{i-1})$. This yields a chain $\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \cdots \subsetneq \mathfrak{p}_n$ in $\text{Spec}(L)$, so $\text{ht}(L) \geq n$. ■

6.10. DEFINITION. We say that a frame L is of rank n if $\tau^{n+1}(0) = 1$ but $\tau^n(0) \neq 1$.

6.11. THEOREM. A scattered frame L is of height n iff it is of rank n .

PROOF. First suppose that L is of height n . By Theorem 6.9, there is a chain $1 > a_0 \geq a_1 \geq \cdots \geq a_n = 0$ in L with $a_{i-1} \in D_{a_i}$ for each $i \in [1, n]$. Since $a_{i-1} \in D_{a_i}$ implies $\tau(a_i) \leq a_{i-1}$, we see that

$$\begin{aligned} \tau(0) &\leq \tau(a_n) \leq a_{n-1} \\ \tau^2(0) &\leq \tau(a_{n-1}) \leq a_{n-2} \\ &\vdots \\ \tau^n(0) &\leq \tau(a_1) \leq a_0 < 1. \end{aligned}$$

Therefore, $\tau^n(0) \neq 1$. If $\tau^{n+1}(0) \neq 1$, then consider the chain $1 > \tau^{n+1}(0) \geq \tau^n(0) \geq \dots \geq \tau(0) \geq 0$. Since L is scattered, each D_a is the principal filter generated by τa . Thus, $\tau^{i+1}(0) \in D_{\tau^i(0)}$ for each i . Applying Theorem 6.9 then yields a chain in $\text{Spec}(L)$ of height $n + 1$, a contradiction. Consequently, $\tau^{n+1}(0) = 1$, and hence L is of rank n .

Conversely, suppose that L is of rank n . Consider the chain $0 < \tau(0) < \tau^2(0) < \dots < \tau^n(0) < 1$ in L . Since L is scattered, $\tau^{i+1}(0) \in D_{\tau^i(0)}$ for each i . Therefore, by Theorem 6.9, there is a chain $\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \dots \subsetneq \mathfrak{p}_n$ in $\text{Spec}(L)$. Moreover, if there is a chain in $\text{Spec}(L)$ of length $k > n$, then Theorem 6.9 yields a chain $1 > a_0 \geq a_1 \geq \dots \geq a_k = 0$ in L with $a_{i-1} \in D_{a_i}$ for each $i \in [1, k]$. Thus, the same argument as in the displayed inequalities above gives $\tau^{n+1}(0) \neq 1$, a contradiction. Consequently, L is of height n . ■

6.12. REMARK. For compact regular frames, the assumption in Theorem 6.11 that L is scattered becomes redundant. To see this, by Isbell duality, a compact regular frame is the frame of open sets of a compact Hausdorff space. By [S71, Thm. 8.5.4], a compact Hausdorff space X is not scattered iff there is a continuous map f from X onto the closed unit interval $[0, 1]$. Now, the frame $\Omega[0, 1]$ is of infinite height. This follows, for example, from the fact that for each natural number n , the space $[0, 1]$ has a (closed) subspace homeomorphic to the ordinal $\omega^n + 1$. Therefore, there is an onto frame homomorphism $h : \Omega[0, 1] \rightarrow \Omega(\omega^n + 1)$. Thus, by Remark 6.8, $\text{ht } \Omega(\omega^n + 1) \leq \text{ht } \Omega[0, 1]$. But $\Omega(\omega^n + 1)$ is a scattered frame of rank n , so $\text{ht } \Omega(\omega^n + 1) = n$ by Theorem 6.11. Therefore, by Theorem 6.9, for each $n \geq 0$, there is a chain $\mathfrak{p}_0 \subsetneq \mathfrak{p}_1 \subsetneq \dots \subsetneq \mathfrak{p}_n$ in $\text{Spec}(\Omega[0, 1])$. But since f is onto, f^{-1} is an embedding of $\Omega[0, 1]$ into $\Omega(X)$, and so $(f^{-1})^{-1} : \text{Spec}(\Omega X) \rightarrow \text{Spec}(\Omega[0, 1])$ is onto. Thus, by Corollary 4.3, for each $n \geq 0$, there is a chain $\mathfrak{q}_0 \subsetneq \mathfrak{q}_1 \subsetneq \dots \subsetneq \mathfrak{q}_n$ in $\text{Spec}(\Omega X)$. This yields that ΩX also has infinite height. Consequently, a compact regular frame of finite height is necessarily scattered.

As the following example shows, regularity is essential in Remark 6.12.

6.13. EXAMPLE. Let X be the ordinal $\omega + 1$ with its usual interval topology, but ordered as shown below.



It is well known (see, e.g., [E85, Thm. III.2.4]) that X is an Esakia space. In fact, the clopen upsets of X are isomorphic to the frame L of cofinite subsets of ω together with the empty set. Consequently, L is a coherent frame. Clearly $\text{ht}(L) = 1$. But L is not scattered since every nonzero element of L is dense, so the filter of dense elements of L is not principal. This can also be seen by observing that $\text{Max}(X) = \{\omega\}$ is not clopen, so L is not scattered by Theorem 6.6.

Summing up, we have:

6.14. COROLLARY. *Let L be compact regular. Then:*

1. L is zero-dimensional iff the biregular part of each clopen upset U of $\text{Spec}(L)$ is dense in U .

- 2. L is extremally disconnected iff for each $\mathfrak{p} \in \text{Spec}(L)$ there is a unique $\mathfrak{q} \in \text{Max}(L)$ with $\mathfrak{p} \leq \mathfrak{q}$.
- 3. L is scattered iff $\text{Max}(U)$ is clopen for each clopen U of $\text{Spec}(L)$.
- 4. L is of finite height n iff L is of finite rank n .

We conclude the paper with some examples of spectra of compact regular frames.

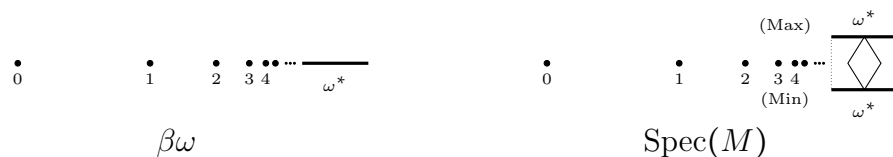
6.15. EXAMPLE. Let L be the frame of opens of $\omega + 1$. Then L is a compact regular scattered frame. The rank of L is 1, so by Theorem 6.11, $\text{ht}(L) = 1$. The minimum of $\text{Spec}(L)$ is homeomorphic to $\omega + 1$, and the maximum to the Gleason cover of $\omega + 1$. But $\omega + 1$ is homeomorphic to the one-point compactification $\alpha\omega$ of ω , while the Gleason cover of $\omega + 1$ is homeomorphic to the Stone-Čech compactification $\beta\omega$ of ω .

The isolated points of $\omega + 1$, by Lemma 6.1, give rise to clopen bisets in $\text{Spec}(L)$, which appear as simultaneously minimal and maximal points of $\text{Spec}(L)$. The single non-isolated point ω of $\omega + 1$ is the only minimal point of $\text{Spec}(L)$ that is not a maximal point. Since a minimal point \mathfrak{p} is below a maximal point \mathfrak{q} iff $\pi(\mathfrak{q}) = \mathfrak{p}$, we see that the point ω is underneath the entire remainder $\omega^* := \beta\omega \setminus \omega$. Thus, we obtain the following picture:



Similar but a more complicated picture arises from the frame L_n of opens of $\omega^n + 1$, $n > 1$. Since L_n is scattered and the rank of L_n is n , by Theorem 6.11, $\text{ht}(L_n) = n$. Thus, increasing n , we get a fractal-like structure: By Theorem 6.6, $\text{Max}(L_n)$ is clopen, and is homeomorphic to the Stone-Čech compactification of the discrete space of isolated points of $\omega^n + 1$. The complement of $\text{Max}(L_n)$ is a clopen downset, which up to isomorphism, is the spectrum of the frame of opens of the space of limit points of $\omega^n + 1$. This subspace is homeomorphic to $\omega^{n-1} + 1$. Thus, $\text{Spec}(L_n)$ has clopen maximum homeomorphic to the Stone-Čech compactification of a countable discrete space, and its complementary clopen downset is up to isomorphism $\text{Spec}(L_{n-1})$.

6.16. EXAMPLE. Let M be the frame of opens of the Stone-Čech compactification $\beta\omega$ of ω . The spectrum of M is much more complicated than those in the previous example. Since $\beta\omega$ is extremally disconnected, by Lemma 6.3(2), the minimum and maximum of $\text{Spec}(M)$ are homeomorphic. However, the “middle part” of $\text{Spec}(M)$ is rather complicated. For example, since $\beta\omega$ is not hereditarily normal (see, e. g., [E89, Example 3.6.19]), by Remark 5.10, there are some downward branchings in the middle of $\text{Spec}(M)$. In addition, $\text{Spec}(M)$ has infinite height. A rough sketch of $\text{Spec}(M)$ looks as follows:



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